



**Homes and
Community Renewal**

CDBG

State and Federal Funding

**Financing and Resources for Municipal
Infrastructure Roundtable**

March 15, 2018

State Administration of CDBG

The Office of Community Renewal (OCR) exists under the Housing Trust Fund Corporation and administers the Community Development Block Grant (CDBG) Program and other State and Federal programs to empower local governments and not-for-profits to improve NY communities through housing, infrastructure and job creation.

OCR Programs

Federal

- NYS Community Development Block Grant (CDBG)
- HOME - Local Programs
- New York State Disaster Recovery
- Project Reinvest Financial Capability
- HUD Comprehensive Housing Counseling

OCR Programs

State

- Affordable Homeownership Development Program (AHODP)
- Main Street Program
- Buffalo Main Streets Initiative (BMSI)
- Access to Home Programs
- RESTORE program
- Neighborhood & Rural Preservation Programs (NPP/RPP)
- Manufactured Mobile Housing Replacement Program (MMHR)

How Does CDBG Work?



Eligible CDBG Activities

- Public Infrastructure
- Public Facilities
- Economic Development
- Small Business Assistance
- Microenterprise Development
- Housing Rehabilitation
- Manufactured housing replacement
- Homeownership
- Private Water/Wastewater Systems
- Community Planning
- Imminent Threat

Public Infrastructure

Improve infrastructure to low and moderate income areas

Typical activities:

- Public Water Systems
 - water source development, storage, and distribution
- Public Sanitary Sewer Systems
 - sanitary sewage collection and treatment
- Storm Water Systems
 - flood control and storm water drainage

Example Public Infrastructure

Town of Corning, Steuben County

- Corning Manor Water District
- Total project \$750,000
- Included \$150,000 in ARC funds



Example Public Infrastructure

Town of Corning, Corning Manor Water District



Example Public Infrastructure

Village of Carthage-West Carthage Waste Water Treatment Jefferson County



Private Water/Wastewater Systems

Eligible activities:

- Drilling new private wells for drinking water
- Construction of new or replacement of existing septic systems
- Install lateral connections to public water or sewer
- Infrastructure within mobile home parks

Community Planning

- Preliminary Engineering for Water or Sewer
- Asset Management
- Community Needs Assessments
- Downtown Market Analysis
- Housing Needs Assessments

Example of Community Planning

Town of Queensbury:

Town Wide Affordable Housing Study

Town of Rye:

Engineering Study to Mitigate Recurrent Flooding

Town of Milo:

Water System Improvement Engineering Report

Imminent Threat

Any eligible CDBG activity can be considered under the imminent threat category.

These are typically disaster response type projects, for example, following Hurricanes Irene and Lee upstate in 2012, and more recently, the Yates County flooding in the summer of 2015 and the Lake Ontario flooding that occurred during 2017.

Example of Imminent Threat

Village of Wellsville, Cattaraugus County



Applying for CDBG Funding



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How to Apply for Funds

NYS Consolidated Funding Application (CFA)

- Public Infrastructure/Facilities (Annual)
- Microenterprise (Annual)
- Community Planning (Annual)
- Economic Development (Open Round)
- Small Business Assistance (Open Round)
- <http://regionalcouncils.ny.gov>

How to Apply for Funds

Housing activities - Community Development Online (CDOL)

- Housing rehabilitation
 - Single Unit/Multi Unit
- Homeownership
- Manufactured housing replacement
- Wells & septic replacement, lateral connections
 - Can include eligible engineering costs

<http://www.nyshcr.org/AboutUs/Offices/CommunityRenewal/HousingResourcesFundingOpportunities.htm>



Eligible Applicants

Eligible Applicants:

- Units of Local Government with populations of less than 50,000 and
- Counties with populations of less than 200,000

Refer to the list of eligible communities located at:

<http://www.nyshcr.org/Programs/NYS-CDBG/EligibleCommunities.htm>



Funding Limits - 2017

Public Infrastructure

- Counties, Towns, Cities or Villages \$750,000
- Shared Municipal Infrastructure \$900,000
- Shared Municipal Infrastructure with
- NYS Co-Funding Initiative \$1,250,000
- With NYS Co-Funding Initiative \$1,000,000

Public Facilities

- Counties, Towns, Cities or Villages \$300,000

Economic Development

- Economic Development \$750,000
- Small Business Assistance \$100,000
- Microenterprise \$200,000



Funding Limits

Housing (all activities)

- Towns, Cities or Villages \$500,000
- Counties \$1,000,000

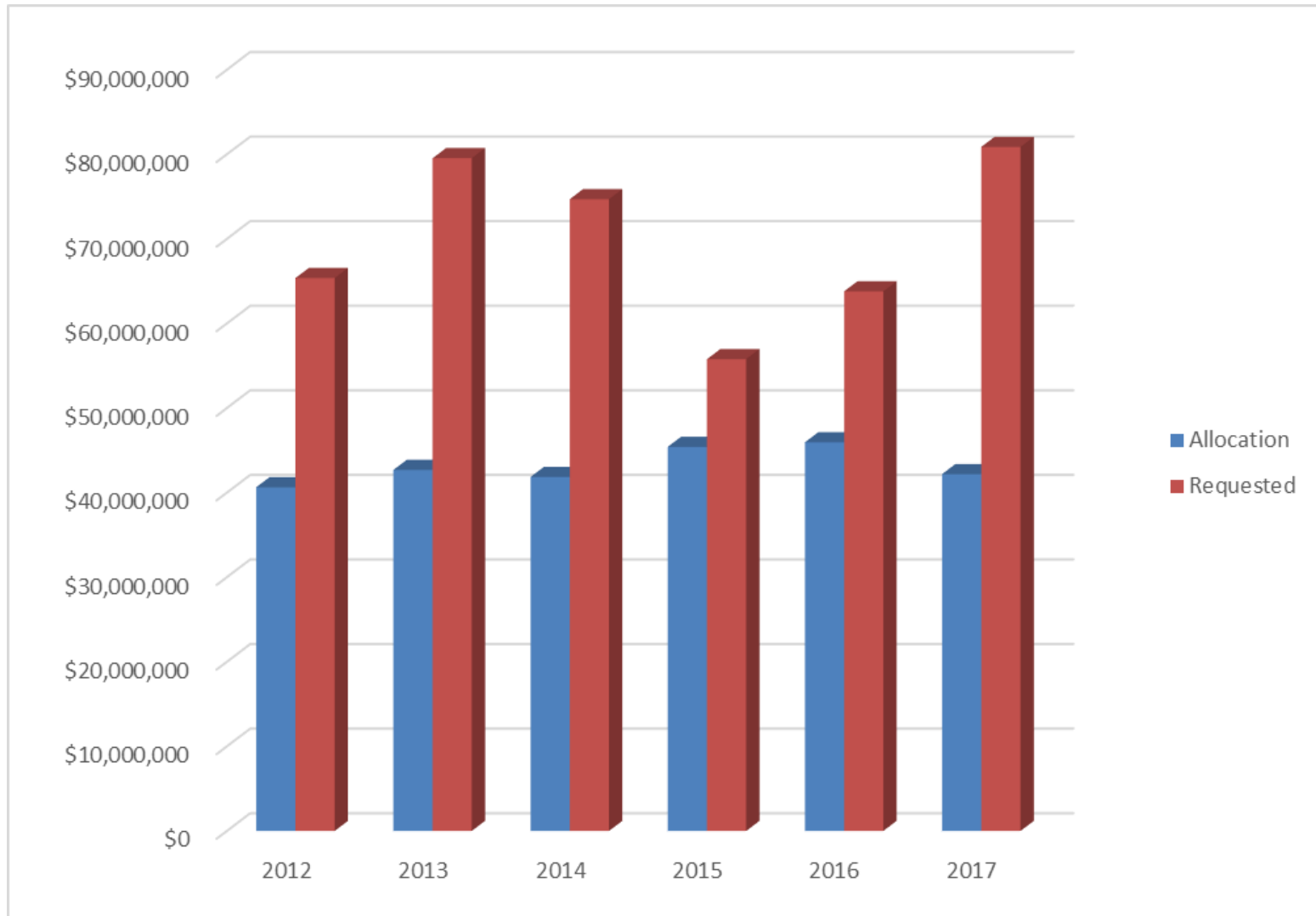
Community Planning

- Counties, Towns, Cities or Villages \$50,000

Administrative Funding Limits

- Public Infrastructure, Facilities and Housing for Administration, Program Delivery, Engineering and Architecture: 18% maximum of the CDBG requested funds
- Microenterprise: Up to 25% of CDBG award in program delivery, administration, and training
- Economic Development/Small Business: maximum \$16,000 of CDBG funds for Administration and Program Delivery.

Requests Exceed Funding



Scoring Criteria

Applications competitively rated and scored on:

- Complete description of activity
- Severity of need
- Impact of activity on identified need
- Administrative capacity
- Public benefit and support for the proposed activity
- Project feasibility & schedule
- Leveraging and availability of other resources
- Reasonableness of project costs, including program delivery & administration
- CDBG and administrative performance history, if any
- Extent activity complements other federal, state, & local programs

Getting Ready to Apply

1. Collect data and evaluate local conditions/needs
2. Determine local priorities, choose projects, then determine funding to seek
3. Read the regulations & requirements
4. Evaluate local capacity to implement the project
5. Identify local partners
6. Identify available resources for project and complementary projects underway
7. Make sure current/previous funding is on schedule or closed satisfactorily

Application Tips and Other Requirements

Only eligible units of general local government may apply for CDBG assistance

Tips:

- Use the correct application
- Use the submission checklist to ensure completeness
- Document citizen participation (public hearing)
- Key deficiencies: failing to show administrative capacity, adequate funding, budget inconsistencies, readiness
- Don't take choice limiting actions prior to award and clearance

Additional Information

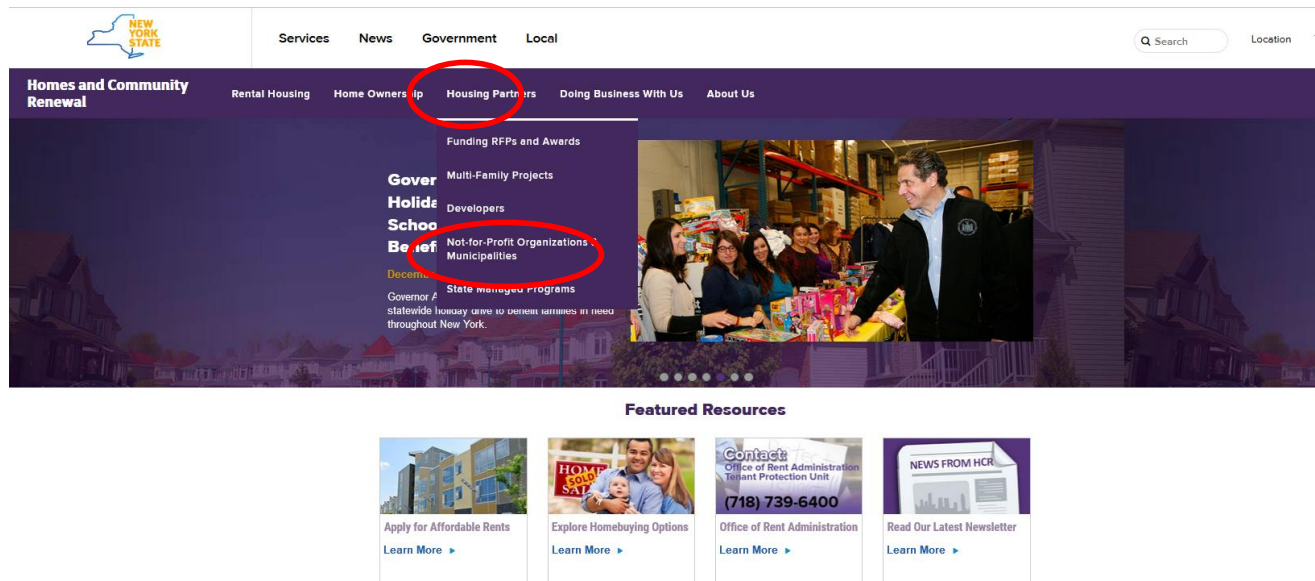


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OCR Web Site

www.nyshcr.org

- ✓ Select “Housing Partners”
- ✓ Scroll down to “Not for Profit Organizations & Municipalities”
- ✓ Click on “ NYS Community Development Block Grant”

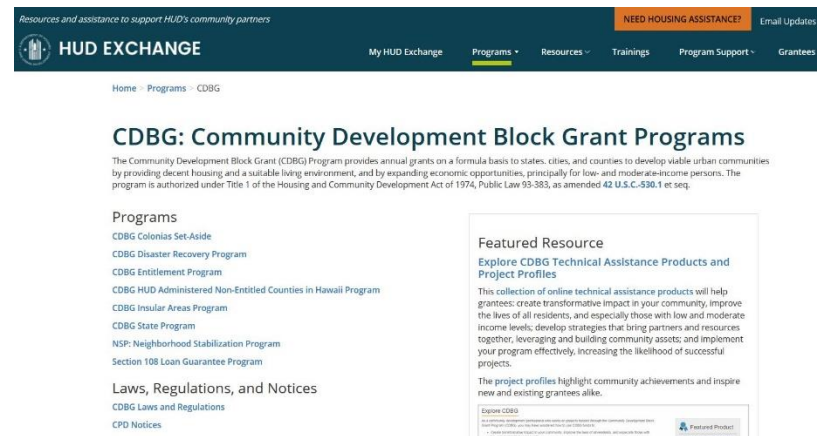


HUD Exchange

www.hudexchange.info/programs/cdbg/

Source for:

- CDBG Rule: 24 CFR Part 570
- CPD Notices
- Basically CDBG manual/slides
- Guide to National Objectives and Eligible Activities for the CDBG Program
- CPD income calculator
- Toolkits



ANY
QUESTIONS
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Questions

If you have any questions, please contact NYS OCR at
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