



**Department  
of Health**

# **Drinking Water State Revolving Fund (DWSRF) & Water Infrastructure Improvement Act (WIIA)**

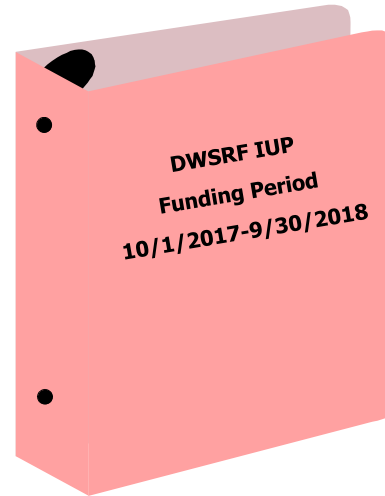
**Municipal Infrastructure Funding Roundtable  
Albany  
April 17, 2018**

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# DWSRF - Program Overview

- Provides low-cost financial assistance for drinking water infrastructure projects
- Administered by EFC and DOH
- Operate on the Federal Fiscal Year (FFY): **October 1 to September 30**
- Intended Use Plan (IUP)
- Annual Project Priority List (Annual List)



# DWSRF - Types of Financing

**Short-term** [Up to five years to provide funding for design and initiate construction]

- Interest-Free or market-rate loans

**Long-term** [Up to 30 years]

- Subsidized loans or market rate loans
- Interest-Free Loans and Grants



# DWSRF - Hardship Program

- Interest-free loans and/or grants to assist financially disadvantaged communities
- Maximum grant award is 60% of total project costs, not to exceed \$3 Million
- For FFY 2018 - \$8.4 million grant / \$44.8 million 0% loan awarded



# DWSRF - Hardship Program

## Hardship Eligibility Criteria

### Public Health Priority and Economic Need

- ❖ Project must be on Annual List at score equal to or above Hardship Line score
- ❖ Community or service area must have a Median Household Income (MHI) that is less than Statewide MHI (2015 American Community Survey NYS MHI = \$59,269)
  - Municipally-owned or PSC regulated privately owned system
  - Serve a population of less than of 300,000
  - Project cost less than \$14 million

(see IUP for further details)



# DWSRF – Program Accomplishments

## Since Program Inception in 1996

- Total of \$6.14 billion in project financings, including:
  - \$400 million in 0% loans
  - \$354 million in grants to disadvantaged communities
  - 421 public water systems received DWSRF assistance



# DWSRF – Program Eligibility

## Eligible Systems

- Public and privately owned community water systems
- Non-profit, non-community public water systems



## Eligible Projects

- New/upgrade/replace infrastructure to comply or remain in compliance with federal or state health standards
- Source, transmission, treatment, storage, pumping, distribution, metering, controls, security.....
- Public water system creation/extension

# DWSRF - Ineligible Projects

- Projects with the primary purpose of:
  - ✓ Fire protection
  - ✓ Growth/Development
- Also:
  - ✓ Dams
  - ✓ Reservoirs
  - ✓ Acquisition of land not integral to an eligible project





# DWSRF - Public Health Priority Ranking Criteria

- **Priority Ranking Criteria**

1. Acute public health risks
2. Chronic/long term risks
3. Infrastructure needs

- **Scoring Factors**

- ✓ MCL Violations
- ✓ Sanitary Code Violations
- ✓ System Reliability/Dependability Issues
- ✓ Financial Need

**Refer to IUP  
Attachment I**

# NYS Water Infrastructure Improvement Act (WIIA)

- State grants for drinking water and clean water infrastructure projects
- Created in 2015 with \$400 million for 3 years
- Added \$1 billion to be used over 5 state fiscal years in 2017
- Administered by EFC and DOH



# WIIA - Program Summary

## WIIA

- Grant up to 60% of eligible net project costs
  - Maximum grant = \$3 Million
  - No single project or municipality can receive more than \$3 million in DWSRF grant and/or WIIA grant over a rolling 5-year period
- \*(excludes IMG grants)

## Intermunicipal Grant Program (IMG):

- Project must serve multiple municipalities – lead muni applies on behalf of all
- Grant up to 40% of eligible net project costs
- Maximum grant = \$10 Million



# WIA – Program Eligibility

## Eligible Applicants:

- Municipalities
  - County
  - City
  - Town
  - Village
- District Corporation
- County/Town Improvement District
- School District
- Indian Nation or Tribe
- Public Benefit Corp. or Authority



## Eligible Projects:

- Infrastructure projects defined as eligible by the DWSRF program

# WIIA - Evaluation Criteria

- Protection of public health and water quality (DWSRF scoring criteria)
- Financial Need
- **Readiness of project to move forward**

# WIA – Application Requirements

## Requirements for Complete WIA Application:

- Submit Engineering Report to DOH
- Complete State Environmental Quality Review (SEQR)
- State Historic Preservation Office (SHPO) acknowledgement letter
- Smart Growth Act Compliance
- Bond Resolution Adopted
- Completion of water district formation (if applicable)



# WIA – Program Accomplishments

## Total Amounts over 3 State Fiscal Years

- Round 1: \$50 Million in SFY 15-16 (Awarded December 2015)
- Round 2: \$175 Million in SFY 16-17 (Awarded August 2016)
- Round 3: \$225 Million in SFY 17-18 (Awarded October 2017)

## Drinking Water Awards

- Round 1: \$20 Million for 12 projects
- Round 2: \$87 Million for 51 projects
- Round 3: \$114 Million for 72 projects

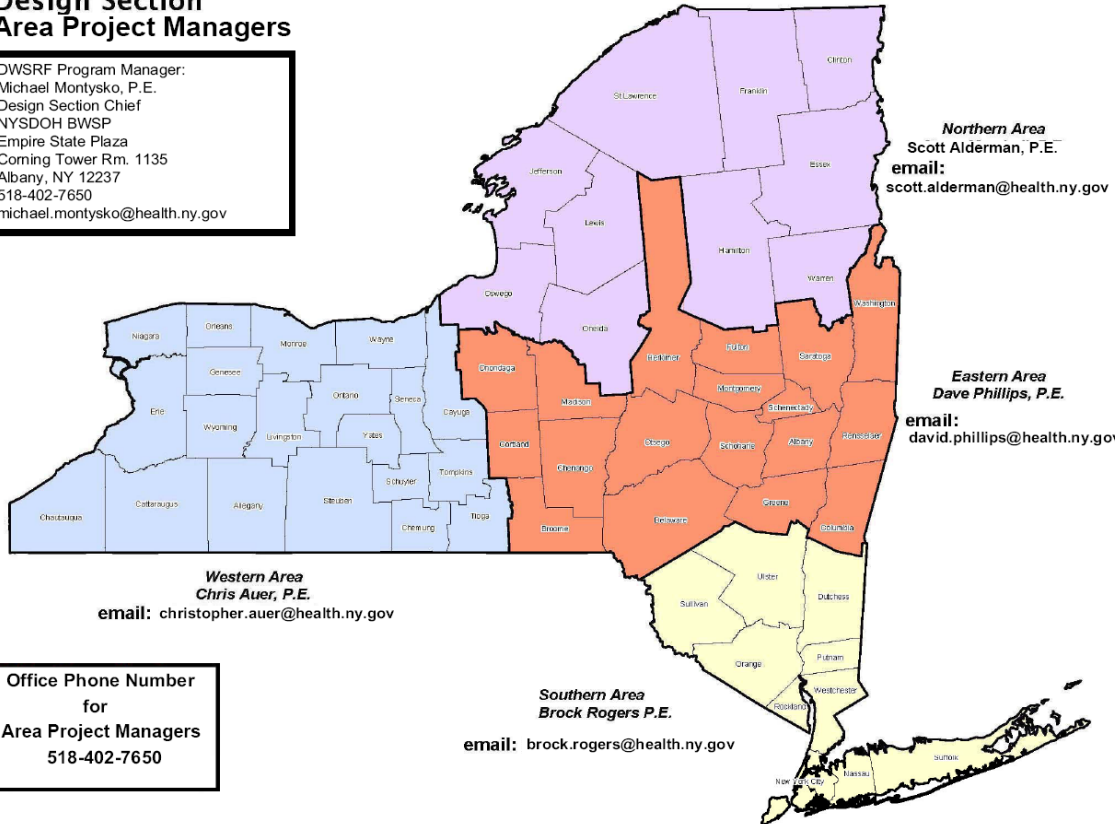


**Round 4: Coming soon, but.....timing and amount TBD**

# DOH Central Office Contacts

## Design Section Area Project Managers

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(Attachment VIII of the IUP)



# For More DWSRF or WIA Information

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- Contact the DOH staff member shown in Attachment VIII of the IUP
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