



April 26, 2016

EFC Overview

- Public benefit corporation that provides low-cost capital and grants for water quality improvement projects
- New York's Water Infrastructure Bank
- Provides low-cost financial assistance for local wastewater and drinking water infrastructure through Clean Water and Drinking Water State Revolving Fund Programs





National Leader in Water Infrastructure Investment

- NYS leads the nation with the largest annual investment in water quality infrastructure of any state
- Since 2011, EFC has provided more than \$9 billion in subsidized loans, grants and loan re-financings to local governments
- Largest 5-year investment in clean water infrastructure since creation of State Revolving Fund Programs







Clean Water State Revolving Fund Overview

- Low-cost financing for wastewater and water quality infrastructure
- Administered by EFC and DEC
- A variety of publicly-owned water quality improvement projects are eligible for financing including:
 - ✓ Point Source Projects
 - ✓ Nonpoint Source Projects
 - ✓ National Estuary Projects





Drinking Water State Revolving Fund Overview

- Low-cost financing and limited grants for drinking water infrastructure
- Administered by EFC and DOH
- A variety of water system projects are eligible for low-cost financing including:
 - ✓ Treatment Plants
 - ✓ Distribution Mains
 - ✓ Storage Facilities





SRF Intended Use Plans

The CWSRF and DWSRF Annual Intended Use Plans describe the SRF program, identify available funds and the use of those funds each FFY (effective October 1 through September 30)

 EFC and DOH assign a project priority score based on water quality and public health impacts



Department of Health Environmental Facilities Corp.
Howard A. Zucker, M.D., J.D. Sabrina M. Ty
Commissioner President and CEO



Listing Your Project on the Intended Use Plan

- EFC and DOH will use information provided on listing form and engineering report to score, rank and list all projects
- Projects to be categorized by population size on the CWSRF or DWSRF Annual Intended Use Plan or Multi-Year List
- Eligible project costs will be based on documented values from engineering reports, plans and specifications and bid awards





Next Steps to Access Financial Assistance

 Once the project is on the Annual List, it can receive financial assistance in that FFY

 Financing Application should be submitted as soon as possible to meet a community's needs for timely access to funds





Required Elements: SRF Financing Application

- 1. CWSRF/DWSRF Application Form
- 2. Completion of the State Environmental Quality Review (SEQR)
- 3. Signoff of project by NYS Office of Parks, Recreation and Historic Preservation (SHPO)
- 4. Water/Sewer District Formation (if necessary)
- 5. Adoption of a Bond Resolution



Common Items Needed to Complete Your Application

- MWBE information an engineering agreement or construction contract
- Permits/Approvals
- Contracts/agreements and related amendments
- Invoices supporting costs incurred to date
- Existing debt information and/or third party funding sources
- Inter-municipal agreements



Ongoing Financial Development

Throughout the life of the Short-Term financing, EFC will work with the recipient to:

- Maintain an accurate project budget
- Ensure costs are eligible and compliant with associated contracts and agreements
- Remain in compliance with local finance law (regarding repayments)
- Coordinate receipt and use of third-party funding sources
- Disburse funds for project costs (on a cost incurred basis)



Important Deadlines

	State Revolving Fund IUP and Financing Schedule
April 22, 2016	Project Listing and Update Information Due for Draft CWSRF FFY 2017 IUP
May 15, 2016	Project Listing and Update Information Due for Draft DWSRF FFY 2017 IUP
July 2016	Draft CWSRF and DWSRF FFY IUP issued
October 1, 2016	FFY 2017 IUP effective date
December 1, 2016	Deadline to submit CW and DW Applications for projects pursuing hardship financing in the 2016 IUP
March 1, 2017	Financing Applications Due for FFY 2017





Key Program Initiatives

NYS Water Grants Overview

- \$400 million in grants authorized by Water Infrastructure Improvement Act of 2015 for drinking water and wastewater infrastructure upgrades approved in the enacted state budget
- Funds administered by EFC and DOH
- Round 1: \$50 Million Awarded
- Round 2: \$175 Million Available
- Round 3: \$175 Million Available



NYS Water Grants Round 2

Round 2 Applications Now Available

Webinar: May 11, 2016

Application Deadline Extended:
June 20, 2016 at 5PM



The New York State Water Infrastructure Improvement Act of 2015 provides \$200 million in grant funding over three state fiscal years, including \$75 million this year to fund municipal wastewater and drinking water infrastructure projects that improve water quality and protect public health.

If additional funds are appropriated in the enacted 2016-17 state budget, additional grant funding will be available for this round.

A webinar has been scheduled for February 24 at 10 AM to provide an overview of the grant criteria and application process for this round of funding. To register, please click here,

Complete applications must be submitted to NYSWaterGrants@efc.ny.gov no later than the close of business on Friday, April 15, 2016.

For inquiries on the grant program, please email NYSWaterGrants@efc.ny.gov.

Clean Water Useful Links

- NYS Water Grants Summary- Clean Water
- NYS Water Grants Application Form- Clean Water
- NYS Water Grants Application Instructions- Clean Water

Drinking Water Useful Links

- NYS Water Grants Summary Drinking Water
- NYS Water Grants Application Form Drinking Water
- · NYS Water Grants Application Instructions Drinking Water

NYS Water Grants – Round 2 Details

Clean Water Projects:

Up to 25% of net project costs for wastewater projects, not to exceed **\$5 million**

Drinking Water Projects:

Up to 60% of total project costs for drinking water projects, not to exceed **\$3 million**



Who Can Apply?

- County
- City
- Town
- Village
- District Corporation
- County or Town Improvement District



- Any public benefit corporation or public authority
- School district
 (eligible for NYS water grants
 and DWSRF but ineligible for
 CWSRF financial assistance)
- Recognized Indian nation or tribe with a reservation wholly or partly within the boundaries of NYS



What Projects are Eligible for Funding?

 Water quality infrastructure projects at municipally-owned facilities for:

✓ Replacement or repair of drinking water or wastewater

infrastructure

 ✓ Compliance with federal or state environmental and public health laws/regulations





NYS Water Grants Program Requirements

- All awarded projects must comply with the following state requirements:
 - ✓ Environmental Review Requirements
 - **✓** MWBE
 - ✓ Smart Growth
- SRF-financed projects must also comply with the following federal requirements:
 - ✓ Davis-Bacon Prevailing Wage
 - ✓ American Iron and Steel



Application Deadline: June 20, 2016 at 5PM

Application and FAQ's Available: www.efc.ny.gov/NYSWaterGrants

Application Must Be Submitted: NYSWaterGrants@efc.ny.gov

Supporting Documents Can Be Uploaded: NYS Water Grants Dropbox

Wastewater Engineering Planning Grants



- Grants to be available in 2016 to develop engineering reports to apply for funding and list projects on the CWSRF IUP
- Administered by DEC and EFC
- After 4 rounds, \$6.8 million allocated to 195 engineering and environmental review projects across New York



Green Innovation Grant Program



- Grants to be available in 2016 for projects focused on green, wet weather infrastructure
- Highly competitive, award-winning grant program
- Since 2009, EFC has awarded more than \$130 million allocated to 172 green projects across New York



Practices Eligible for GIGP Funding

- Porous Pavements
- Bioretention
- Green Roofs and Green Walls
- Stormwater Street Trees/ Urban Forestry
- Construction or Restoration of Wetlands, Floodplains, or Riparian Buffers
- Stream Daylighting
- Downspout Disconnection
- Stormwater Harvesting & Reuse







EFC Technical Support

- Application Assistance
- Individual Project Consultations
- On-Site Visits
- Post-Closing Assistance
- Public Outreach and Education





Any Questions?





