



**Department
of State**

DOS Funding Opportunities

Your Presenters



Kyle Wilber

April 13, 2016



Local Government Funding Opportunities

Investing in.....

People

Places

Performance

Funding Assistance Programs



Local Efficiency and Management

- Municipal Restructuring Fund - \$25 million
- Local Government Efficiency Program - \$4 million
- Citizens Reorganization and Empowerment Grant
- Citizens Empowerment Tax Credit

Funding Assistance Programs

Community Development

- Appalachian Regional Development – \$2 million
- Northern Border Regional Development - \$1.75 million



**Northern Border
Regional Commission**



Our Experience

Since 2005, DOS has allocated \$85 million to over 450 projects to increase shared services and enhance efficiency in services to produce cost savings.

Local governments have estimated that the long-term savings from these projects will exceed \$600 million.

Citizens Reorganization and Empowerment Grant (CREG)

Implement General Municipal Law Article 17-A

- 1) Re-Organization Study/Plan
- 2) Expedited Re-Organization Assistance
- 3) Re-Organization Implementation

Citizens Reorganization and Empowerment Grant (CREG)

- Rolling Monthly Deadline
- Available Funding

\$50,000 maximum for a study

\$25,000 in expedited funds for petitioned local governments

\$50,000 maximum for implementation

Local Government Efficiency Grants (LGEG)

- Local government re-organization
- Functional consolidations
- Charter revisions for functional consolidation
- Cooperative service agreements
- Regionalization of services
- Individual local governments analysis

Local Government Efficiency Grants (LGE)

Eligible Applicants

Towns, villages, cities, counties, school districts, BOCES and certain libraries, special districts, fire districts

Eligible Expenses

Contractual, Capital, Construction and Equipment, Transitional Personnel

Local Government Efficiency Grants (LGE)

Planning

- \$12,500 per municipality
- Maximum of \$100,000
- 50% State Funds/50% Local Fund

Implementation

- \$200,000 per municipality
- Maximum of \$1,000,000
- 90% State Funds/10% Local Fund





Municipal Restructuring Fund (MRF)

MRF Program Goals

- Governor investment of \$25 million in 2015-2016 State Budget
- Local government's request for a new model of State assistance
- Re-design of the delivery of local services consistent with the needs of today's economy, and changes in regional demographics.

The MRF..... What's Different

- Enhanced technical assistance
- Make smaller projects scalable
- Utilizes LEAN Start-up methodology
- Continuous recruitment for projects
- New model for application review

Municipal Restructuring Fund (MRF)

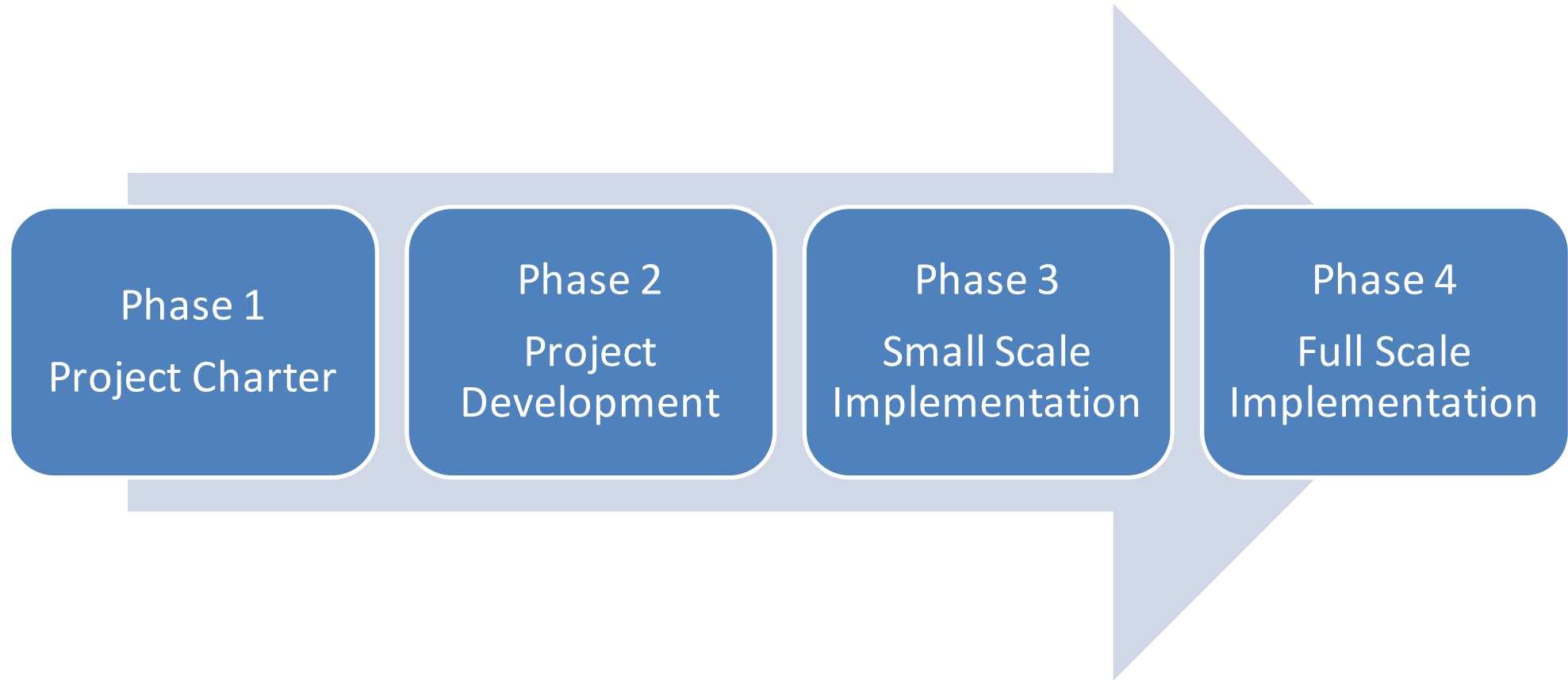
- Continuous recruitment
- Non-competitive
- Release Date: February 2, 2016
- Proposals Due: Tuesday, March 15, 2016 and July 12, 2016 (for first two rounds of funding)

Two Project Tracks

- MRF projects will progress to readiness through one of two development tracks:
 - Venture Track
 - Fast Track.
- In each track, projects will be subject to a multi-Phase review and approval process.
- DOS will participate at each Phase to provide local governments and school districts with technical assistance



Venture Track



Fast Track

- Fast Track process for local governments with “shovel-ready” projects
- Must demonstrate the activities required under each Phase of the Venture Track
- Same evaluation Matrix as venture track



Phases of Funding Overview

| Phase of Funding | Maximum Amount for a Project is based on the Tax Levy Impact and Implementation Award Calculation Worksheet (not to exceed Project Cost) |
|-------------------------------|--|
| 1. Project Charter | Upon completion of the Project Charter: 5% of the possible award amount (up to \$50,000) for Project Development (Phase 2) |
| 2. Project Development | Upon completion of Phase 2: Up to 35% of the possible award amount for Small Scale Implementation (Phase 3) |
| 3. Small Scale Implementation | Upon completion of Phase 3: Up to 60% of the possible award amount for Full Scale Implementation (Phase 4) |
| 4. Full Scale Implementation | |
| Total | 100% |

Funding Model (example)

- A county projects a \$1 million dollar yearly savings from their proposed project.
- Ten-year savings = \$10 million
- PV of \$10M = \$7.5M
- 20% of \$7.5M = \$1.5M
- Award = \$1.5M or cost of the project, whichever is less



Project Priority List

- Project Priority List on our website:
 - Applicant Phase
 - Points awarded
- Applicants can work with DOS program managers to raise their score
- Applicants will be listed on the Project Priority List when they have completed the application for Phase 1



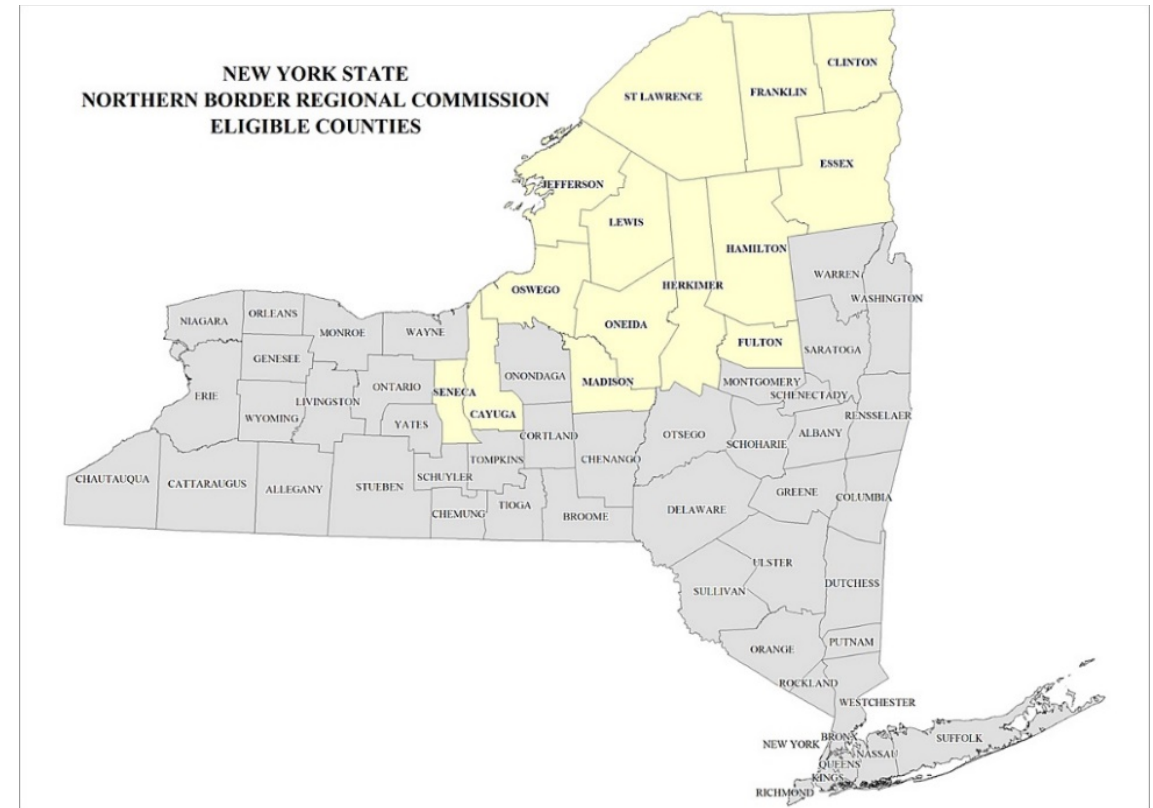
Northern Border Regional Development Program



**Northern Border
Regional Commission**

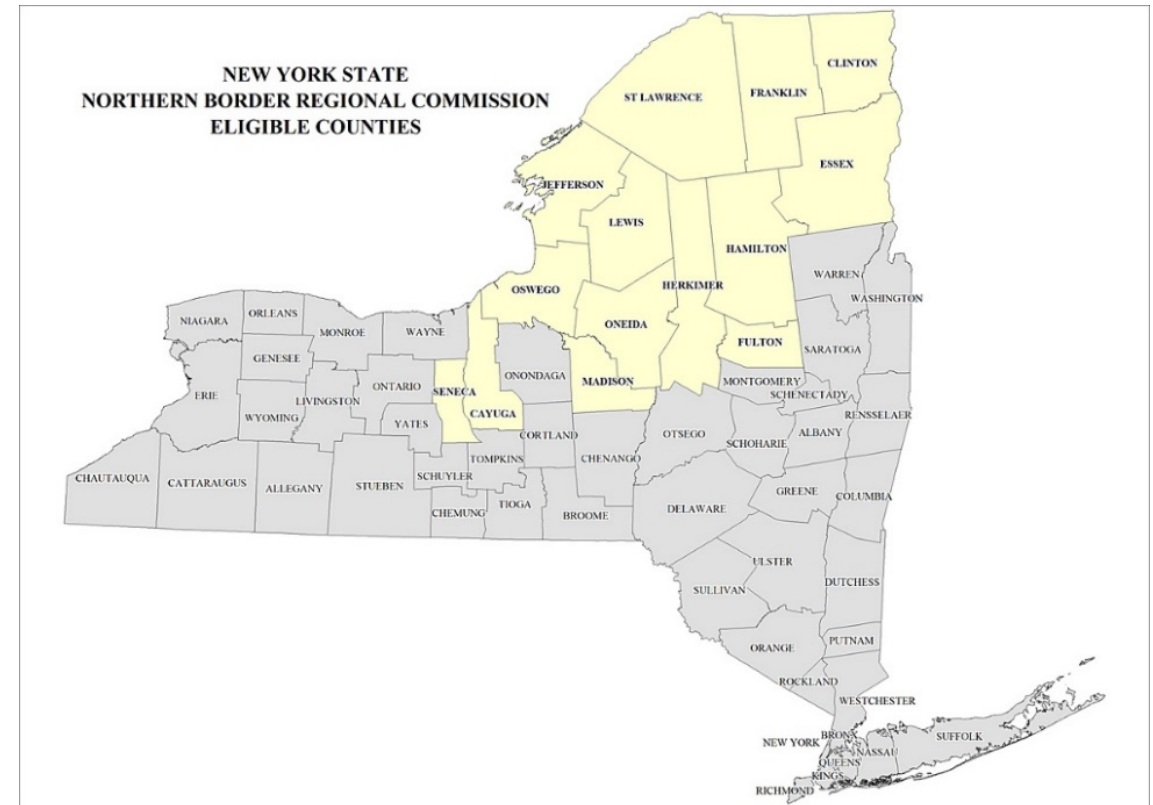
Northern Border Regional Development

- Increase job opportunities and income
- Strengthen community capacity
- Develop and improve infrastructure



Northern Border Regional Development

- Municipalities and non profits
- Federal Fiscal Year
- 80/20 matching funds
- Planning and implementation
- \$1.75 million for North Country



Northern Border Regional Development

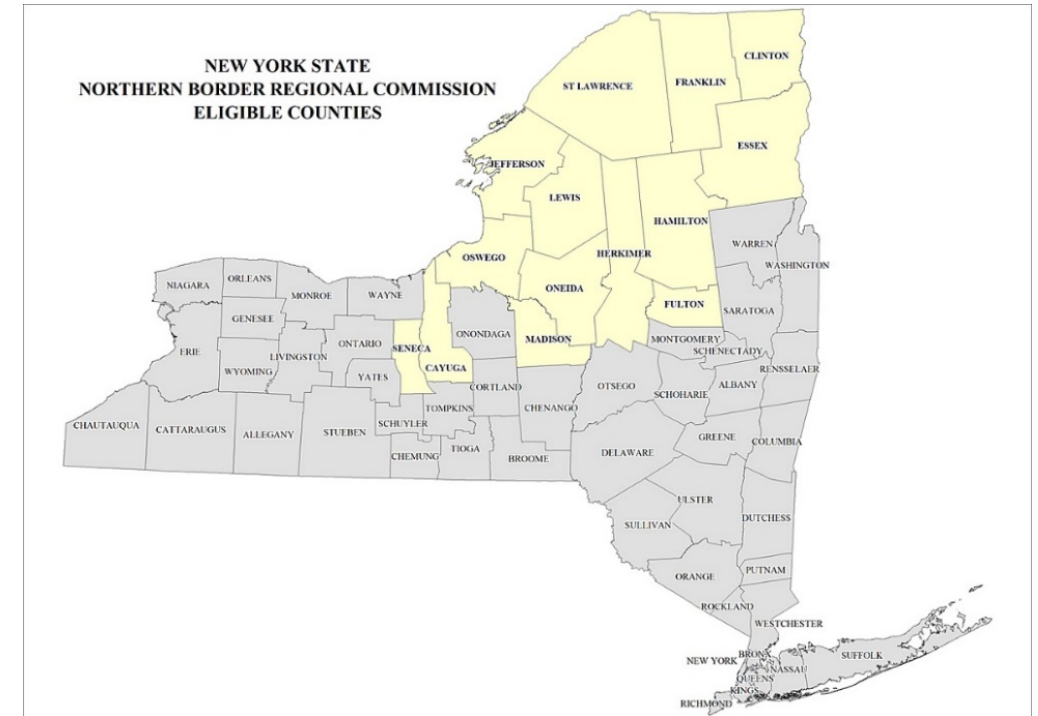
Newton Falls Rail Branch Rehabilitation Project

Massena Telecommunications Infrastructure Upgrade

Regional Business Incubator and Training Center

Northern NY's Forest Products Assistance

Clarkson University - Downtown Campus Revitalization Project



Appalachian Regional Development Program

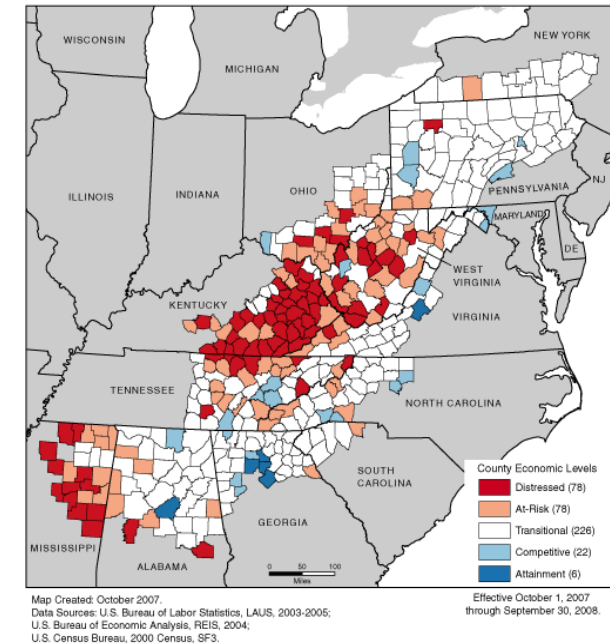
A Division of New York Department of State



Division of Local
Government Services

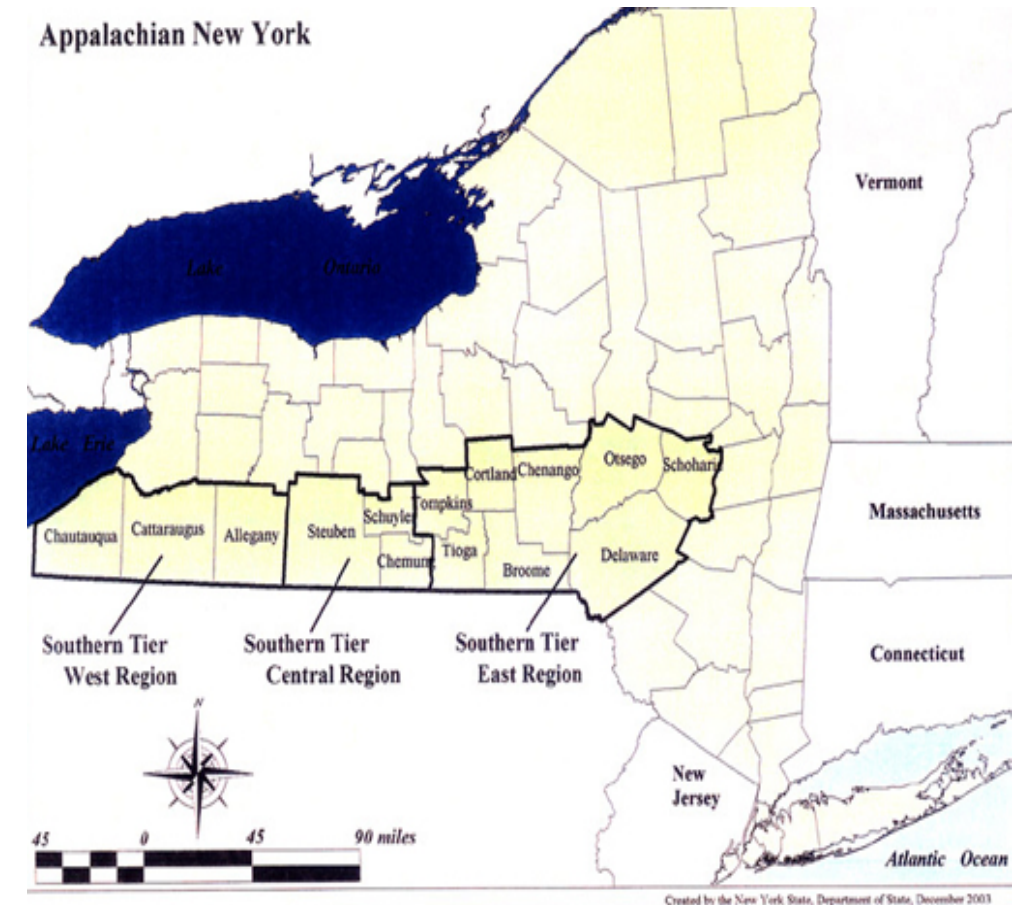
Appalachian Regional Development

- Increase job opportunities and income
- Strengthen community capacity
- Develop and improve infrastructure



Appalachian Regional Development

- Municipalities and non profits
- Federal Fiscal Year Submission
- 50/50 matching funds
- Planning and implementation
- \$2 million for Southern Tier



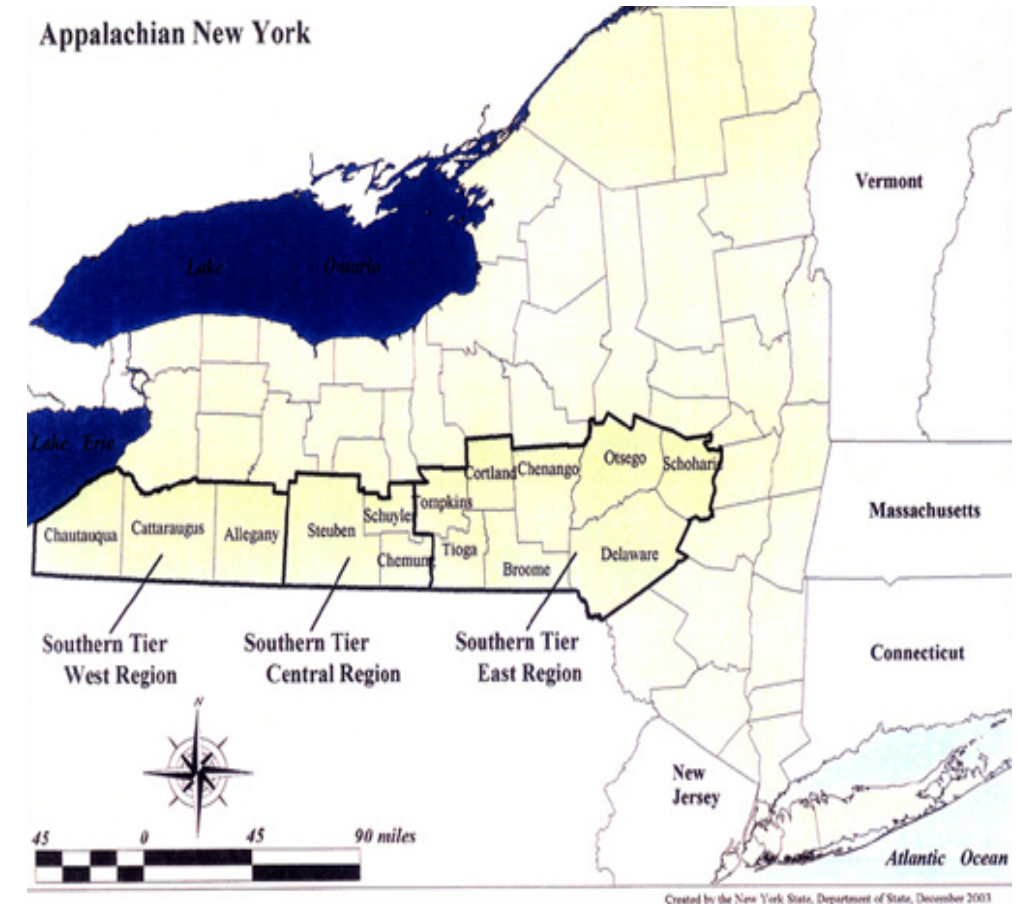
Appalachian Regional Development

Connectivity and Communications Provision for IncubatorWorks

Village of Painted Post Water Supply Improvements

Value-Added Production, Marketing and Distribution Assistance for Southern Tier East Farm Businesses

Sustainable Advanced Manufacturing Center



Thank you for your time

www.dos.ny.gov
(518) 473-3355