



**Department
of Health**

Drinking Water State Revolving Fund (DWSRF) & Water Infrastructure Improvement Act (WIIA)

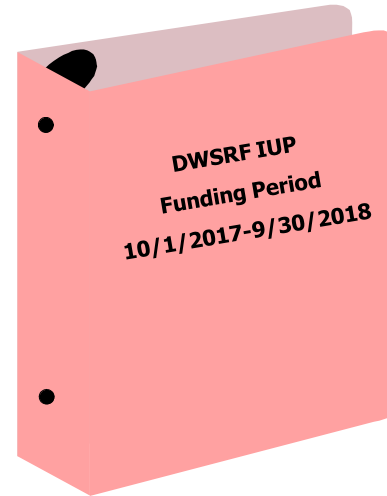
**Municipal Infrastructure Funding Roundtable
Syracuse University
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DWSRF - Program Overview

- Provides low-cost financial assistance for drinking water infrastructure projects
- Administered by EFC and DOH
- Operate on the Federal Fiscal Year (FFY): **October 1 to September 30**
- Intended Use Plan (IUP)
- Annual Project Priority List (Annual List)



DWSRF - Types of Financing

Short-term [Up to five years to provide funding for design and initiate construction]

- Interest-Free or market-rate loans

Long-term [Up to 30 years]

- Subsidized loans or market rate loans
- Interest-Free Loans and Grants



DWSRF - Hardship Program

- Interest-free loans and/or grants to assist financially disadvantaged communities
- Maximum grant award is 60% of total project costs, not to exceed \$3 Million
- For FFY 2018 - \$8.4 million grant / \$44.8 million 0% loan awarded



DWSRF - Hardship Program

Hardship Eligibility Criteria:

- Municipally-owned or PSC regulated privately owned system
- Project must be on Annual List at score equal to or above Hardship Line score
- Serve a population of less than of 300,000
- Project cost less than \$14 million
- Community or service area must have a 2015 Median Household Income (MHI) that is less than Statewide MHI of \$59,269 (see IUP for details)

DWSRF – Program Accomplishments

Since Program Inception in 1996

- Total of \$6 billion in project financings, including:
 - \$400 million in 0% loans
 - \$354 million in grants to disadvantaged communities
 - 421 public water systems received DWSRF assistance



DWSRF – Program Eligibility

Eligible Systems

- Public and privately owned community water systems
- Non-profit, non-community public water systems



Eligible Projects

- New/upgrade/replace infrastructure to comply or remain in compliance with federal or state health standards
- Source, transmission, treatment, storage, pumping, distribution, metering, controls, security.....
- Public water system creation/extension

DWSRF - Ineligible Projects

- Projects with the primary purpose of:
 - ✓ Fire protection
 - ✓ Growth/Development
- Also:
 - ✓ Dams
 - ✓ Reservoirs
 - ✓ Acquisition of land not integral to an eligible project



DWSRF - Public Health Priority Ranking Criteria

- **Priority Ranking Criteria**

1. Acute public health risks
2. Chronic/long term risks
3. Infrastructure needs

- **Scoring Factors**

- ✓ MCL Violations
- ✓ Sanitary Code Violations
- ✓ System Reliability/Dependability Issues
- ✓ Financial Need

**Refer to IUP
Attachment I**

NYS Water Infrastructure Improvement Act (WIIA)

- State grants for drinking water and clean water infrastructure projects
- Created in 2015 with \$400 million for 3 years
- Added \$1 billion to be used over 5 state fiscal years in 2017
- Administered by EFC and DOH



WIIA - Program Summary

WIIA

- Grant up to 60% of eligible net project costs
 - Maximum grant = \$3 Million
 - No single project or municipality can receive more than \$3 million in DWSRF grant and/or WIIA grant over a rolling 5-year period
- *(excludes IMG grants)

Intermunicipal Grant Program (IMG):

- Project must serve multiple municipalities – lead muni applies on behalf of all
- Grant up to 40% of eligible net project costs
- Maximum grant = \$10 Million



WIA – Program Eligibility

Eligible Applicants:

- Municipalities
 - County
 - City
 - Town
 - Village
 - District Corporation
 - County/Town Improvement District
 - School District
 - Indian Nation or Tribe
 - Public Benefit Corp. or Authority



Eligible Projects:

- Infrastructure projects defined as eligible by the DWSRF program

WIIA - Evaluation Criteria

- Protection of public health and water quality (DWSRF scoring criteria)
- Financial Need
- **Readiness of project to move forward**

WIA – Application Requirements

Requirements for Complete WIA Application:

- Submit Engineering Report to DOH
- Complete State Environmental Quality Review (SEQR)
- State Historic Preservation Office (SHPO) acknowledgement letter
- Smart Growth Act Compliance
- Bond Resolution Adopted
- Completion of water district formation, including Office of the State Comptroller approval, if applicable



WIIA – Program Accomplishments

Total Amounts over 3 State Fiscal Years

- Round 1: \$50 Million in SFY 15-16 (Awarded December 2015)
- Round 2: \$175 Million in SFY 16-17 (Awarded August 2016)
- Round 3: \$225 Million in SFY 17-18 (Awarded October 2017)

Drinking Water Awards

- Round 1: \$20 Million for 12 projects
- Round 2: \$87.5 Million for 51 projects
- Round 3: \$113.9 Million for 72 projects

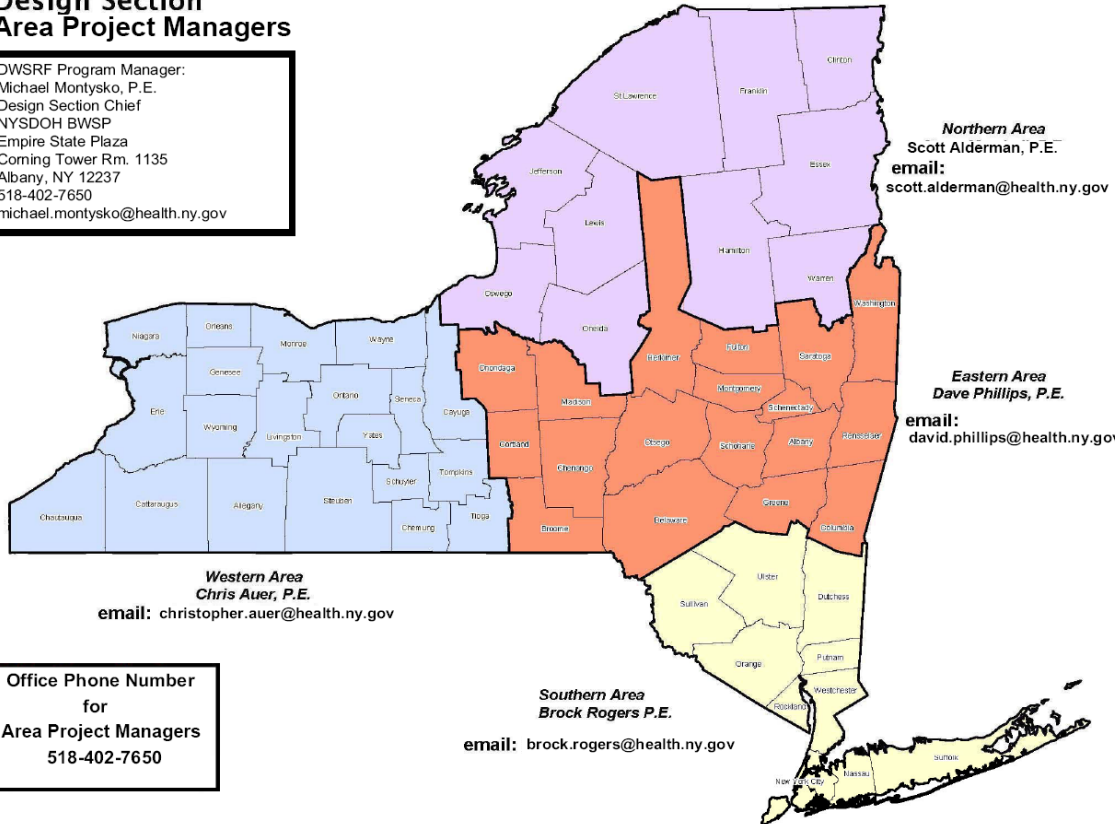
Round 4: Timing and amount TBD



DOH Central Office Contacts

Design Section Area Project Managers

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(Attachment VIII of the IUP)

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For More DWSRF or WIA Information

- Visit www.efc.ny.gov,
- Contact the DOH staff member shown in Attachment VIII of the IUP
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