



Department of
Environmental
Conservation

Water Quality Improvement Projects and Non-Ag Nonpoint Source Planning and MS4 Mapping Grant

March 29, 2023

Water Quality Improvement Project (WQIP) Program



Overview - 2022

- Competitive, statewide implementation grant
- Improves water quality or aquatic habitat
- Protects a drinking water source



Funding Overview

- Dollar amounts available each year is based on NYS Budget
 - Clean Water Infrastructure Act
 - Environmental Protection Fund
- Maximum awards differ by project type
- Match is based on award amount – not total project cost



Eligible Applicants

- Municipalities (*ECL §56-0101*)
- Municipal corporations
- Soil and water conservation districts
- Not-for-profit corporations (Land Acquisition for Source Water Protection, Aquatic Connectivity Restoration, Marine District Habitat Restoration)



Eligible Activities - 2022

- Wastewater Treatment Improvement
- Non-agricultural Nonpoint Source Abatement and Control
- Land Acquisition for Source Water Protection
- Salt Storage
- Aquatic Connectivity Restoration
- Marine District Habitat Restoration



Wastewater Treatment Improvement

Primary Priority (25% match)

- Wastewater Effluent Disinfection for Facilities Without Disinfection

Secondary Priority (25% match)

- Combined Sewer Overflow/Sanitary Sewer Overflow (CSO/SSO)
- Watershed Plan Implementation
- Municipal Systems to Serve Multiple Properties with Inadequate On-Site Septic Systems
- Wastewater Treatment Facility Nitrogen Abatement for Shellfishing Waters

General Wastewater (60% match)

- Other wastewater infrastructure improvements



Non-Agricultural Nonpoint Source

Eligible practices (25% match)

- Decentralized Wastewater Treatment Facilities for Failing On-Site Treatment Systems
- Green Infrastructure Practice
- Stormwater Retrofits
- Streambank/Shoreline Stabilization and Riparian Buffers
- In-Waterbody Controls for Nutrients
- Bathing Beach Restoration
- Stream Culvert Repair and Replacement
- Vacuum Trucks in MS4 Areas
- Nonpoint Source Programs



Land Acquisition for Source Water Protection

Eligible projects & programs (25% match)

- Protect surface public drinking water supplies
- Protect groundwater public drinking water supplies
- Must include land acquisition; either land purchase or easements

Other information

- Municipalities, soil and water conservation districts and not-for-profits, such as land trusts, are eligible
- Apply under the category of “project” or “program”



Salt Storage

Eligible projects (50% match)

- Highest priority: construct a new structure to enclose an exposed salt or salt/sand mixture storage pile that is currently located near a public drinking water source or within a primary, sole source, or principal aquifer
- All projects must comply with the Office of General Services salt storage specifications



Aquatic Connectivity Restoration

Eligible Projects (25% match)

- Improves aquatic habitat connectivity at road/stream crossings or dams
- Restores the natural movement of organisms
- Focus is on culverts, bridges or dams that are causing obstructions

Other Information

- If total cost of project > \$250,000, must demonstrate that additional funding has been secured



Marine District Habitat Restoration

Eligible Projects (25% match)

- Projects within the marine district:
 - ❖ Ocean waters within three miles from the state's coastline, including the Atlantic Ocean, Long Island Sound and embayments
 - ❖ Tidal Hudson River waters running south of the Governor Mario M. Cuomo Bridge (formerly Tappan Zee Bridge)
- Must improve the ecological condition of waters of the state with intent to improve habitat for fish and wildlife



**Non-Agricultural
Nonpoint Source
Planning & MS4
Mapping Grant (NPG)**



Overview - 2022

- Grants to help pay for:
 - Planning reports needed for nonpoint source projects
 - Municipal Separate Storm Sewer System (MS4) mapping
- Up to \$3 Million Available in 2022
- 10% local match



Nonpoint Source Planning Reports

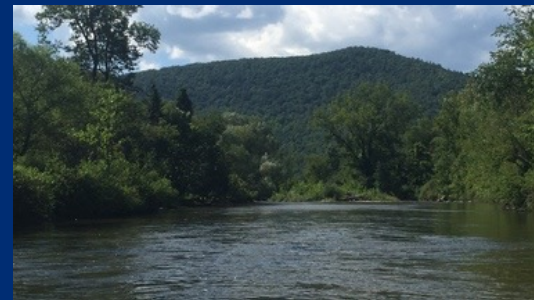
- Eligible Applicants:
 - ❖ Municipalities (*ECL §56-0101*)
 - ❖ Soil and water conservation districts
- Maximum awards:
 - ❖ Up to \$30,000 for planning reports
 - ❖ Up to \$75,000 for comprehensive stream corridor studies



Nonpoint Source Planning Reports Cont'd

Categories:

- Decentralized Wastewater Treatment Facilities for Failing On-Site Treatment Systems
- Green Infrastructure
- Stormwater Retrofits
- Streambank/Shoreline Stabilization
- Comprehensive Stream Corridor Assessment
- Stream Sediment and Debris Management Plans
- In-Waterbody Controls for Nutrients
- Bathing Beach Restoration
- Stream Culvert Repair & Replacement



MS4 Mapping

- Eligible applicants:
 - ❖ Regulated Municipal MS4 Operators
 - ❖ Soil and Water Conservation Districts on behalf of regulated MS4 Operators
- Maximum awards:
 - ❖ If applying as a collaborative: Up to \$30,000 per municipality, with up to \$400,000 per collaborative
 - ❖ If applying alone, maximum of \$75,000



MS4 Mapping Cont'd

- Final deliverables:
 - ❖ Final map product
 - ❖ Final summary report
- Applicants encouraged to cooperate with other regulated municipal MS4 operators
- Mapping must meet MS4 permit requirements
- Must create, use, or expand on electronic/GIS mapping
- Basic mapping must be done before other mapping



General Information & Tips



Department of
Environmental
Conservation

Projects Affecting Water Quality in Environmental Justice Areas

- DEC is committed to Environmental Justice (EJ)
- Fair treatment and meaningful involvement of all people, regardless of race, color or income, with respect to the development, implementation and enforcement of environmental laws, regulations and policies
- Review points added for a proposed project that demonstrates it improves water quality in an EJ area, or positively impacts drinking water serving an EJ community



General Application Tips

- Start early
- Review entire Program Overview
- Attach everything required
 - ❖ DEC will not contact you if something is missing or if a form is not filled out correctly
- Make the connections to water quality
 - ❖ Show how your project fits the grant
- Self score your application
- Fill out and attach expenditure-based budget
 - ❖ Include only costs to be reimbursed



Thank You

Stephanie June

Leila Mitchell

Julie Berlinski

Water Assessment and Implementation

Division of Water, NYS DEC

(518) 402-8179

User.water@dec.ny.gov

On the web:

WQIP: <http://www.dec.ny.gov/pubs/4774.html>

NPG: <http://www.dec.ny.gov/pubs/116725.html>



Department of
Environmental
Conservation



**Department
of Health**

**Environmental
Facilities Corporation**

Accessing Federal and State Water and Sewer Funding

Ashley Inzerillo and Liz Ricci, P.E.

Funding Roundtable Discussion | March 29, 2023

About EFC

We Fund New York State Water Infrastructure

Clean Water State Revolving Fund

Low-cost capital
for sewer and
wastewater
treatment
projects.

Drinking Water State Revolving Fund

Low-cost
capital for
drinking water
projects.

State Water Grants

Ease the cost
burden of
capital
infrastructure
costs.

Federal Bipartisan Infrastructure Law Funding

Administered
Through the
State Revolving
Funds.

Nation-Leading Investment

\$35.8B

Provided to
Wastewater
Projects Since
1990

\$8.99B

Provided to
Drinking Water
Projects Since
1996

\$2B

EFC Water
Grants Awarded
to 879 Projects
Since 2015

Types of Financial Assistance

Offered by EFC

Short-Term Financing



- Available to Provide Recipients with Funding to Design and Construct Projects
- Up to 5-Year Term

Interest-Rates



- Interest-Free
- Subsidized
- Market-Rate

Long-Term Financing



- Amortized Up To a Term of 30 Years

Grant Programs

Engineering
Planning
Grant

EPG

Water
Infrastructure
Improvement
Grants

WIIA

Intermunicipal
Grants

IMG

Green
Innovation
Grant Program

GIGP

State Revolving
Fund Grants

SRF

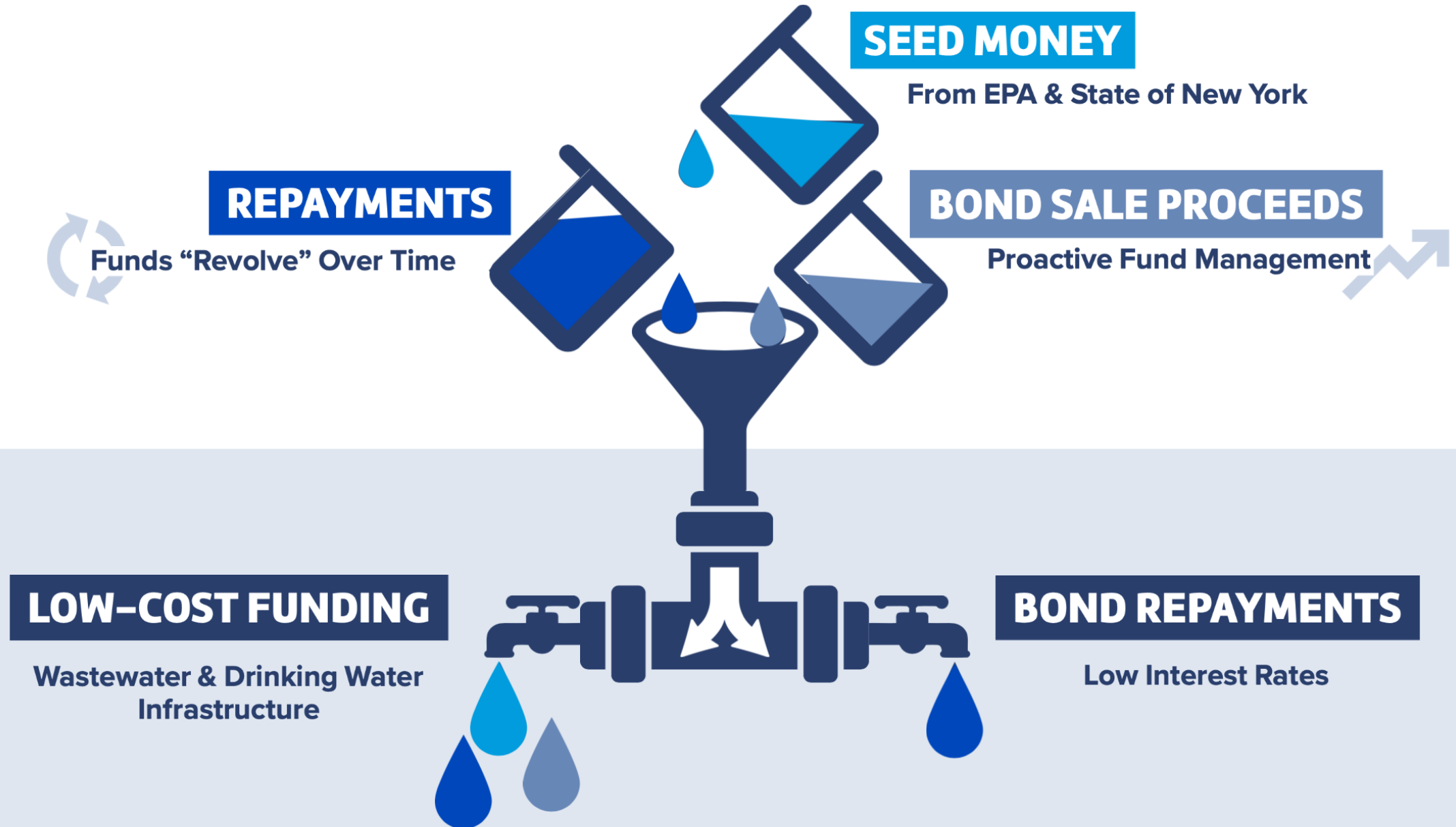
Bipartisan
Infrastructure
Law Grants

BIL



State Revolving Funds (SRF)

NEW YORK STATE REVOLVING FUND





Intended Use Plan

The First Step in Seeking
State Revolving Funds



Prepared Annually By Law

The Intended Use Plans describe each SRF program, list the funds that are available and identify how the funds will be used



Project Rank and Score

Each project is ranked and scored based on water quality and public health impacts as described in the documents submitted by applicants

Eligible costs are based on documented estimates from engineering reports, plans and specifications, and contract awards



Project Priority Lists



Financing Process

List Your
Project

Projects
Ranked &
Scored

IUP is
Released

Prepare
Application
Materials

Apply for
Financing

STEP 1

STEP 2

STEP 3

STEP 4

STEP 5



List or Update
by June 16, 2023
for the 2024 IUP

Eligible Projects

Clean Water State Revolving Fund

Administered by EFC in partnership with DEC

- Treatment Works
- Non-Point Source
- National Estuary Conservation and Management Plan
- Decentralized Systems
- Recycled Water and Water Re-Use

Drinking Water State Revolving Fund

Administered by EFC in partnership with DOH

- Provide Public with Safe Drinking Water
- Upgrade or Replace Drinking Water Infrastructure
- Address Non-Compliance with Federal or State Health Standards
- Prevent Future Violations of Federal or State Health Standards

SRF Application

- ✓ **Project must be on Annual List**
- ✓ **Used for both CWSRF and DWSRF**
- ✓ **Required Documents**
 - SRF Financing Application
 - Bond Resolution
 - Environmental Review
 - SHPO Letter
 - District Formation, if applicable

**Submit by
June 16, 2023**





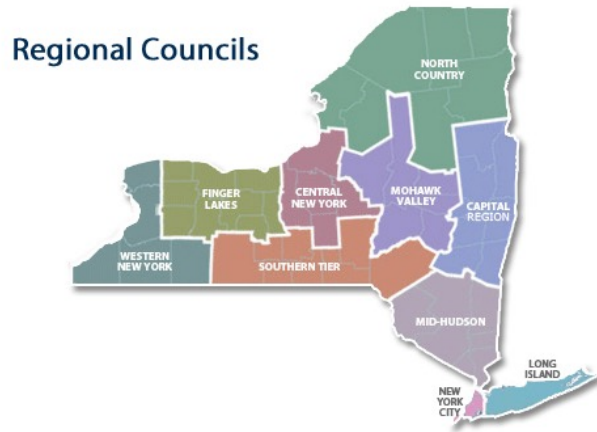
Mandatory Terms & Conditions (T&Cs)

- ✓ Contracts paid for with EFC funds must include T&Cs
- ⚠ Any contracts that do not include the required language may be ineligible for funding
- ✓ Program requirements include:
A/E Procurement, MWBE/EEO/DBE, Service-Disabled Veteran Owned Business (SDVOB), American Iron & Steel (AIS), and Davis-Bacon Prevailing Wage



Grant Programs

Engineering Planning Grants (EPG)



Anticipated beginning
of application period
in Spring 2023

- Funding for hardship communities to pay for the initial planning of eligible **CWSRF** projects.
- State Financial Assistance T&Cs (30% MWBE/6% SDVOB)



This funding helps develop
an Engineering Report

Engineering Reports are
required to list your project
on the Annual List of the
Intended Use Plan.



Grant awards
up to \$100K

- Up to \$100,000 per locality for inflow/infiltration studies required by enforcement action
- Up to \$50,000 for all other projects

Green Innovation Grant Program

Supports projects that utilize unique EPA-designated green stormwater infrastructure design and create cutting-edge green technologies.



This funding supports projects that improve water quality and mitigate climate change.

SRF T&Cs (20% MWBE)



Projects must implement one of the following green practices:

- Green Stormwater Infrastructure
 - Maintain and restore natural hydrology by infiltration, evapotranspiration, and harvesting stormwater
 - 75-90% grants available
- Energy Efficiency
 - Reduce Energy Consumption or Produce Renewable Energy
 - 50-75% grants available
- Water Efficiency
 - Reuse, Conserve or Improve Water Efficiency
 - 50-75% grants available
- Environmental Innovation



**Anticipated beginning
of application period
in Spring 2023**

WIIA

Available to
Municipalities for Sewage
Treatment Works and
Drinking Water Projects

Anticipated
beginning of
application
period in
Spring 2023



Clean Water WIIA

- Municipalities will be awarded **25%** of total net eligible project costs **up to \$25 million**.
- Grants administered through EFC will not be used to calculate net eligible project costs (GIGP, CWSRF)

Drinking Water WIIA

- Municipalities will be awarded **60%** of total net eligible project costs **up to \$5 million**.
- The maximum a municipality can receive is \$5 million in DWSRF grant and/or WIIA grant per year.
- Projects addressing an emerging contaminant above the State determined Maximum Contaminant Level (MCL) may be awarded **60% of total net eligible project costs**.



Intermunicipal Grants

Available for clean water and drinking water projects that serve multiple municipalities for consolidation of infrastructure or services

- ✓ Awards up to **40%** of net eligible costs, **up to \$30 million**
- ✓ One municipality must be the lead municipality and apply on behalf of all cooperating municipalities
- ✓ Must have a current, valid, and binding project Intermunicipal Agreement (IMA) between at least two cooperating municipalities related to the financing and implementation of the project.
- ✓ Anticipated beginning of application period in Spring 2023



Federal Bipartisan Infrastructure Law (BIL)

BIL & SRFs

BIL funding for water infrastructure is being administered through the State Revolving Funds over five years.

The first installment of \$428 million has been made available in the 2023 IUPs, in addition to annual base appropriations.

2022 FFY Funding By Category

\$196M

CWSRF

General Supplemental
Required Additional Subsidy: 49%

\$10M

CWSRF

Emerging Contaminants
Required Additional Subsidy: 100%

\$73M

DWSRF

General Supplemental
Required Additional Subsidy: 49%

\$30M

DWSRF

Emerging Contaminants
Required Additional Subsidy: 100%

\$115M

DWSRF

Lead Service Line Repl.
Required Additional Subsidy: 49%

*Required additional subsidy percentages are based on the full Cap Grant amounts and are not necessarily the percentage available per project.

Eligible Projects – CWSRF BIL

BIL General Supplemental (GS)

Municipal water pollution control projects including, but not limited to:

- Wastewater treatment facilities
- Pumping stations and sewers
- Combined sewer overflow abatement
- Stormwater pollution abatement
- Other municipally owned projects or portions of projects that maintain, protect or improve water quality

BIL Emerging Contaminants (EC)

Construction costs on CWSRF eligible projects whose primary purpose is to address emerging contaminants, including but not limited to, groundwater or surface water contamination caused by:

- Perfluoroalkyl and polyfluoroalkyl substances (PFAS)
- Pharmaceuticals
- Personal care products
- Micro/nanoplastics

CWSRF BIL GS

- ✓ **Grant funding up to 50% of eligible project costs, net of non-EFC grants, up to a maximum amount of \$25 million**
- ✓ **Project must be listed above the Hardship Funding Line in IUP Category D**
- ✓ **Must not have:**
 - Incurred SRF-eligible debt or closed an EFC financing before November 15, 2021;
 - Issued a Notice to Proceed to construction before November 15, 2021;
 - Closed a long-term project financing agreement; and
 - Substantially completed construction before the beginning of the FFY
- ✓ **A BIL-GS Funding Line is established in IUP Subcategory D1 to identify projects that qualify for BIL-GS Additional Subsidy**



CWSRF BIL EC

- ✓ Grant funding up to 100% of eligible project costs, up to a maximum amount of \$25 million
- ✓ Additional subsidy will be offered in Project Priority Score order
- ✓ To be considered for additional subsidy, a municipality must have:
 - Been included in Subcategory D2 in the IUP;
 - Not executed a CWSRF short-term project financing agreement or incurred SRF-eligible debt before November 15, 2021;
 - Not executed a long-term project financing agreement; and
 - Not have substantially completed construction before the beginning of the FFY.



Eligible Projects – DWSRF BIL

BIL General Supplemental (GS)

Municipally owned and privately-owned community water systems and non-profit non-community water projects including:

- Construction, rehabilitation, and upgrading of water treatment plants; transmission and distribution mains; storage facilities; pump stations
- Rehabilitation or development of new drinking water sources
- Consolidation of water supply services; and
- Capital investments to improve security of drinking water systems

BIL Emerging Contaminants (EC)

DWSRF eligible projects with the primary purpose to address emerging contaminants in drinking water, with a focus on PFAS.

BIL Lead Service Line Replacement (LSLR)

DWSRF eligible projects that replace or are associated with an LSLR project or associated activity directly connected to the identification, planning, design, and replacement of lead service lines. Any project funded under this category involving the replacement of lead service lines must replace the entire lead service line (public and privately owned portion), not just a portion.

DWSRF BIL GS

- ✓ Grant funding up to 70% of eligible project costs, net of non-EFC grants, but not to exceed \$5 million
- ✓ Projects on the BIL-GS Eligible Project List awarded additional subsidy and interest-free financing in public health priority score order
 - Must not have closed a short-term financing before October 1 of the FFY in which the assistance is awarded
 - Additional subsidy may not be used to forgive debt that was incurred prior to November 15, 2021
- ✓ Of the 120 DWSRF projects identified as BIL-GS eligible in the 2023 IUP, 18 were awarded funding



DWSRF BIL EC

- ✔ Grant funding up to 100% of eligible project costs, net of non-EFC grants, but not to exceed \$5 million for disadvantaged communities
- ✔ Grant funding up to 70% of eligible project costs, net of non-EFC grants, but not to exceed \$5 million for non-disadvantaged communities
- ✔ First priority given to projects that address PFAS
- ✔ At least 25% of the BIL-EC funds will go to state-defined disadvantaged communities



DWSRF BIL LSLR

- ✓ **LSL Replacement Projects**
 - Grant funding up to 70% of eligible project costs, net of non-EFC grants, but not to exceed \$10 million (disadvantaged communities)
- ✓ **LSL Inventory Projects**
 - Grant funding up to 100% of eligible project costs, net of non-EFC grants, but not to exceed \$2 million (disadvantaged communities)
- ✓ **Communities are limited to \$14M in interest-free financing in any FFY**
- ✓ **Projects will be awarded in public health priority score order until the available funds have been exhausted**



Additional Federal Requirements

- ✓ Professional (A/E) Service Procurement requirements
- ✓ Build America, Buy America Act (BABA): expands domestic preference procurement requirements
- ✓ Telecom Exclusion
- ✓ Disadvantaged Business Enterprise (DBE)
- ✓ Single Audit and federal thresholds (\$750,000)
- ✓ Additional coordination for Environmental Review
- ✓ Federal Equivalency Requirements are necessary for any project funded through BIL
 - Equivalency projects are identified on CWSRF IUP with an “E” notation



Clean Water, Clean Air, & Green Jobs Environmental Bond Act

Bond Act

Investing \$4.2B in New York's Environment and Communities

- ✓ Funding to protect water quality, help communities adapt to climate change, improve resiliency & create green jobs
- ✓ 35% of funding to be directed to Environmental Justice communities

**BROWSE BOND ACT
WEBPAGE**



\$650M
**For Water
Infrastructure
Improvement**

Bond Act

Funding Allocation

\$650M

Water Quality Improvement
& Resilient Infrastructure

\$650M

Open Space Land
Conservation & Recreation

\$1.1B

Restoration & Flood
Risk Reduction

\$1.5B

Climate Change
Mitigation



Water Quality Improvement & Resilient Infrastructure

Minimum \$200 million for water improvement and infrastructure projects

Minimum \$250 million to support municipal storm-water grants

\$200 million to support:

- ✓ Green infrastructure
- ✓ Projects to reduce agricultural nutrient run off and promote soil health
- ✓ Projects to reduce the frequency of harmful algal blooms (HABs)
- ✓ Lead service line replacement

Thank you!

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**Homes and
Community Renewal**

Resources for Municipalities through the Office of Community Renewal

**Syracuse University Environmental Finance Center
State and Federal Funding, Financing, and Resources for Municipal Infrastructure
March 29, 2023**

NYS Homes & Community Renewal

- Division of Housing & Community Renewal (DHCR)
- Housing Trust Fund Corporation (HTFC)
- Housing Finance Agency (HFA)
- State of New York Mortgage Agency (SONYMA)
- Affordable Housing Corporation (AHC)

Development Offices:

- **Office of Community Renewal (OCR)**

“Local” grant programs – awarded through municipalities & not-for-profits

NYS Community Development Block Grant (CDBG), HOME Program, New York Main Street (NYMS), the Neighborhood and Rural Preservation programs, Access to Home, MMHR, RESTORE

- **Office of Finance and Development (F&D)**

Multi-family development – direct award and oversight

HOME Capital, Federal and State Low Income Housing Tax Credits, tax exempt bonds, and state sources include HTF and CIF.



Grants and Local Program Administration



**Homes and
Community Renewal**

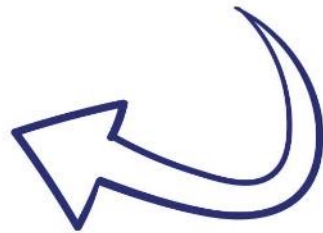
Municipality

OR

Not-for-Profit Organization

AS

Local Program Administrator (LPA)



**Property
Owners**



Federal and State Funding Overview



OCR Programs



- **NYS Community Development Block Grant (CDBG)**
- **HOME - Local Programs**



- **Affordable Housing Corporation (AHC)**
- **New York Main Street Program**
- **Access to Home Programs**
- **RESTORE Program**
- **Mobile and Manufactured Home Replacement (MMHR) Program**

Potential Community Development Activities

Public Infrastructure

Public Facilities

Economic Development

Small Business Assistance

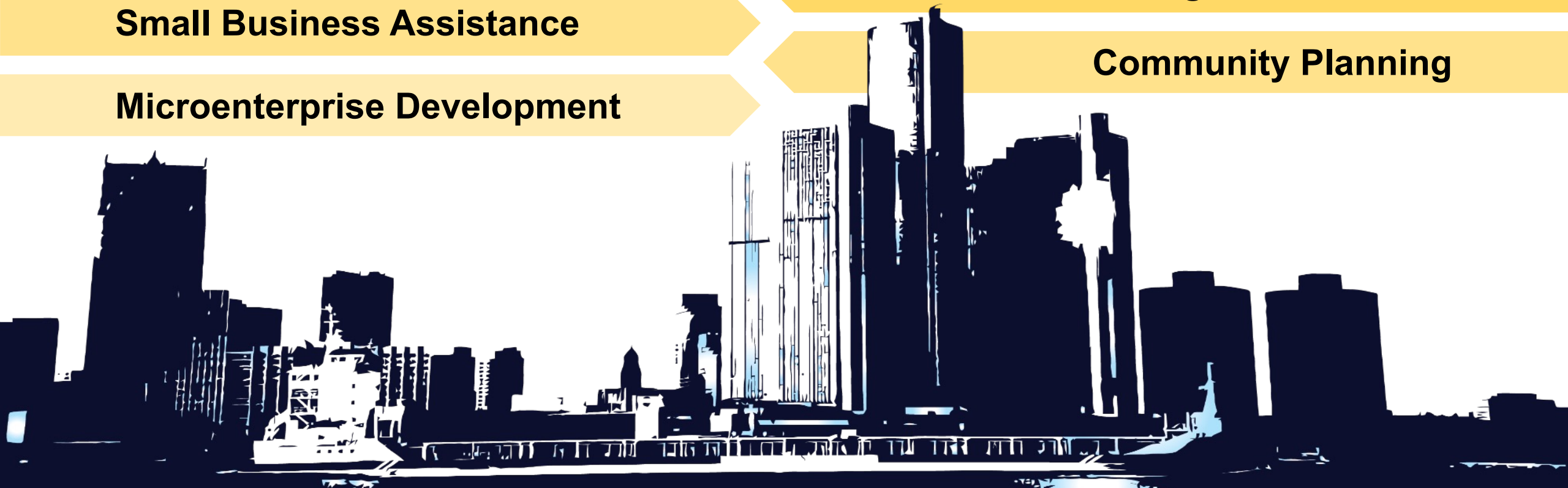
Microenterprise Development

Private Water/Wastewater Systems

Manufactured Housing Replacement

Housing Rehabilitation

Community Planning



Funding for Public Infrastructure Projects



**Homes and
Community Renewal**

Public Infrastructure Funding Source

Public Water/Sewer/Storm Water Management

NYS CDBG

- Up to \$1,000,000 for Cities, Towns and Villages
- Up to \$1,250,000 with committed co-funding
- Joint applications, \$1,500,000 - \$1,750,000

Typical activities

- Public Water Systems
 - water source development, storage, and distribution
- Public Sanitary Sewer Systems
 - sanitary sewage collection and treatment
- Storm Water Systems
 - flood control and storm water drainage

Funding for Public Facility Activities

Public Facility Funding Source

NYS CDBG Funding

*Up to \$1,000,000 for
Cities, Towns, Villages
and Counties*



Health Care
Centers



Day Care
Centers



Senior Centers,
Community Centers



Sidewalks, ADA
Compliance



Lighting



Tree planting

Funding for Economic Development Activities

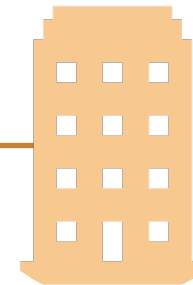
Economic Development Funding Source

NYS CDBG Funding



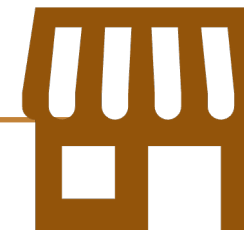
Traditional Economic Development

- Up to 40% of the project cost funded by CDBG
- Up to \$750,000
- Up to \$15,000 per job



Small Business Assistance

- Up to 40% of the project cost funded by CDBG
- Up to \$100,000
- Up to \$25,000 per job
- 20% owner equity
- 25 or fewer employees



Microenterprise Assistance

- Up to \$300,000
- Up to \$35,000 maximum per business

Funding for Housing Activities

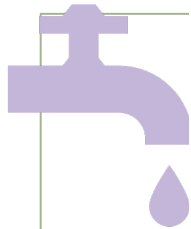
Housing Rehabilitation Activities



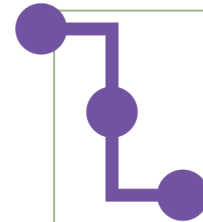
Housing
rehabilitation



Manufactured
housing replacement



Wells and septic
replacement



Lateral connection
assistance



Accessibility
Improvements

Housing Program Funding Sources



Housing Rehabilitation



NYS CDBG

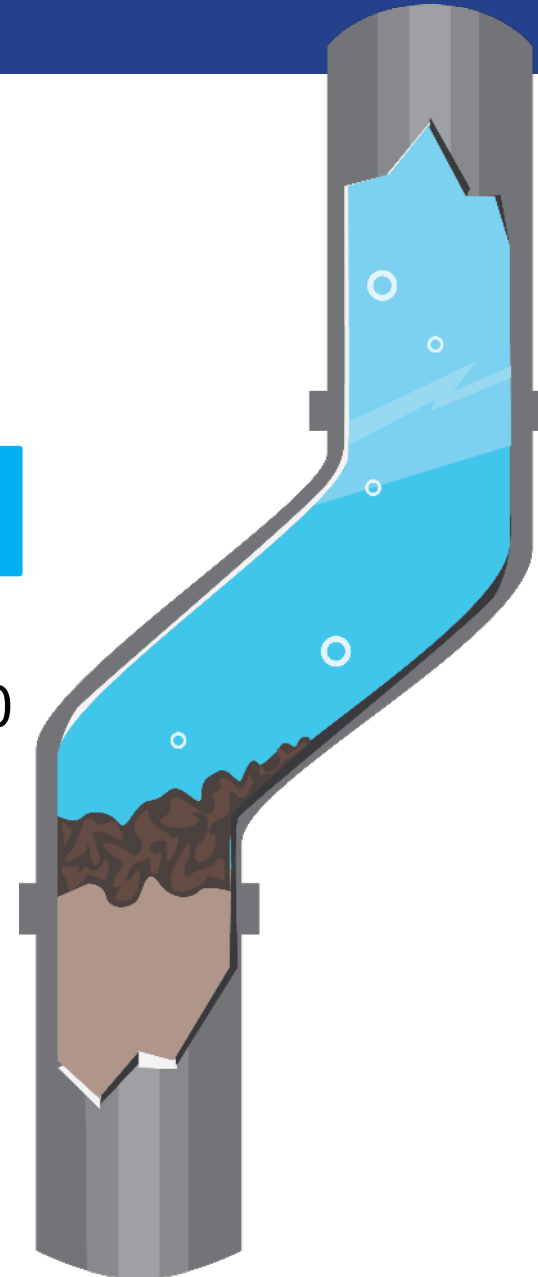
- Cities, Towns, Villages up to \$500K
- Counties up to \$1M

Housing Program Funding Sources

**Wells and Septic
Replacement
and
Lateral Connections**

NYS CDBG

- Cities, Towns, Villages up to \$500,000
- Counties up to \$1 million



Funding for Community Planning Activities



Community Planning Funding Source

NYS CDBG

- Up to \$50,000 for Cities, Towns, Villages and Counties

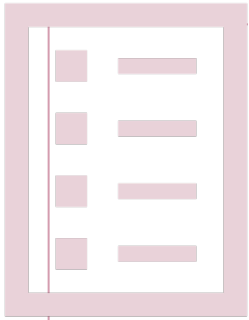


Getting Ready to Pursue State and Federal Funding

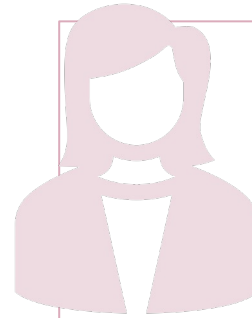


**Homes and
Community Renewal**

Project Planning and Development Challenges for Small Communities



Planning and management require commitment and follow-through

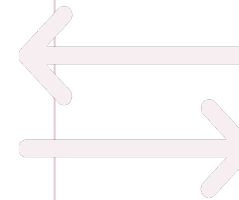


Elected officials are often part-time administrators



Project costs rise

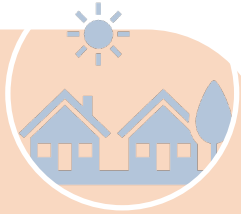
- Materials costs/ labor
- Compliance with new regulations
- Greater emphasis on emergency preparedness and response



Existing systems vs. new systems

Begin planning now

Master Planning



Review community wide needs



Housing needs



Economic development needs



Services such as daycare and healthcare

Begin planning now

Projects can take several years from planning to implementation

- Managerial
- Financial
- Technical

Be cognizant of the demands that will be imposed

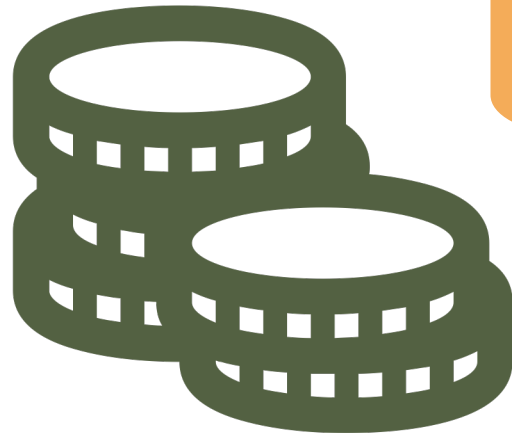
- May be turn-over of administration
- May be project opposition



Identify Potential Funding Sources



A successful project may require financing from more than one source



Involve representatives from all potential funding agencies early

- Valuable input on design and funding strategies
- How to maximize the project priority

Evaluate funding eligibility

State and Federal funding sources are only part of the solution

Funding programs don't have unlimited resources

Project could require co-funding

Also, not all projects are eligible

Priority is given to projects that address:

- Public health and/or environmental quality,
- Affordability and community need, and
- Potential for success including through partnering and/or co-funding.

Take advantage of technical assistance



A variety of no-cost technical assistance (TA):

- Funding agencies,
- Regulators,
- County agencies, and
- Private not-for-profit organizations such as
 - Rural Community Assistance Partnership (RCAP) Solutions
 - NYS Rural Water Association
 - Environmental Finance Center (EFC)

Other TA, planning, and grant-writing services can be contracted

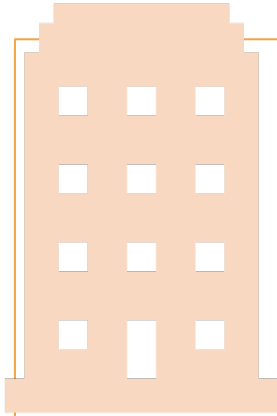
OCR is available to coordinate and assist with TA

Applying for Funding



**Homes and
Community Renewal**

How to Apply for Funds



Consolidated Funding Application (CFA)

- Public Infrastructure/Facilities (Annual)
- Community Planning (Annual)
- Microenterprise (Open Round)
- Economic Development (Open Round)
- Small Business Assistance (Open Round)



Community Development Online (CDOL)

- All Housing Activities
 - CDBG

Application Tips and Other Requirements

Tips:



Use the correct application



Use the submission checklist to ensure completeness



Document citizen participation (public hearing if required)



Key deficiencies: failing to show administrative capacity, adequate funding, budget inconsistencies, readiness



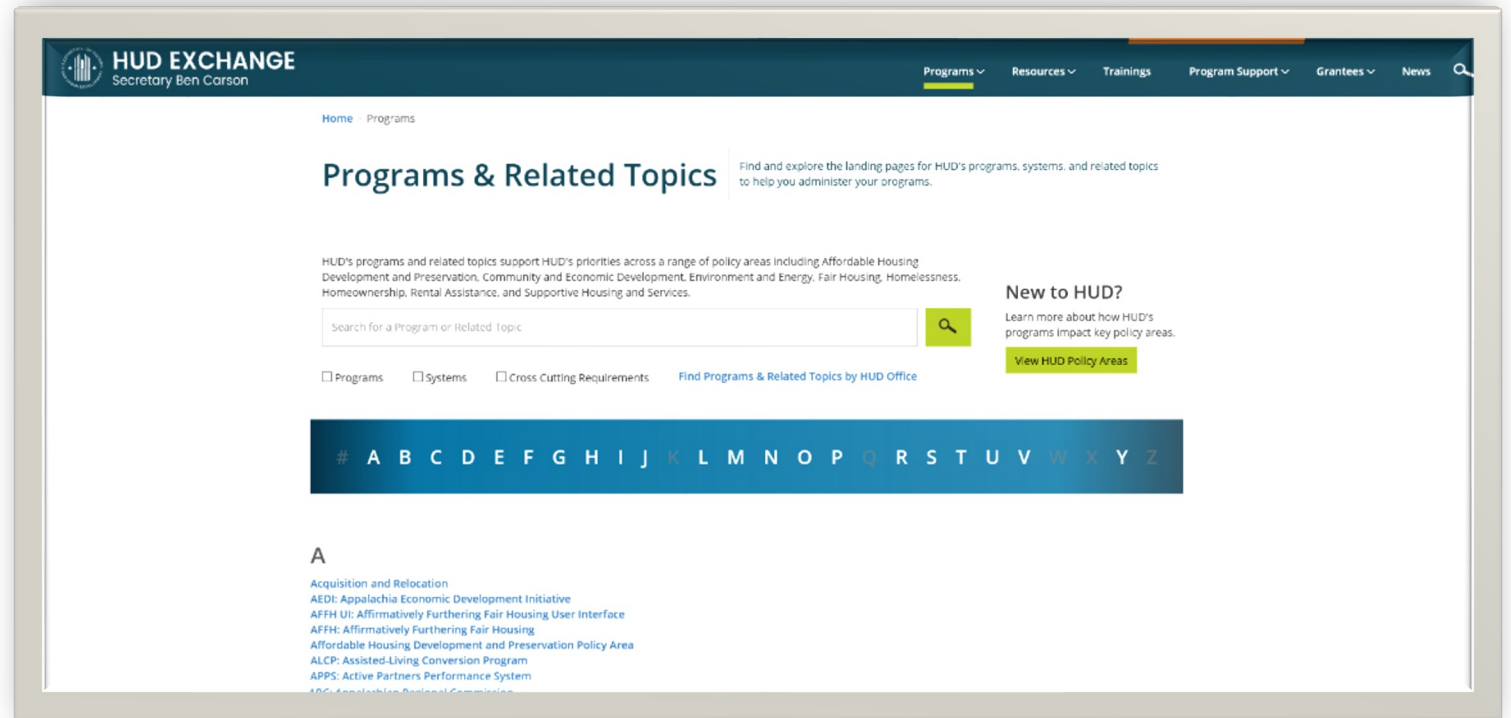
Don't take choice limiting actions prior to award and clearance (Environmental Review)

HUD Exchange

For HUD Exchange CDBG and HOME only

Source for:

- CPD Notices
- CPD income calculator
- Toolkits



Question and Answer



Homes & Community Renewal Resources (Links)

[Affordable Housing Corporation](#)

[Access to Home Program](#)

[CDBG Program](#)

[CDBG Economic Development](#)

[HOME Program](#)

[New York Main Street Program](#)

[RESTORE Program](#)

[MMHR Program](#)

[NYS Homes and Community Renewal](#)

HCR Staff Contact Information

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**Division of Local
Government Services**

Department of State

Spring Funding Roundtable

March 29, 2023

A Division of New York Department of State

March 30, 2023

Local Government Funding Programs

Local Government Efficiency (LGE) and Shared Services Programs

- Local Government Efficiency Grant (LGE Grant)
- Citizens Reorganization and Empowerment Grant (CREG)
- Countywide Shared Services Initiative (CWSSI)

Community Development Programs

- Northern Border Regional Commission (NBRC)
- Appalachian Regional Commission (ARC)

Local Government Efficiency and Shared Services



Program Mission

Assist local governments develop and implement new opportunities for savings and modernize service delivery; inform local government officials and boards.



Municipal Re-organization

Government Consolidation –
Dissolution of one local government into another or the combination of two or more local governments

Functional Consolidation –
One municipality provides a service or function for another municipality, which no longer engages in that service or function”



Shared Services

The joint provision, performance or delivery of a service, facility, activity, project or undertaking by two or more municipalities which each may lawfully undertake separately



Local Government Efficiency Grant (LGEG)

LGE Grant Funding

\$4 million anticipated in 2023

- Up to \$3.6 million for implementation
- Up to \$400,000 for planning projects
- Application in the CFA



Project Funding Levels

Planning Projects

\$12,500 per municipality, per project
Maximum of \$100,000 for a project
50% State Funds/50% Local Funds

Implementation Projects

Capped at \$200,000 per municipality, per project
Maximum of \$1,000,000 for a project
90% State Funds/10% Local Funds

Eligibility

Local Governments Applicants

- Towns, villages, cities, counties
- School districts, BOCES and public libraries
- Special districts, water and sewer authorities, regional planning boards

Expenses

- Contractual and professional services
- Capital and equipment
- Transitional personnel

Recent Awards

- 1) **Schoharie County** - Countywide Real Property Data Inventory - \$650,000
- 2) **Wayne County** - Wayne County Geospatial Data Collaboration - \$450,000
- 3) **Town of Tupper Lake** - North Country Regional GIS Infrastructure - \$346,050
- 4) **Town of Geddes** - Shared Property Data Collection - \$295,974
- 5) **Wyoming County** - Centralized Water Meter Reading and Billing - \$243,000
- 6) **Tioga County** - County Shared Technology Services Expansion - \$103,522
- 7) **Oswego County** - County-Wide Infrastructure Authority Feasibility - \$60,000
- 8) **Chautauqua County** - Consolidated Code Enforcement Plan - \$32,500
- 9) **Town of Canton** - Shared Highway and Public Works Strategic Plan - \$25,000
- 10) **Essex County** - County Solid Waste Management Study - \$20,000
- 11) **Town of Canisteo** - Highway Department Shared Services Study - \$15,000

Citizen's Reorganization and Empowerment Grant (CREG)

CREG

Implements General Municipal Law Article 17-A

Local Government, Special District Consolidation and Dissolution

Non-Competitive, Monthly Deadline

Maximum of \$100,000 per project

- \$50,000 maximum for a study
 - \$25,000 expedited for petitioned local governments
- \$50,000 maximum for implementation

CREG

Eligibility Applicants

- Towns
- Villages
- Special districts

Eligibility Expenses

- Contractual
- Capital, Construction and Equipment
- Transitional Personnel

Countywide Shared Services Initiative (CWSSI)

CWSSI

Established in the FY 2018 State Budget to generate property tax savings through intergovernmental collaboration

Local government panel, chaired by the Chief Executive Officer of the County, develops and approves a County-wide Shared Service Property Tax Savings Plan (Plan)

Plan will create demonstrable property tax savings from actions identified in the Plans



CWSSI

Opportunity for State Match Funding

- Plans that create actual and demonstrable savings across multiple jurisdictions may be eligible for a one-time match of the net savings resulting from new actions implemented pursuant to the CWSSI.



CWSSI Match Guidelines

- Match only applies to projects implemented in the one-year period beginning January 1st of the year following adoption of the CWSSI Plan
- Starting in 2021, the implementation period can start either January 1st or July 1st
- Savings must be from new actions included in your approved Plan



CWSSI Match Parameters

- Savings must result from shared services between two or more participating local governments
- Only net savings are eligible; implementation costs and grant funds must be subtracted from gross savings
- Capital costs and savings are amortized using the straight-line method of depreciation for the asset class (found in the State's "Period of Probable Usefulness" tables)

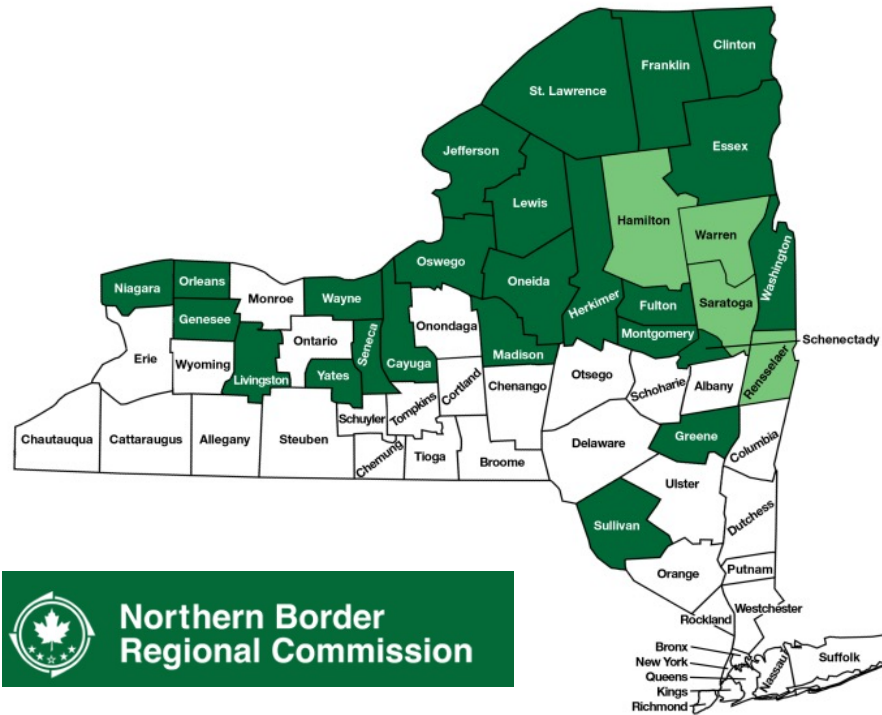
Northern Border Regional Commission (NBRC)

A Division of New York Department of State



Division of Local
Government Services

Northern Border Regional Development



- Four-state Partnership Program
- Federal Funding/State Priorities
- Municipalities and non-profits
- Match (80/20 or 50/50)
- Planning and implementation

Northern Border Regional Development

1. Infrastructure Priorities

Transportation

Water and Sewer

Telecommunications

Renewable and alternative energy

2. Workforce, entrepreneurship, and business development

3. Basic health care for distressed areas

4. Conservation, tourism and recreation for economic development



Northern Border Regional Development

Applications Received: 55

Total Funds Requested: \$35,538,998.86

Total Funds Awarded: \$5,800,000

Percent of Applications Awarded: 22%

NUMBER OF AWARDS:

There were **12** awards made from the 55 applications submitted.

DISTRESSED COUNTIES:

New York awarded **92%** of its funds to counties designated as distressed.

INFRASTRUCTURE:

New York awarded **67%** of its funds to infrastructure projects, including water/ sewer, broadband and transportation.

MATCHING FUNDS:

New York's projects leverage **\$11,584,756.67** in other funds.

\$40 million –

- Annual State Program - \$5.8/State
- Outdoor Recreation Program
- Forest Economy
- State Capacity
- No Broadband \$ for FY '23

\$30 Million - Infrastructure Investment and Jobs Act funding

- \$150 million total over 5 years

Northern Border Regional Development

Federal Agency Partnerships

- EDA – Economic Development Projects
- USDA – Economic Development/Outdoor Recreation
- DOL – WORC Program Partnership
- FHWA - Pilot projects that demonstrate the capabilities of wood-based infrastructure
- HRSA - Rural Health Outreach/Rural Communities Opioids Response Program

Northern Border Regional Development

Project Funding and Match

\$1,000,000 for an infrastructure project

\$500,000 for all other project types

Grants are reimbursements of previously paid and eligible expenses

Up to 80% federal funding per project



New York 2023 NBRC Projects

- 1) Town of Schroon - \$853,440 - Main Street Wastewater Upgrades
- 2) Town of Fayette - \$1,000,000 – Sewer Installation
- 3) Village of Canton - \$1,000,000 – Water Infrastructure Expansion
- 4) Adirondack Mountain Club - \$303,960 – Outdoor Recreation Enhancement
- 5) Village of Nelliston - \$800,000 – Sewer Upgrades
- 6) Historic Saranac Lake – Rehabilitation of Trudeau Building
- 7) St. Lawrence County IDA - \$494,400 – Industrial Rail Rehabilitation

New York 2023 NBRC Projects

- 8) Paul Smith's College - \$675,452 – Water and Wastewater Improvements
- 9) Plattsburgh-North Country Chamber of Commerce - \$285,600 – Comprehensive Welding Training Program
- 10) Griffiss Local Development Corporation - \$353,360 - Rail Capacity Expansion
- 11) Warren County - \$160,000 - Warren County Bikeway and Feeder Canal Trail
- 12) Town of Glenville - \$273,788 – Water Infrastructure Capacity Improvement
- 13) North Country Workforce Partnership - \$335,843 - Authentic STEM Connect US (ASTEM) Training Program

Appalachian Regional Commission (ARC)



Appalachian Regional Commission

ARC Strategic Goals:

1. Building Businesses
2. Develop Workforce Ecosystems
3. Improve Infrastructure
4. Enhance Regional Culture and Tourism
5. Strengthen Community Leaders and Capacity



Appalachia Envisioned

A New Era of Opportunity | ARC Strategic Plan Fiscal Years 2022-2026



Key –

- Southern Tier West Region
- Southern Tier Central Region
- Southern Tier East Region
- At Risk Counties
- Distressed Census Tracts

Local Development Districts (LDD) HQs

- Salamanca, Catt. Co.
- Corning, Steuben Co.
- Binghamton, Broome Co.

Appalachian Regional Commission

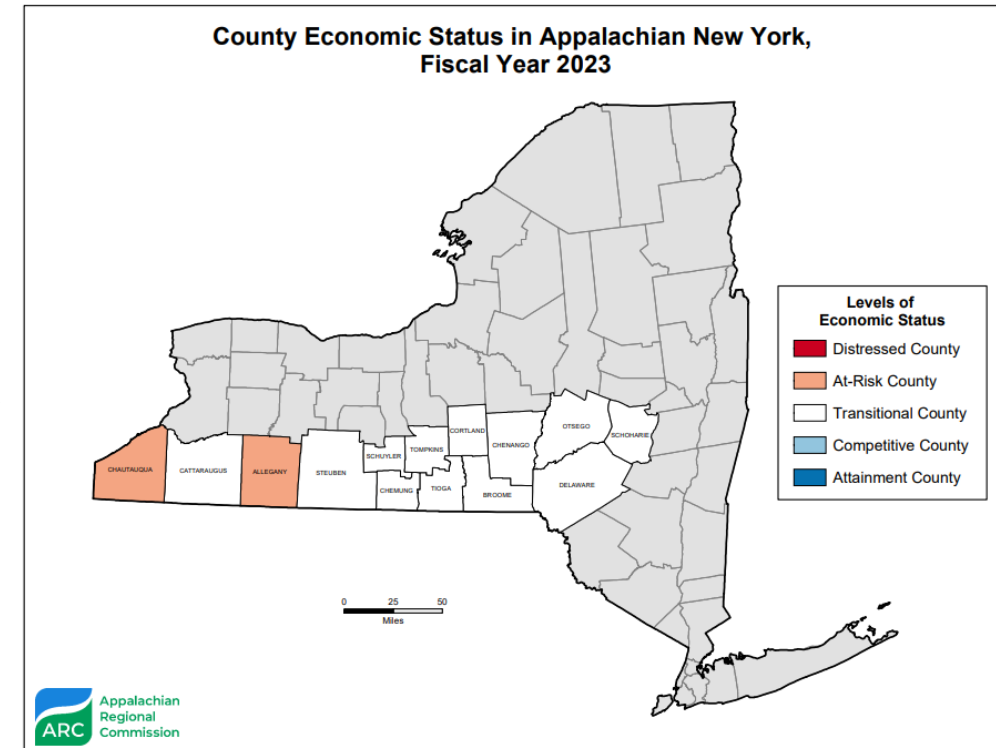
US Expenditure \$200 Million/Year

- **NYS's Allocation:**
 - \$3.4 Million - Area Development
 - \$3.9 Million - IIIJA (thru FFY-26)
- **Competitive Opportunities for all ARC locations:**
 - **\$65 Million - POWER** (Partnerships for Opportunity & Workforce & Economic Revitalization)
 - **\$13 Million - INSPIRE** (Investments Supporting Partnerships In Recovery Ecosystems)
 - **\$61 Million/3 years - WORC** (Workforce Opportunities For Rural Communities [USDOL])
 - **\$5 Million** - Energy Hub Development



Appalachian
Regional
Commission

New York Department of State



Division of Local
Government Services

Appalachian Regional Commission

IIJA – (Infrastructure Investment and Jobs Act) 2021

- \$ 1 Billion/5 years
 - \$100 Million Annually for Regional Development
 - **\$3.9M/yr for NYS**
 - \$80 Million Annually for ARISE (Appalachian Regional Initiatives for Stronger Economies)
 - \$10 Million – Capacity Development



Appalachian
Regional
Commission

New York Department of State



Division of Local
Government Services

Appalachian Regional Commission

Non-Financial Initiatives & Opportunities:

- Appalachian Development Highway System (ADHS)
- Summer Education Programs
 - ARC STEM at Oak Ridge National Lab (Programs for teachers & students)
 - Appalachian Entrepreneurship Academy
- READY Appalachia (Technical Assistance for Foundations, NFPs, and LDDs)
- Appalachian Leadership Institute
- ARC Goal Area Technical Assistance
- Grant-Writing Workshops & Webinars
- LDD-based Training & Technical Assistance
- Funding Opportunity Notifications & CFA Advice
- NYS EFC/DOS Co-Funding Initiative



Contact Information

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www.dos.ny.gov



NYS Department of State Planning Programs

DOWNTOWN REVITALIZATION INITIATIVE / NY FORWARD
SMART GROWTH COMPREHENSIVE PLANNING PROGRAM
BROWNFIELD OPPORTUNITY AREA PROGRAM

MARCH 30, 2023



**Office of Planning
and Development**



**Downtown
Revitalization
Initiative**

NY Forward

DOWNTOWN REVITALIZATION INITIATIVE & NY FORWARD

THE DEPARTMENT OF STATE'S PLAN-THEN-ACT STRATEGY AND THE SYNERGY BETWEEN THE DRI AND NY FORWARD ARE CREATING GREATER OPPORTUNITIES FOR NEW YORK RESIDENTS, BUSINESSES, AND VISITORS.

MARCH 30, 2023

COMPLIMENTARY PROGRAMS– EXPANDING ON SUCCESS

Downtown Revitalization Initiative

Round VI – Total: \$100M

\$10M for each of NY's 10 Economic Development Regions



New York Forward

Round I – Total: \$100M

\$10M for each of NY's 10 Economic Development Regions



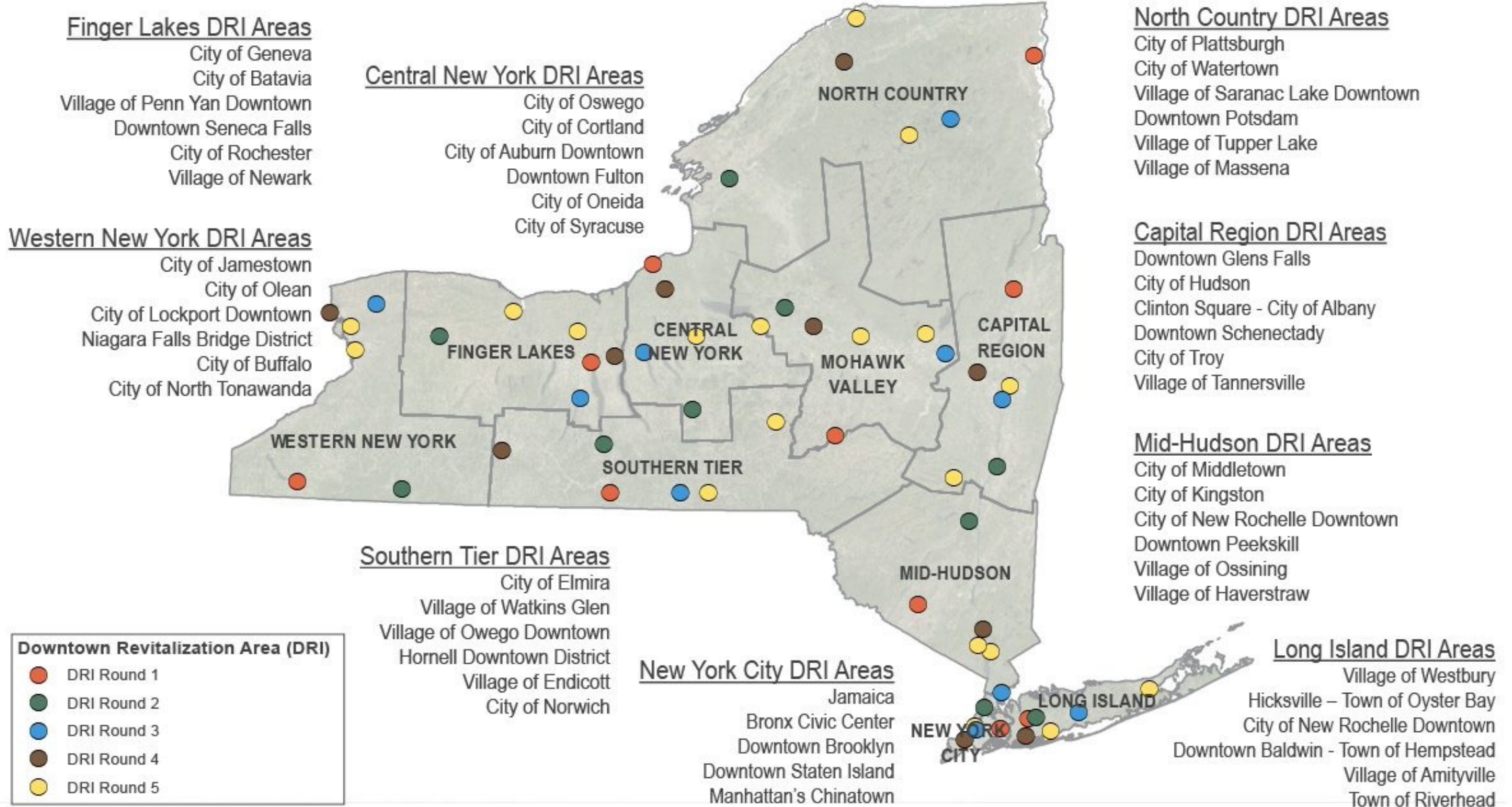
DRI GOALS

- Create an active, desirable downtown with a strong sense of place;
- Attract businesses that create a robust mix of shopping, dining, entertainment and service options for residents and visitors, and that provide job opportunities for a variety of skills and salaries;
- Enhance public spaces for arts and cultural events that serve the existing members of the community but also draw in attendees from around the region;
- Build a diverse population, with residents and workers supported by complementary diverse housing and employment opportunities;
- Grow the local property tax base;
- Provide amenities that support and enhance downtown living and quality of life; and
- Reduce greenhouse gas emissions by creating compact, walkable development patterns that increase public transit ridership

DRI PROCESS



DRI REACH



IMPACT IN CENTRAL NEW YORK



**Downtown
Revitalization
Initiative**

NY Forward



SMART GROWTH COMPREHENSIVE PLANNING PROGRAM

THE SMART GROWTH PROGRAM OFFERS MUNICIPALITIES THE OPPORTUNITY TO PLAN AND ZONE FOR THEIR COMMUNITY BY ADDRESSING ALL ASPECTS OF SMART GROWTH.



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SMART GROWTH PRINCIPLES

1. Mixed Land Uses
2. Range of Housing Opportunities and Choices
3. Development and Redevelopment in Existing Communities
4. Distinctive, Attractive Communities with a Strong Sense of Place
5. Density
6. Clean Energy
7. Climate Change
8. Resiliency
9. Green Infrastructure.
10. Social Diversity and Integration
11. Regional Planning and Coordination
12. Walkable/Bikeable Neighborhood Design
13. Variety of Mobility Choices
14. Well-Planned and Well-Placed Public Spaces
15. Community and Stakeholder Collaboration in Planning



COMPREHENSIVE PLANNING

- Land Use Tool
- Vision for Community
- Foundation of decision making
- Short- and long- term goals

Municipalities are encouraged to develop and update Comprehensive Plans which identify a shared vision for the community.



BENEFITS OF COMPREHENSIVE PLANNING

- Identify community resources
- Develop community consensus
- Provide blueprint for future



AREA PLANNING

- Land Use Tool for a specific area
- Focuses on important priority areas to the community—e.g., Transit-Oriented Development; BOAs; downtowns; hamlets; central business districts
- Less time-consuming and expensive than a full comp plan



ZONING ORDINANCES

- Land Use Tool
- Implements the Vision for Community in the Comp Plan or Area Plan
- Legal Foundation for land use decision making
- Provides certainty to residents, developers and municipal officials



ELIGIBLE ACTIVITIES

- Preparing the Plan and/or zoning ordinance;
- Holding and facilitating community forums and public outreach;
- Coordinating with other governmental entities;
- SEQOR and environmental review; and
- Project management

ELIGIBLE APPLICANTS

- Villages, Towns, or Cities
- Counties, Regional Planning entities or Not-for-Profits
 - with the consent and acting on behalf of one or more villages, towns, or cities





BROWNFIELD OPPORTUNITY AREA PROGRAM (BOA)

THE BOA PROGRAM PROVIDES ASSISTANCE TO COMMUNITIES TO DEVELOP AREA-WIDE COMMUNITY-BASED PLANS TO REDEVELOP BROWNFIELDS AND ABANDONED SITES, TRANSFORMING THEM INTO CATALYTIC PROPERTIES THAT REVITALIZE THE AREA.

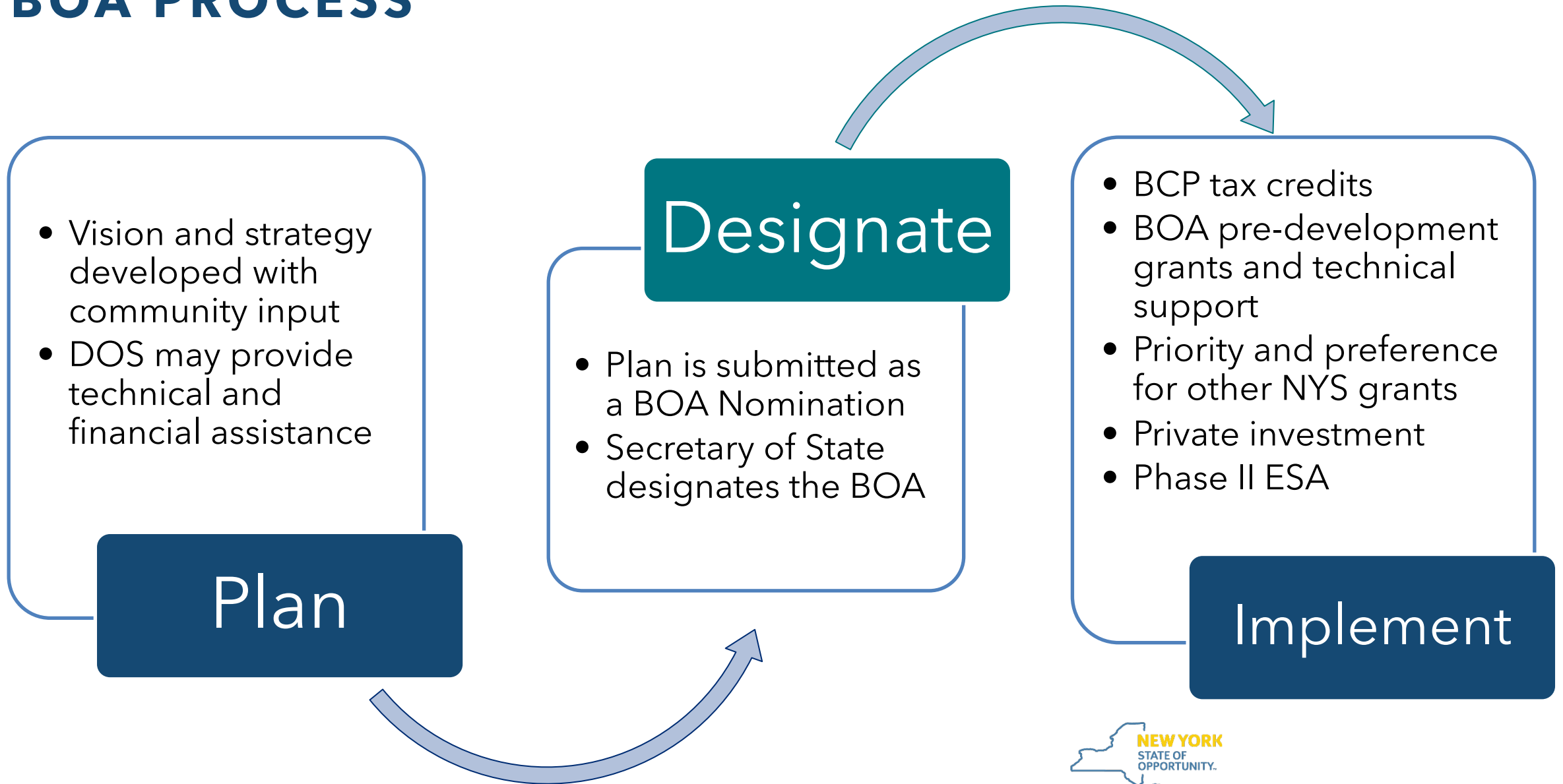


BOA PROGRAM EMPOWERS COMMUNITIES

- To address a range of problems posed by multiple known or suspected brownfield sites;
- To build community consensus on the future uses for the area with an emphasis on strategic sites that are known or suspected brownfields;
- To establish sustainable goals and objectives for area-wide revitalization and for redevelopment of strategic sites;
- To identify and establish the multi-agency and private-sector partnerships necessary to leverage assistance and investments to revitalize downtowns, neighborhoods, and communities;
- To address environmental justice concerns and promote environmental equity in areas that may be burdened by negative environmental consequences; and
- To engage in activities to implement the community's vision after BOA designation by Department of State.



BOA PROCESS



ELIGIBLE APPLICANTS

Municipalities

- Towns/Villages
- Counties
- Local Public Authorities
- Public Benefit Corps
- School Districts
- Special Improvement Districts
- Indian Nations/Tribes

Community-Based Organizations

- Not-For-Profit
- 501(c)(3)
- Mission to promote reuse of brownfield sites or community revitalization
- At least 25% of Board of Directors reside in area
- Community has demonstrated financial need

Community Boards

Defined and described in Section 2800 of the New York City Charter.

Applicants are encouraged to work in partnership & jointly apply for funding.

BOA ELIGIBLE GRANT ACTIVITIES

Develop BOA Plan

Develop a community-supported plan for revitalization culminating in designation by the Secretary of State.

Predevelopment Activities

Targeted activities that help move projects from concept to completion in State-Designated Brownfield Opportunity Areas.

Phase II Environmental Site Assessments

Formal assessment of a property's environmental condition in order to guide future land use decisions under the BOA Program within a State-Designated BOA.

DEVELOPING A BOA PLAN

BOA Plan:

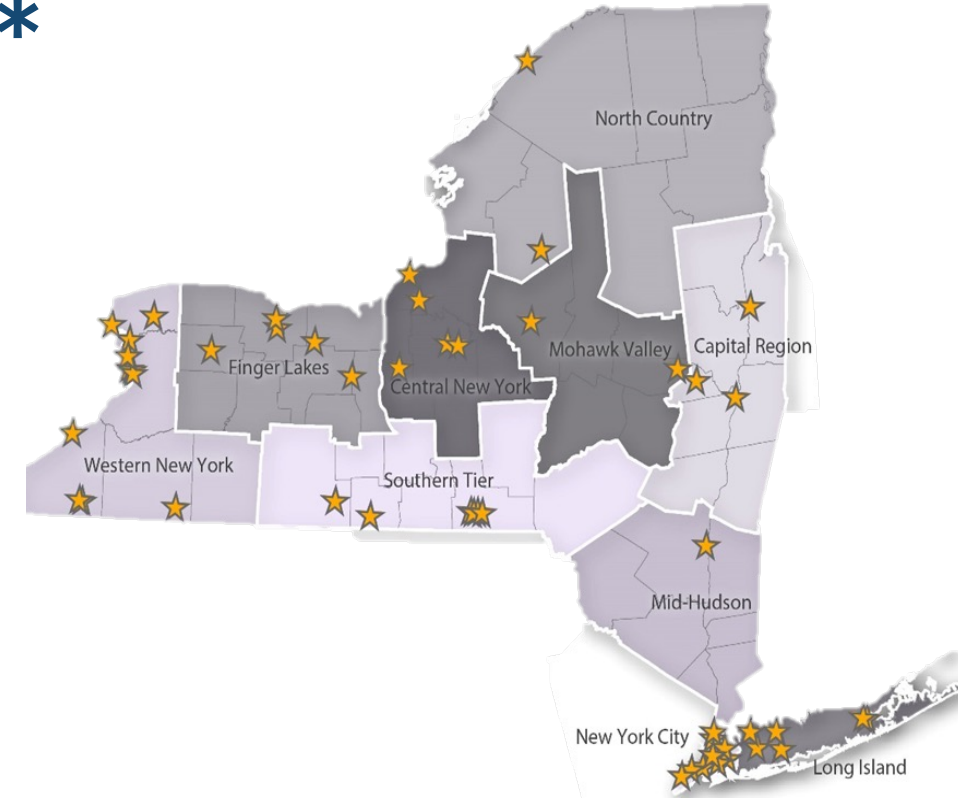
- Build community consensus around a vision for revitalization
- Provide a roadmap to return dormant brownfield sites back to productive use
- Identify key redevelopment opportunities
- Offer predictability for the development community and direction for public investment
- Culminates in a BOA Nomination for Designation

Guidance describing requirements for BOA Designation is available at:
https://www.dos.ny.gov/opd/programs/pdfs/BOA/BOA_Designation_Guidance.pdf



PREDEVELOPMENT ACTIVITIES*

- Development and implementation of marketing strategies
- Development of plans and specifications
- Real estate services
- Building condition studies
- Infrastructure analyses
- Zoning and regulatory updates; Environmental, housing and economic studies, analyses and reports
- Public outreach
- Renewable energy feasibility studies
- Legal and financial services



* Projects *must* be located within a State-Designated BOA. A list of designated BOAs is available at: <https://dos.ny.gov/brownfield-redevelopment>



PHASE II ENVIRONMENTAL SITE ASSESSMENTS (SA)*

- Site is one or more areas of environmental concern and may include more than one contiguous tax parcel.
- Site must have definitive and identifiable boundaries.
- Must meet the criteria and conditions stated in the *BOA Site Assessments: Guidance for Applicants*.
- SA must advance the goals and priorities for revitalization and redevelopment of the BOA area.



* Projects must be located within a State-Designated BOA. A list of designated BOAs is available at: <https://dos.ny.gov/brownfield-redevelopment>



PHASE II ENVIRONMENTAL SITE ASSESSMENT(SA) SUPPLEMENT

- A SA Supplement is required for each site for which Phase II Environmental Site Assessment funding is being requested.
- SA supplements will be evaluated on a pass/fail basis for eligibility as outlined in the RFA and in the SA guidance.
- The SA Supplement and guidance is available at <https://dos.ny.gov/funding-bid-opportunities>



CONSOLIDATED FUNDING APPLICATION

The 2023 Consolidated Funding Application (CFA)
will be announced in May.

To apply or access related CFA materials: <http://regionalcouncils.ny.gov>

Thank You!

Contact Information:
Holly Granat
Revitalization Specialist
Holly.Granat@dos.ny.gov
315-956-0042





Spring Funding Roundtable USDA Rural Development – New York

March 29, 2023

What is Rural Development?

- Rural Development is one of seven mission areas under the United States Department of Agriculture.
- We have more than 40 loan, grant, and technical assistance programs to support economic development in rural communities.
- Rural Development programs are delivered thru 3 agencies.
 - **Rural Business Service** these programs focus on assisting businesses along with energy programs.
 - **Rural Utilities Service** we provide assistance for water and sewer improvements
 - **Rural Housing Service** we assist w/ SFH loans for purchase & repair along w/ funding essential community facilities.

Where is USDA Rural Development – in New York?

- **1 State Office**
 - Syracuse
- **10 Area Offices**
 - Bath
 - Batavia
 - Canton
 - Canandaigua
 - Cortland
 - Greenwich
 - Marcy
 - Middletown
 - Schoharie
 - Watertown
- **Co-Located with FSA / NRCS**

**USDA Rural Development
State Office**
441 South Salina Street
Syracuse, NY 13202
Tel. (315) 477 6400 Ext. 4

Canton Area Office
1942 Old DeKalb Road
Canton, NY 13167
Tel. (315) 386 2401 Ext. 4
Fax (855) 889 1629

www.rd.usda.gov/NY

Watertown Area Office
P.O. Box 838 (21168 NYS Rt. 232)
Watertown, NY 13601
Tel. (315) 782 7289 Ext. 4
Fax (855) 889 1635

Canandaigua Area Office
Agricultural Service Center
3037 County Rd. #10
Canandaigua, NY 14424
Tel. (585) 394 0525 Ext. 4
Fax (855) 558 7583

Marcy Area Office
9025 River Road
Marcy, NY 13403
Tel. (315) 736 3316 Ext. 4
Fax (855) 558 7596

Batavia Area Office
29 Liberty Street, Suite 2
Batavia, NY 14020
Tel. (585) 343 9167 Ext. 4
Fax (855) 889 1627

Greenwich Area Office
2530 State Rt. 40
Greenwich, NY 12834
Tel. (518) 692 9940 Ext. 4
Fax (855) 889 1631

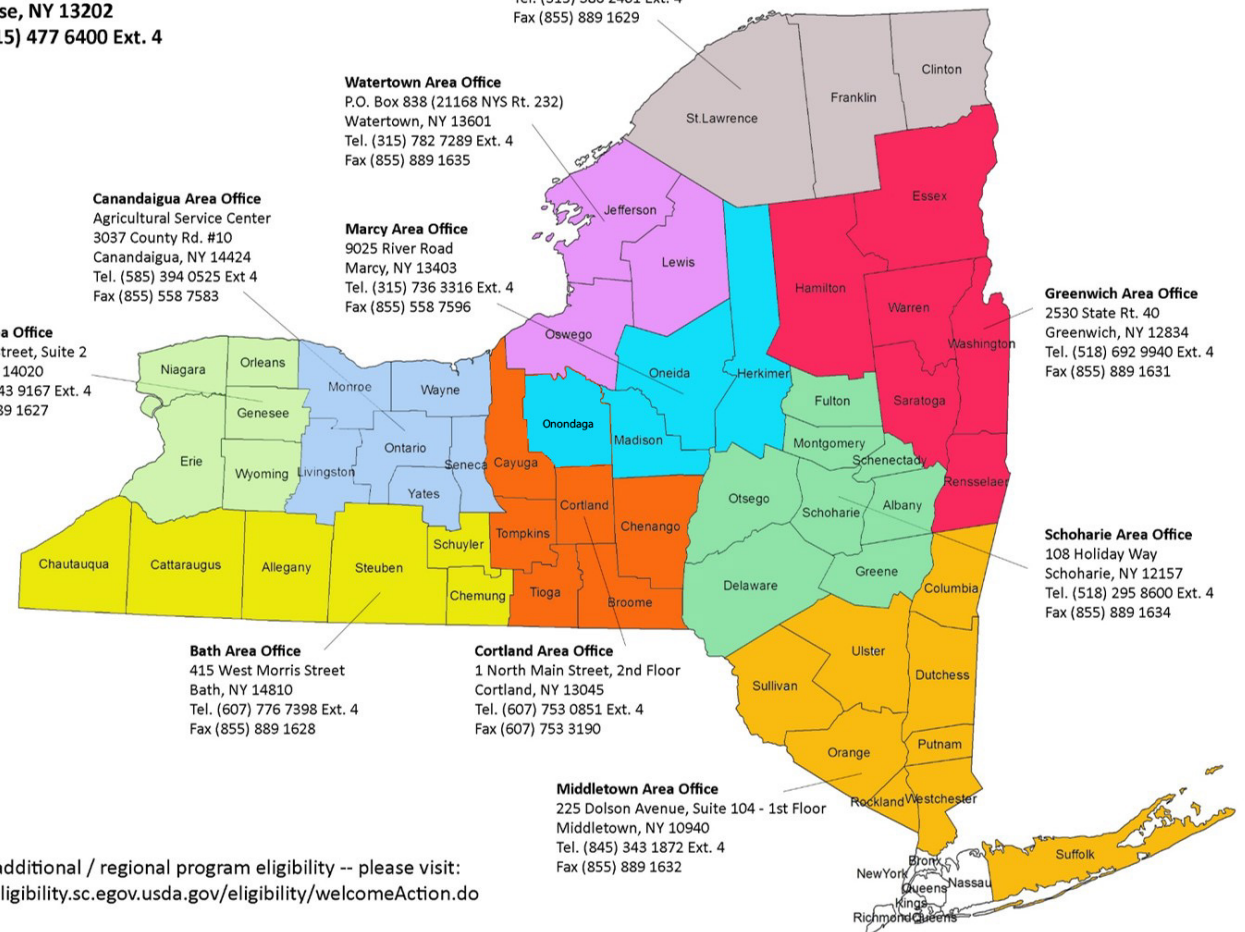
Schoharie Area Office
108 Holiday Way
Schoharie, NY 12157
Tel. (518) 295 8600 Ext. 4
Fax (855) 889 1634

Bath Area Office
415 West Morris Street
Bath, NY 14810
Tel. (607) 776 7398 Ext. 4
Fax (855) 889 1628

Cortland Area Office
1 North Main Street, 2nd Floor
Cortland, NY 13045
Tel. (607) 753 0851 Ext. 4
Fax (607) 753 3190

Middletown Area Office
225 Dolson Avenue, Suite 104 - 1st Floor
Middletown, NY 10940
Tel. (845) 343 1872 Ext. 4
Fax (855) 889 1632

To view additional / regional program eligibility -- please visit:
<https://eligibility.sc.egov.usda.gov/eligibility/welcomeAction.do>



Water and Environmental Programs



Clean Water & Sanitation Help for Rural Communities

- Water and Environmental Programs (WEP)
- Projects include the Construction, Repair, Modification, Expansion or Improvement of:
 - Drinking water sourcing, treatment, storage tanks and distribution/pumping stations
 - Sewer collection, transmission, treatment and disposal
 - Solid waste collection, disposal and closure
 - Storm water collection, transmission and disposal.

Water & Waste Disposal Loans & Grants

- Funding may be used for:
 - Construction costs
 - Purchasing existing facilities to improve or prevent loss of service
 - Legal fees
 - Engineering fees
 - Land acquisition
 - Water and land rights
 - Permits
 - Equipment
- Application preparation is not an eligible project expense.

Water & Waste Disposal Loans & Grants

Eligible Applicants:

- An applicant must be:
 - ❖ A public body
 - ❖ A Not-for-profit organization
 - ❖ Native American tribe or Federal or State reservation or other Federally recognized tribes.
- Rural areas with populations of 10,000 or less. Projects must serve a rural area.

WEP Direct Loan Terms

Terms:

- Loan repayment shall not exceed the useful life of the facility or 40 years. Subject to NYS Local Finance Laws.
- In New York, we use 38 years as the terms of the long-term loan as the community will be out on a **Bond Anticipation Note** (BAN) during construction which is typically a 2-year period prior to loan closing.
- Applicant is responsible for short term financing – typically BAN financing during the construction period for the loan portion/funds.
- After the loan funds have been disbursed through the interim financing, Rural Development closes on long-term financing which allows the municipality to retire the interim financing.

WEP Interest Rates

- **Poverty Rate** 2.25%
 - To qualify – 2010 MHI must be less than \$45,506 and primary purpose of the loan is to alleviate a documented health or sanitary problem of the facility being financed.
- **Intermediate Rate** 3.00%
 - To qualify – 2010 MHI of the service area is less than \$56,882 and the project does not alleviate a documented health or sanitary problem.
- **Market Rate** 3.75%
 - To qualify – 2010 MHI is \$56,882 or above. No grant funding is available for market rate projects. We will be switching to the 2020 MHI starting 10/1/2023.
- Rates change quarterly – January, April, July & October
- 2010 5-year average from Census.gov used to determine the MHI – **RD will be switching to 2020 5-year average starting 10/1/23.**

Loan Security

- Loans must be adequately secured.
 - General Obligation – New York requires General Obligation (GO) Bonds for Public Bodies.
 - Revenue Bonds for Authorities.
 - Assignment of Income.
- Rural Development must take the best security available when financing a project -- which in New York State is General Obligation Bonds.

Water & Waste Disposal Grants

- May be provided to reduce user costs to a reasonable level.
- Keep in mind the annual appropriations give USDA funding levels that equate to approximately 70% loan and 30% grant.
- National Office policy has implemented a minimum cost per EDU of 1.5% of the MHI before any grant funding will be provided to a project from our National Office reserve funds.
- National Office guidance for FY2023 – if applicants MHI is above 80% of SNMHI (\$45,506) and the project does not alleviate a Health or Sanitary issue, little to no grant funding should be put into the project. Grant funds must be provided to the most needed communities.
- Communities should expect to pay between 1.5% - 2.5% of their MHI – the higher the MHI the higher % of user cost. ($\$40,000 \text{ MHI} \times 1.6\% = \640 annually ; $\$55,000 \text{ MHI} \times 2\% = \1100 annually) (DS, O&M, SLA). If user cost exceeds 2.5% additional documentation will be required as National Office has determined projects are not sustainable with EDU cost above 2.5%.

WEP Guaranteed Loans

- Applicant eligibility the same as direct **except** the population for this program was just increased under the Farm Bill to 50,000.
- Term is negotiated between the lender and the applicant – but cannot exceed 40 years.
- Loan made by a commercial lender. Guarantee by the U.S. Government (up to 80% for potential loss).
- Reduces risk to the commercial lender which may result in lower interest rate from lender with our 80% guarantee.
- Lender pays 1.5% Guaranteed Fee of guaranteed amount.

Emergency Community Water Assistance Grants (ECWAG)

- Helps eligible communities (population of 10,000 or less) prepare, or recover from, an emergency that threatens the availability of safe, reliable drinking water.
- Eligible applicants – same as for direct loan/grant program.
- Grants made for repairs or partial replacement cannot exceed \$150,000
- Grants made for new water source cannot exceed \$1,000,000
- Streamlined application processing for projects that do not need additional Rural Development funding to complete the project.

Emergency Community Water Assistance Grants (ECWAG) Continued

- There must be an event that can caused the emergency. These events include but are not limited to:
 - Drought
 - Flood
 - Earthquake
 - Tornado or Hurricane
 - Disease outbreak
 - Chemical spill, leak or seepage
- Federal disaster declaration is not required

Planning Grants

- Assists low-income communities with initial planning and development of applications.
- Predevelopment feasibility studies, design and engineering assistance, and technical assistance for funding applications.
- USDA Rural Development has two programs provide pre-planning grants to assist communities with preparing their PER and ER for their RD Application
 - Water & Waste Disposal Predevelopment Planning Grant (PPG)
 - Special Evaluation Assistance for Rural Communities (SEARCH)

PPG & SEARCH Program Details

- **PPG Program**

- Eligible areas include rural towns/cities/villages (10,000 or less)
- Eligible Entities: Public Bodies, Not for profit organizations & Federally Recognized Tribes that have a 2010 MHI less than (\$45,506)
- Maximum grant amount is \$30,000 or 75% of predevelopment planning costs

- **SEARCH Program**

- Eligible areas include rural towns/cities/villages (2,500 or less)
- Eligible Entities: Public Bodies, Not for profit organizations & Federally Recognized Tribes that have a 2010 MHI less than (\$45,506)
- Maximum grant amount is \$30,000 or 100% of predevelopment planning costs (up to \$30,000)

RD Apply

- Safe and secure
- Convenient and available 24/7
- Faster processing with less paper
- Water & Waste Disposal program applicants apply online with RD Apply.
- RD Apply offers many advantages:
 - Identity Security
 - Reduced Paper Consumption

The screenshot shows the RD Apply web application interface in a browser window. The address bar displays the URL: <https://rdapply.sc.egov.usda.gov/Intake/spring/AppHomeFlow?execution=e1s1>. The page header includes the USDA logo and the text "United States Department of Agriculture Rural Development". The main navigation bar contains links for "RD Home", "Newsroom", "Contact Us", and "Help". Below the navigation bar, there are buttons for "Home", "Notifications", "Admin", "Electric CWP", and "Tutorials". The main content area features a section for "Add New Application" or "Authorized Representative Request", followed by a "Search Filters" section with a text input field for "Application Nbr". Below the search filters is a "Search Results" section. At the bottom right of the search results area, there is an "Export to Excel" button. The footer contains a list of links: "USDA.gov", "Site Map", "Policies and Links", "Our Performance", "Report Fraud on USDA Contracts", "Visit OIG", "FOIA", "Accessibility Statement", "Privacy Policy", "Non Discrimination Statement", "Information Quality", "USA.gov", and "White House".

A smiling firefighter, a Black man with glasses, stands in front of a fire truck. He is wearing a white t-shirt, yellow fire pants with black knee patches, and black suspenders. He holds a red fire helmet with goggles in his right hand. The background is a blurred fire truck with red and white stripes.

Community Facilities Program

- Hospitals, health clinics, medical facilities
- Educational – schools/colleges
- Municipal Office Buildings/
Highway maintenance facilities
- Fire houses, first responder vehicles and equipment
- Municipal vehicles – snowplows, street sweepers, police cars
- Transportation – roads, bridges, airports, sidewalks

Community Facilities Programs - Eligibility

- An applicant must be:
 - A public body
 - A Not-for-profit organization
 - Native American tribe on Federal or State Reservations or other Federally recognized tribes
- The facility must be located in a town/city/village with a population under 20,000
- Projects must serve a rural area -- the population served can exceed 20,000 but the physical location of the facility must be located in a rural area with a population under 20,000
- Other credit – applicant unable to finance the project from their own resources or through commercial credit at reasonable rates and terms

Community Facilities Programs – How can funds be used?

- Purchase of:
 - Real estate
 - Buildings
 - Existing facilities to improve service or prevent loss of service
- Construction, expansion or renovations to essential community facilities
- Purchase of vehicles and major equipment

Community Facilities Programs – Ineligible Purposes

Ineligible Purposes:

- Facilities to be used for recreational purposes – unless for educational purposes such as schools
- Commercial enterprises - A project that is typically operated by private enterprise or for the purpose of producing profits
- Electric generation and transmission facilities or telephone systems
- Loan and/or grant finder's fees/ application preparation fees
- Projects that are not modest in size, type and design
- Facilities that want to lease space for an ineligible purpose

Community Facilities Programs – Rates & Terms

- Interest rate – currently 3.75%; Rate changes quarterly – January, April, July and October 1st
- New construction – typically 30 years
- Rehabilitation / Expansion – typically 20 years
- Major Equipment – varies (useful life, ranges from 5 to 15 years)
- New fire trucks, rescue vehicles and police vehicles – range from 3 to 15 years or useful life
- *NYS Local Finance Law determines the term based upon local finance laws – these are typical terms; we may ask your bond counsel to determine the maximum term before obligating funds.*

Build America, Buy America Act (BABAA)

- Part of the Infrastructure Investment & Jobs Act (IIJA)
- All “federally assisted” infrastructure must comply with BABA
- BABA required for any projects financed by USDA after **2/3/23**
- RD waivers for de minimis and small projects (<\$250,000 total Federal financing).
- Customer Guide available for applicants and their consultants
- Still waiting on additional guidance

WEP Overview of Success – FY2022

	<u>Loans/ Grants</u>	<u>Funding</u>
WEP Direct Loans	27	\$83.1M (243% alloc)
WEP Direct Grants	17	\$27.8M (238% alloc)
Preliminary Planning (PPG)-SEARCH Grants (Technical Assistance)	4	\$109,550
ECWAG-Emergency	2	\$886,284
REAP Grant – special set aside funds (Tioga/Sullivan County & Town of Wawarsing only)	1	\$4,436,500

CF Overview of Success – FY2022

	<u>Loans/ Grants</u>	<u>Funding</u>
Community Facilities Direct Loans	23	\$22.7M
Community Facilities Direct Grants	17	\$887K
Community Facilities Guaranteed Loans	2	\$22.5M
Emergency Rural Health Care Grants	15	\$18.3M

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