



**Department
of State**

Department of State Grant Funding Opportunities

April 25, 2018

Local Government Financial Assistance

- Local Government Efficiency Program
 - Citizens Reorganization and Empowerment Grant
 - Citizens Reorganization and Empowerment Tax Credit
 - Local Government Efficiency Grant – \$4 Million
- Municipal Restructuring Fund - \$25 million
- Countywide Shared Services Initiative

Community Development

- Appalachian Regional Development - \$2+ million
- Northern Border Regional Development - \$1.75 million

Citizens Reorganization and Empowerment Grant (CREG)



CREG (Non-CFA program)

Implement General Municipal Law Article 17-A

- Re-Organization Study/Plan
- Expedited Re-Organization Assistance
- Re-Organization Implementation

CREG (Non-CFA program)

- Non-Competitive
- Monthly Deadline
- \$100,000 Maximum
 - \$50,000 maximum for a study
 - \$25,000 in expedited funds for petitioned local governments
 - \$50,000 maximum for implementation

CREG (Non-CFA program)

Eligibility Applicants

- Towns
- Villages
- Special districts

Eligibility Expenses

- Contractual
- Capital, Construction and Equipment
- Transitional Personnel

CREG (Non-CFA program)

- Local Government Reorganization
 - Consolidation
 - Dissolution
- District Consolidation
 - Water Districts
 - Sewer Districts
 - Fire Districts
 - Fire Protection Districts

Local Government Efficiency Grant (LGEeG)



LGEG Activities

- Local government re-organization
- Functional consolidations
- Charter revisions for functional consolidation
- Cooperative service agreements
- Regionalization of services
- Individual local governments analysis

LGE

Eligible Applicants

- Towns, villages, cities, counties,
- School districts, BOCES and certain libraries
- Special districts, fire districts

Eligible Expenses

- Contractual
- Capital, Construction and Equipment
- Transitional Personnel

LGE

Planning

- \$12,500 per municipality
- Maximum of \$100,000
- 50% State Funds/50% Local Fund

Implementation

- \$200,000 per municipality
- Maximum of \$1,000,000
- 90% State Funds/10% Local Fund



Application Scoring

LGE Program and REDC Scoring Allocation

Implementation vs Planning Review Criteria

Program Priorities

LGE Fiscal Impact Worksheet

2016-2017 Fiscal Impact Worksheet A - Applicant Information

Instructions:

1. In the **GREEN** area below, enter the name of the lead and co-applicants. Then enter the fiscal year for the data used and the total real property taxes and assessments (tax levy) for budget items funded by property taxes through the General Fund (A & B funds) or user fees for budget items funded by fees through Enterprise Funds (FX, G & S_ funds).
2. Once the entire workbook is completed, export the entire workbook as a PDF to attach to the CFA. Export as a PDF using your program's menus.

EXAMPLE					
	<u>Name</u>	<u>Fiscal Year</u>	<u>Tax Levy or User Fees</u>	<u>Fiscal Impact (\$)</u>	<u>Fiscal Impact (%)</u>
Lead Applicant	County	2014	\$ 75,000,000	\$ (90,000.00)	-0.12%
Co-Applicant 1	City A	2014	\$ 6,500,000	\$ 135,000.00	2.08%
	<u>Name</u>	<u>Fiscal Year</u>	<u>Tax Levy or User Fees</u>	<u>Fiscal Impact (\$)</u>	<u>Fiscal Impact (%)</u>
Lead Applicant				\$ -	-
Co-Applicant 1				\$ -	-
Co-Applicant 2				\$ -	-
Co-Applicant 3				\$ -	-
Co-Applicant 4				\$ -	-
Co-Applicant 5				\$ -	-
Co-Applicant 6				\$ -	-
Co-Applicant 7				\$ -	-
Co-Applicant 8				\$ -	-
Co-Applicant 9				\$ -	-
Co-Applicant 10				\$ -	-

If your project impacts more than 10 eligible municipalities, please contact the Department of State to ensure that the proper adjustments are made to this form to maintain accuracy.



Municipal Restructuring Fund (MRF)



MRF (Non-CFA program)

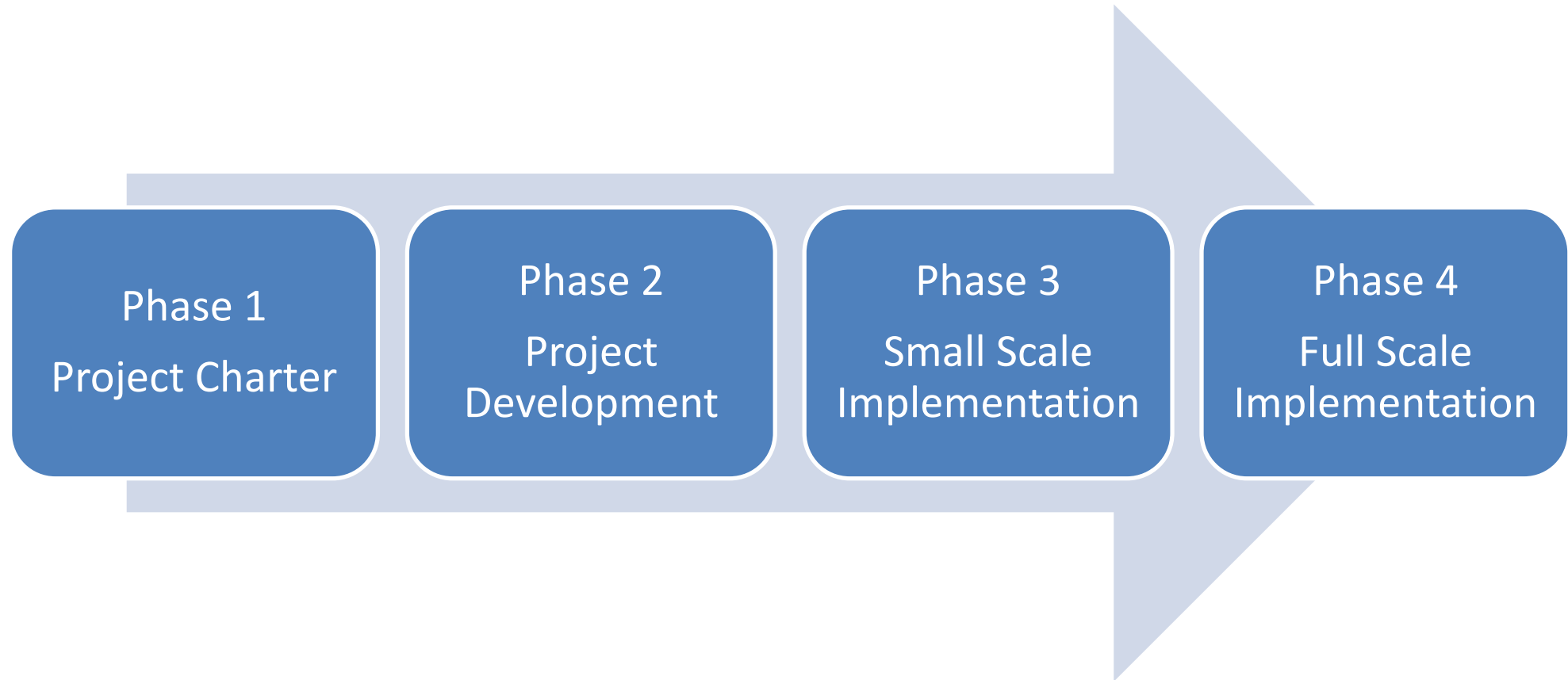
- Continuous recruitment process
- Original Release Date: February 2016
- Project Roll Up Dates: 2nd Wednesdays of March, July and November

Multi-phase Approach

- 4 Phases of project development
- Approval by DOS to move between each phase
- DOS will participate at each Phase to provide
- Projects may enter at appropriate phase



MRF Phases



Phases of Funding

Phase of Funding	Maximum Amount for a Project is based on the Tax Levy Impact and Implementation Award Calculation Worksheet (not to exceed Project Cost)
1. Project Charter	Upon completion of the Project Charter: 5% of the possible award amount (up to \$50,000) for Project Development (Phase 2)
2. Project Development	Upon completion of Phase 2: Up to 35% of the possible award amount for Small Scale Implementation (Phase 3)
3. Small Scale Implementation	Upon completion of Phase 3: Up to 60% of the possible award amount for Full Scale Implementation (Phase 4)
4. Full Scale Implementation	
Total	100%

Appalachian Regional Development Program

A Division of New York Department of State



Division of Local
Government Services

Appalachian Regional Development

Goal 1: Economic Opportunities

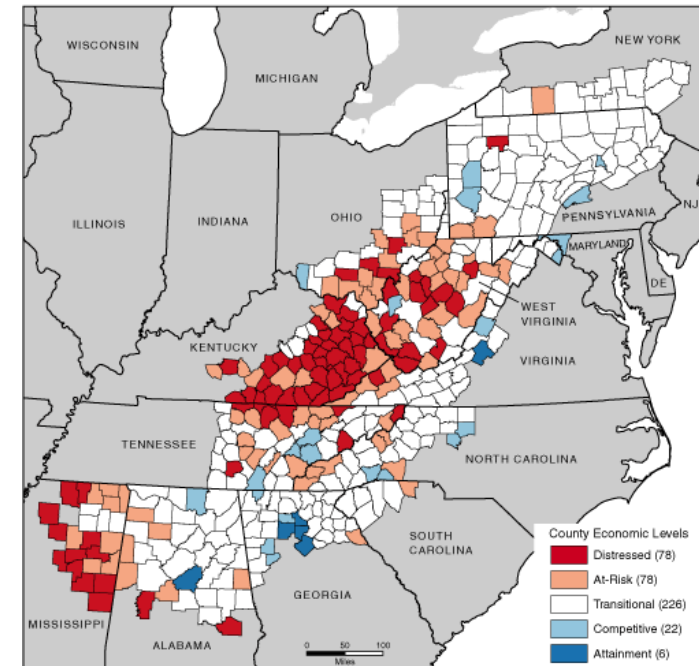
- Invest in entrepreneurial and business development strategies that strengthen Appalachia's economy.

Goal 2: Ready Workforce

- Improve the education, knowledge, skills, and health of residents to work and succeed in Appalachia.

Goal 3: Critical Infrastructure

- Invest in critical infrastructure—especially broadband; transportation, and water/wastewater systems.



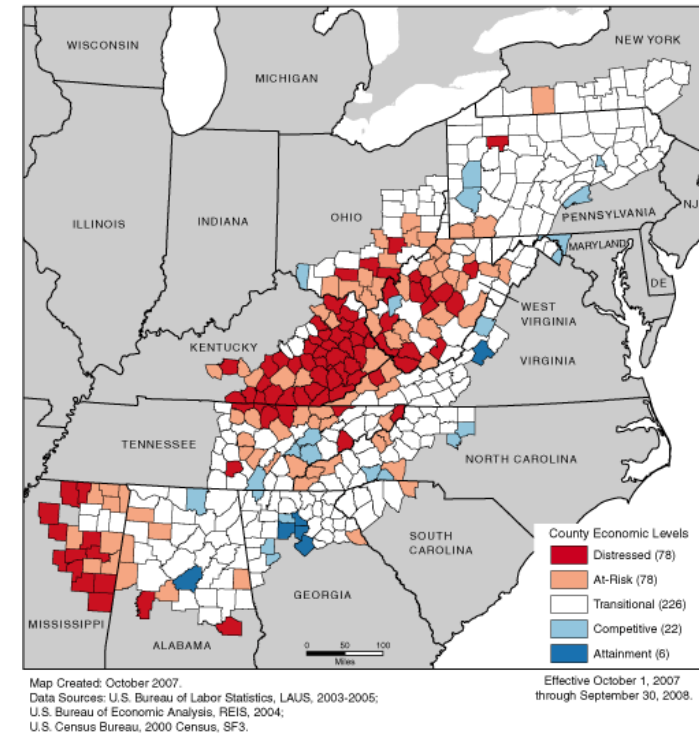
Appalachian Regional Development

Goal 4: Natural and Cultural Assets

- Strengthen Appalachia's community and economic development potential by leveraging the Region's natural and cultural heritage assets.

Goal 5: Leadership and Community Capacity

- Build the capacity and skills of current and next-generation leaders and organizations to innovate, collaborate, and advance community and economic development



Appalachian Regional Development

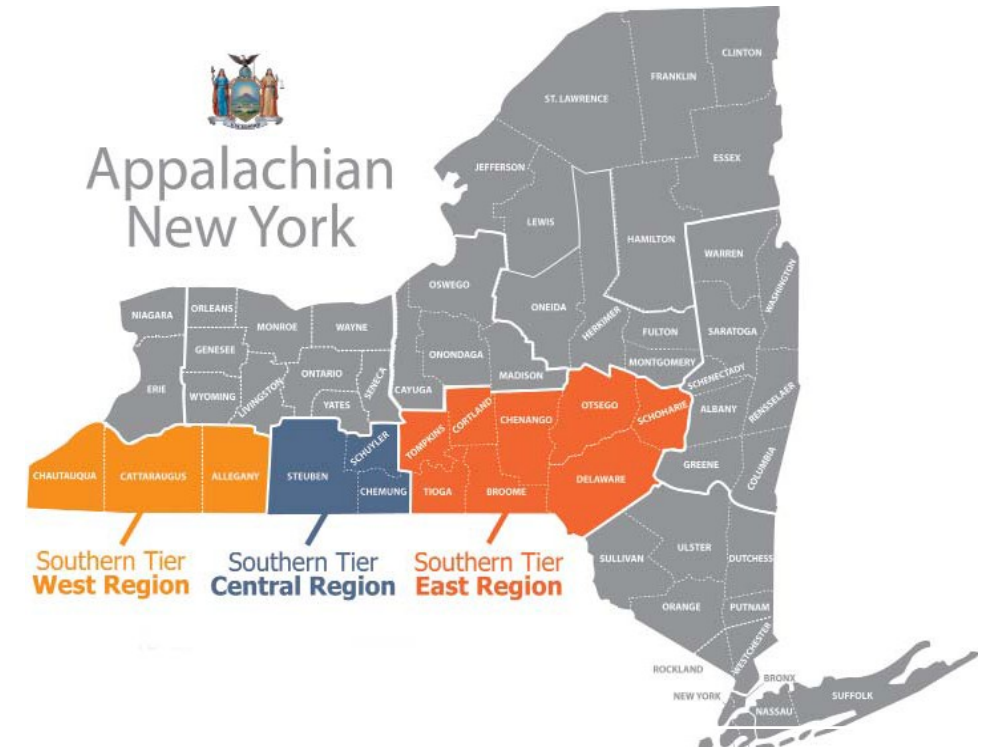
Municipalities and non profits

Federal Fiscal Year Submission

50/50 matching funds

Planning and implementation

\$2+ million for Southern Tier





Division of Local Government Services



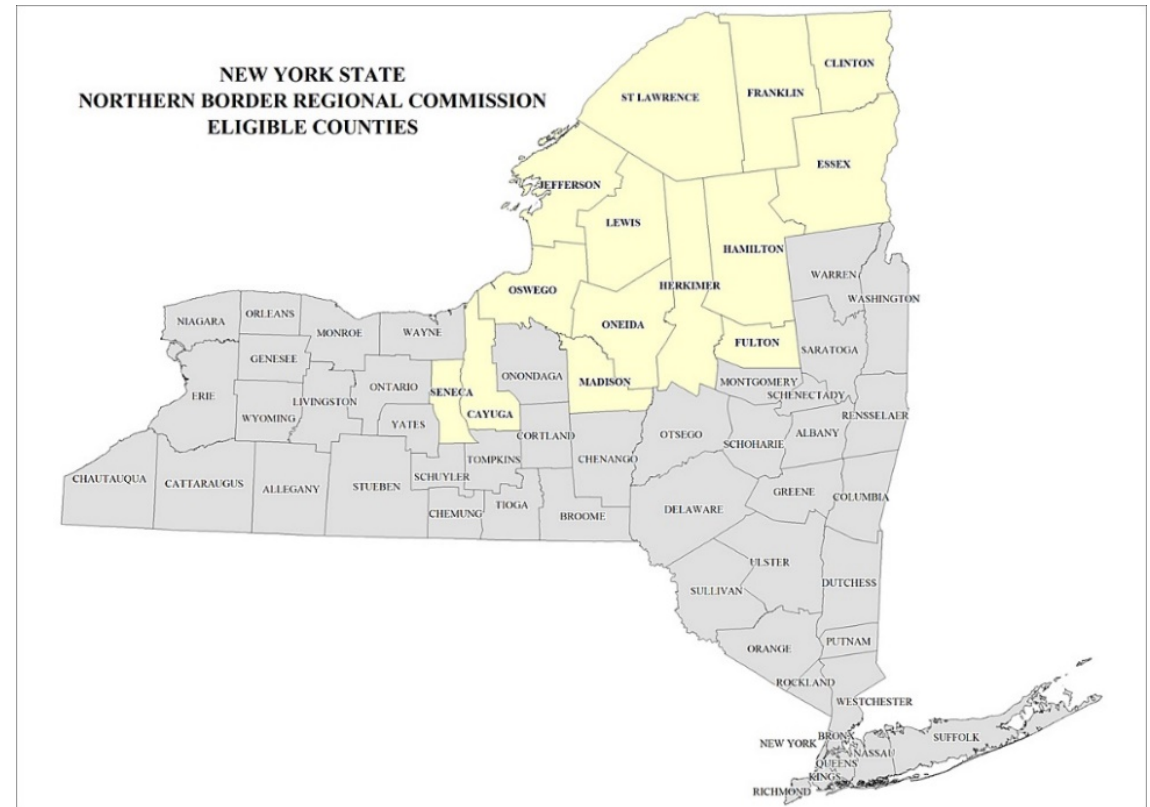
A Division of the New York Department of State

April 25, 2018

Northern Border Regional Development

Goal - 1

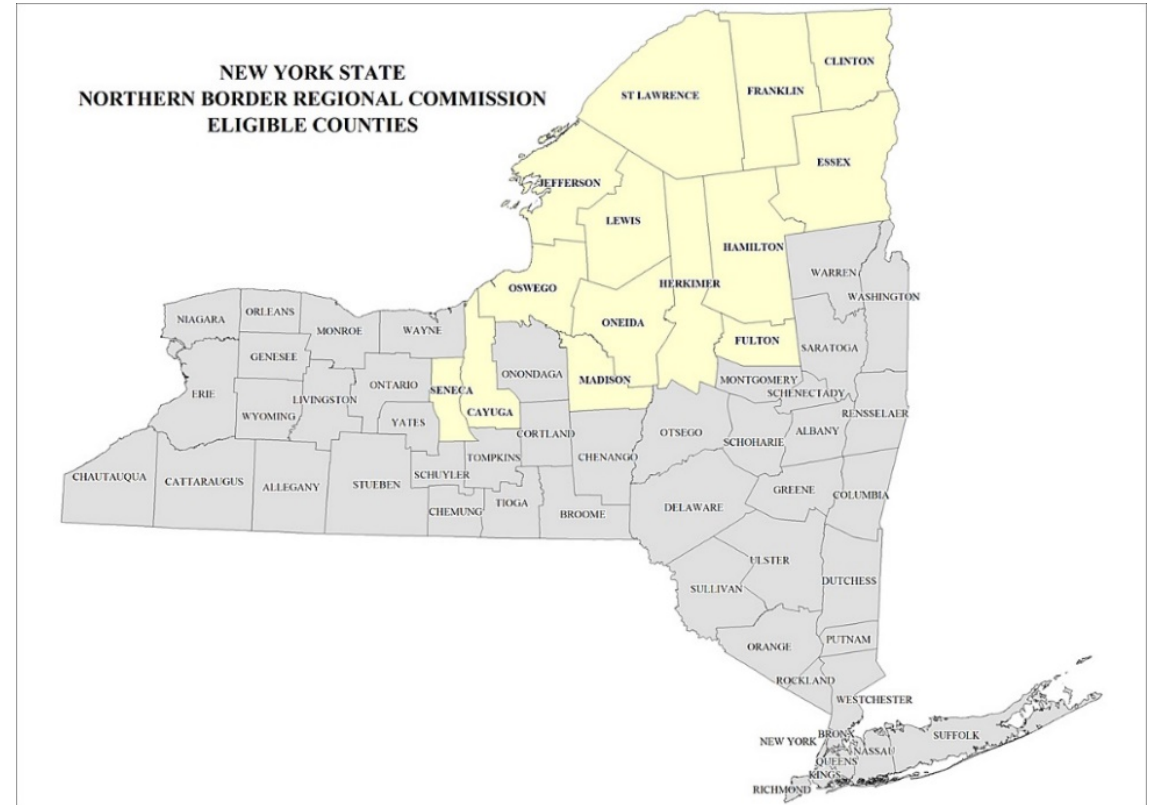
Modernized infrastructure to support business retention and expansion, and better position the region to compete in the global economy



Northern Border Regional Development

Goal - 2

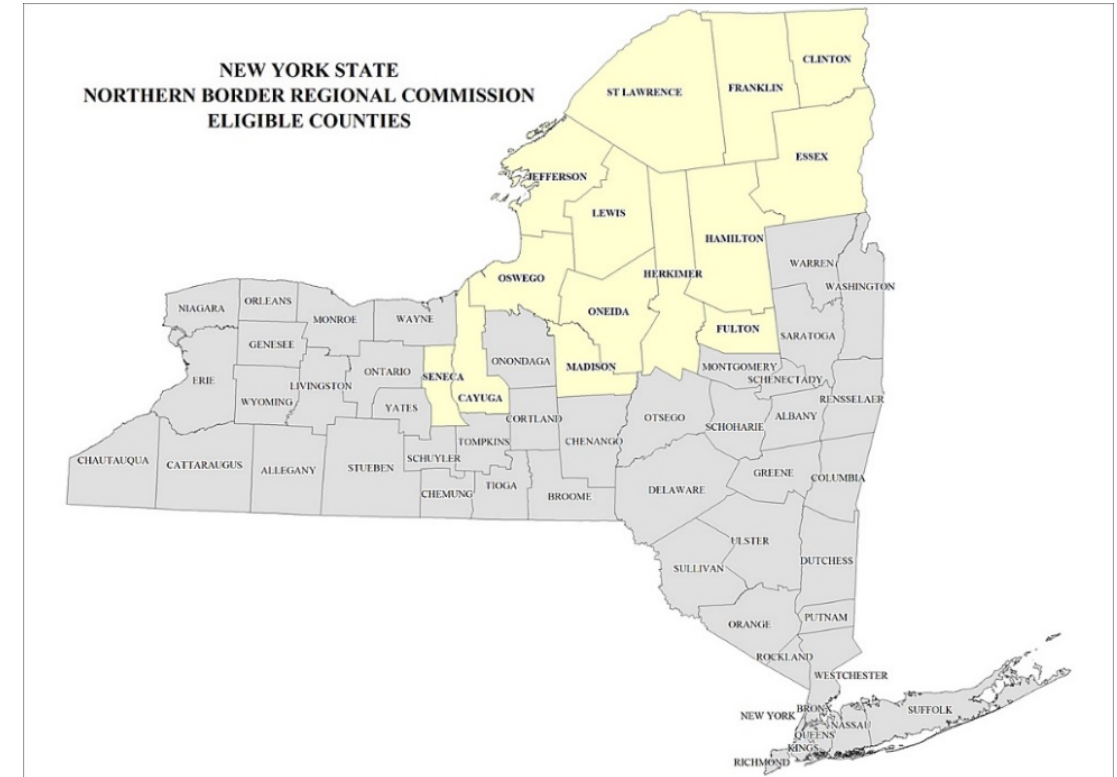
Build upon local assets to create and sustain jobs and wealth from the region's assets and are able to find employees with necessary skills.



Northern Border Regional Development

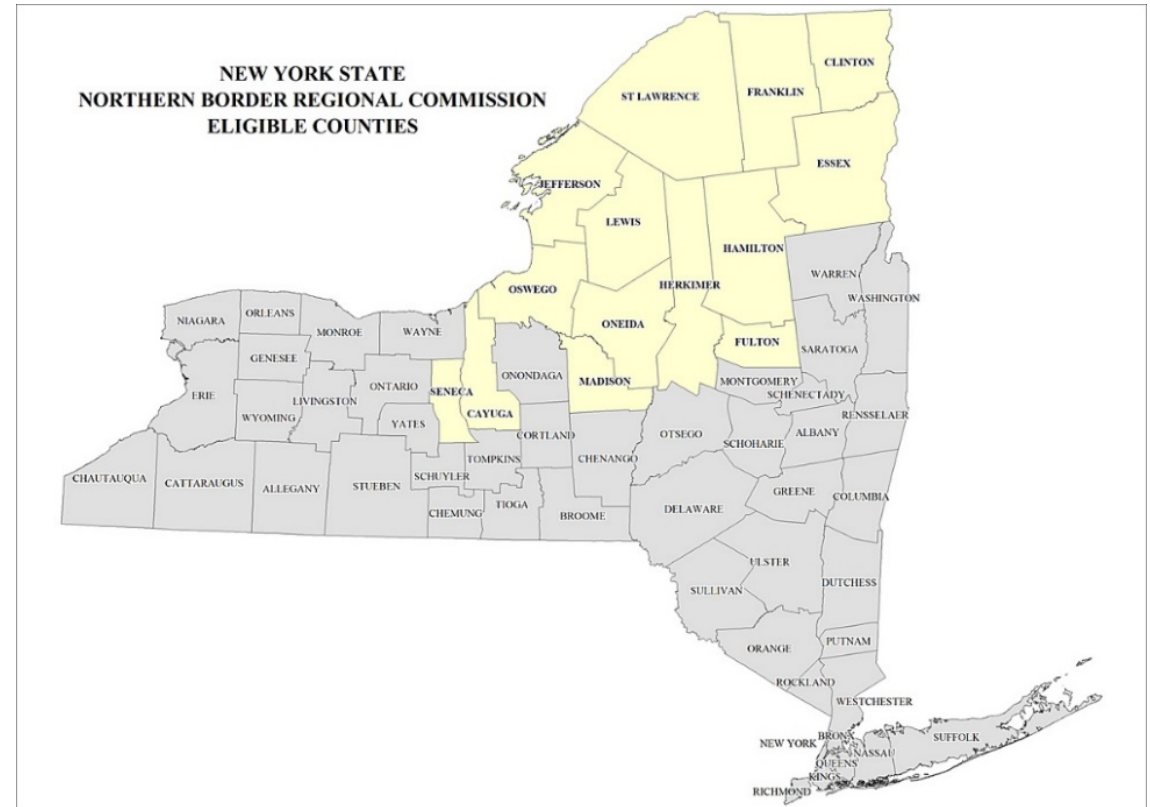
Goal - 3

- Assistance to communities and businesses to anticipate and capitalize on changing trends.



Northern Border Regional Development

- Municipalities and non profits
- Federal Fiscal Year
- 80/20 matching funds
- Planning and implementation
- \$1.75 million for North Country



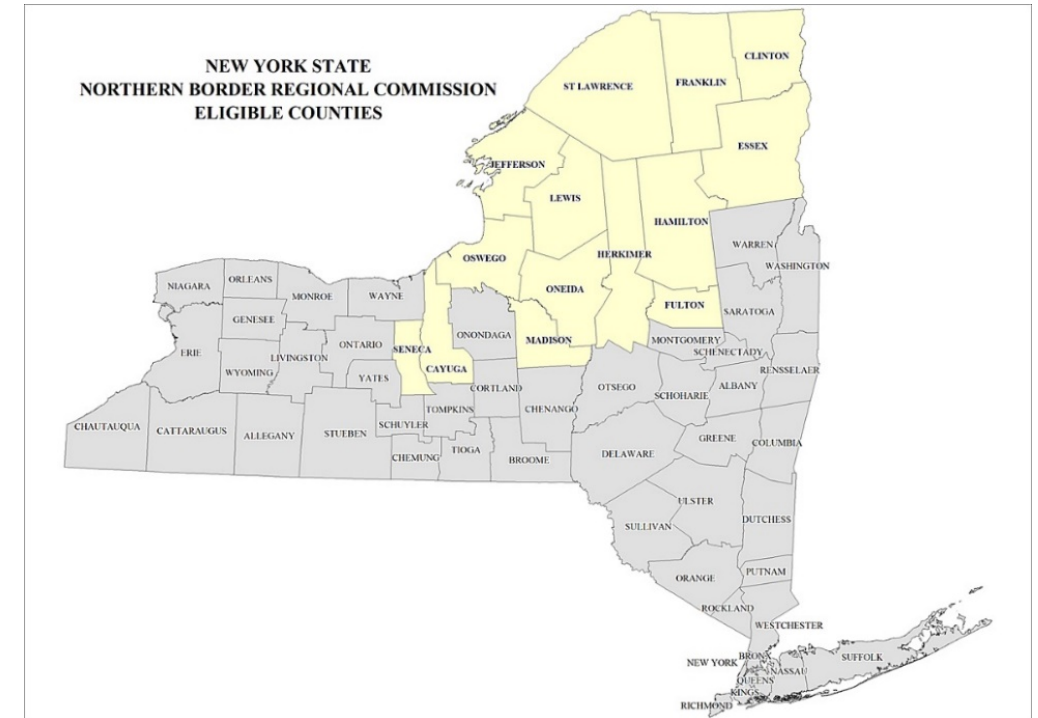
Newton Falls Rail Branch Rehabilitation Project

Massena Telecommunications Infrastructure Upgrade

Regional Business Incubator and Training Center

Northern NY's Forest Products Assistance

Clarkson University - Downtown Campus Revitalization Project



MWBE Requirements

NYS Smart Growth Public Infrastructure Policy Act of 2010

Thank you for your time

www.dos.ny.gov

New York Department of State

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