

Funding Your Community Development Opportunities



Environmental Finance Center
Technical Assistance Partnership Forum
Syracuse Center of Excellence
March 22, 2012

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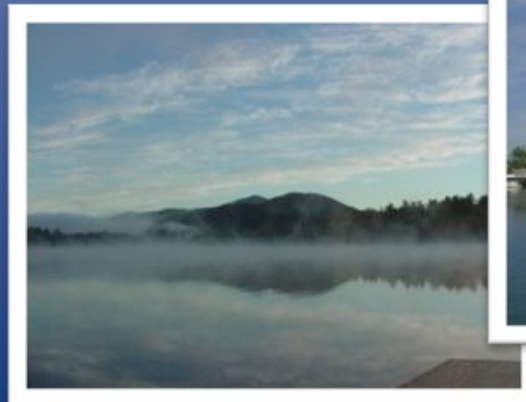
- Overview of the Department of State
- Funding Opportunities
- Smart Growth
- Past Experience

Funding Your Community Development Opportunities

OVERVIEW OF THE DEPARTMENT OF STATE

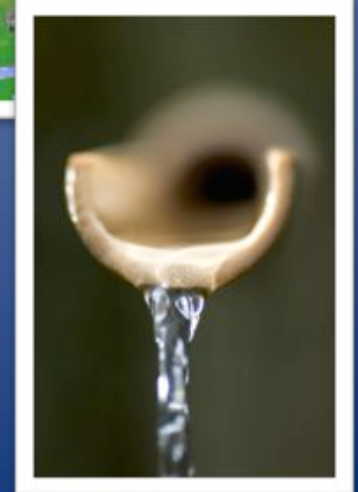
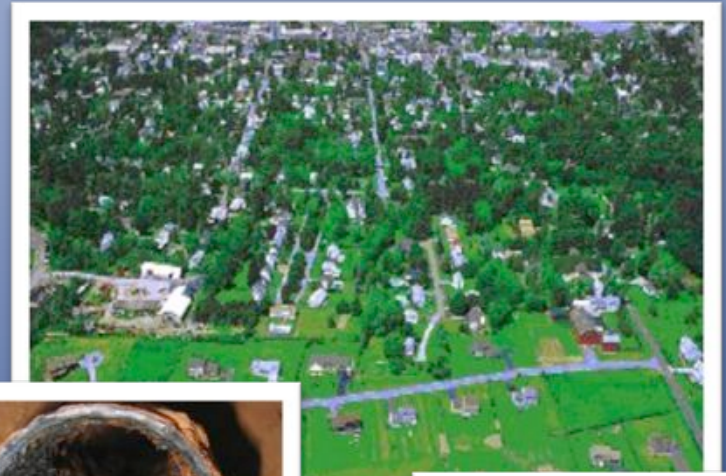
Sustaining Successful Communities

- Maximizing cost efficiencies
- Leveraging local assets
- Collaborating and partnering
- Capitalizing on opportunities



Sustainable Development Challenges

- Competing Jurisdictions
- Population Shifts
- Development Sprawl
- Environmental Needs
- Funding Deficits
- Workforce Challenges
- Inadequately Planned Infrastructure



Sustainable Infrastructure and Community Development

- Infrastructure defines a community's capacity to accommodate sustainable growth
- Planning for sustainable infrastructure often requires an area-wide or regional approach
- Collaboration and intermunicipal cooperation can help to improved cost and delivery of services

DOS Expanding Partnerships

- Building long-term relationships with local government
- Aggressively exploring the integration of state and federal programs
- Leveraging regional opportunities, consistent with local needs



Department of State Community Development Programs



Northern Border Regional Commission



Environmental Protection Fund Local Waterfront Revitalization Program

- Community-based planning
- Strategic Implementation
- Enhancing public access to waterways and state lands for water-based recreation
- Protecting and improving water quality
- Revitalizing hamlets and Main Streets



Environmental Protection Fund Local Waterfront Revitalization Program (LWRP)

Eligibility

- Coastal and inland waterway communities

Funding

- Annually
- 50/50 matching grants
- No individual cap
- Implementation tied to planning



Brownfield Opportunity Areas Program



Community-based planning for the revitalization of neighborhoods effected by the presence of brownfields and economic distress

BOA Program

Eligibility

- Municipalities and community based organizations

Funding

- Continuous applications
- 90/10 matching grants

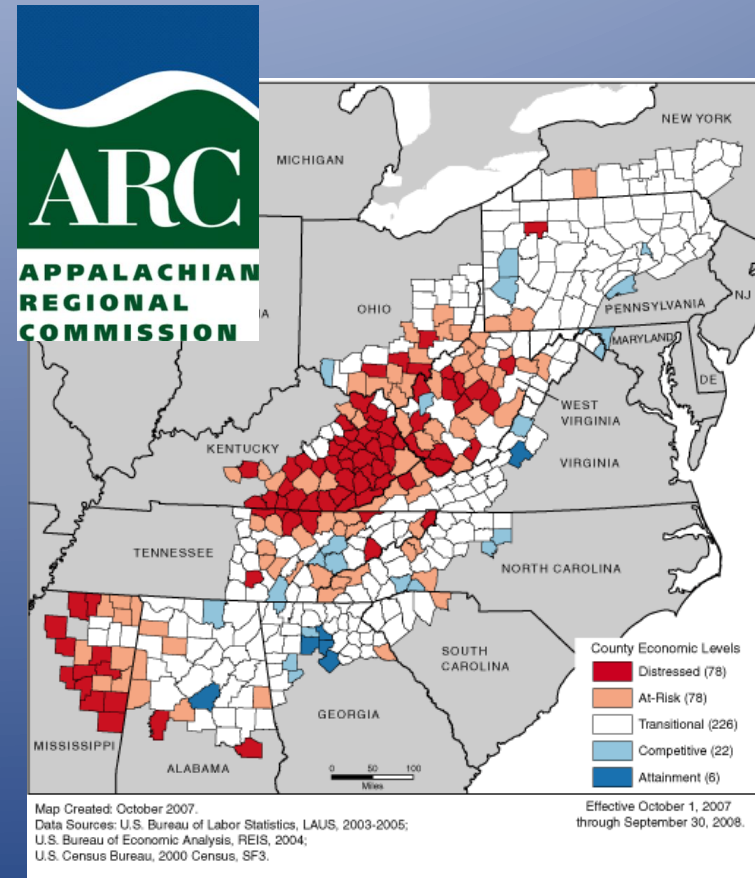
Priority

- Partnerships
- Concentration of brownfields
- Economic distress
- Strategic opportunities



Appalachian Regional Commission

- Increase job opportunities and income
- Strengthen community capacity
- Develop and improve infrastructure
- Reduce isolation



Appalachian Regional Commission

- Municipalities and non profits
- Continuous applications
- 50/50 matching grants
- Planning and implementation



Funding Your Community Development Opportunities

FUNDING OPPORTUNITIES

Northern Border Regional Commission

- Priority areas:
 - transportation infrastructure;
 - basic public infrastructure,
 - telecommunications infrastructure;
 - job skills training, entrepreneurship, and business development;
 - health care assistance to severely economically distressed areas;
 - resource conservation and asset-based development; and
 - renewable and alternative energy sources.

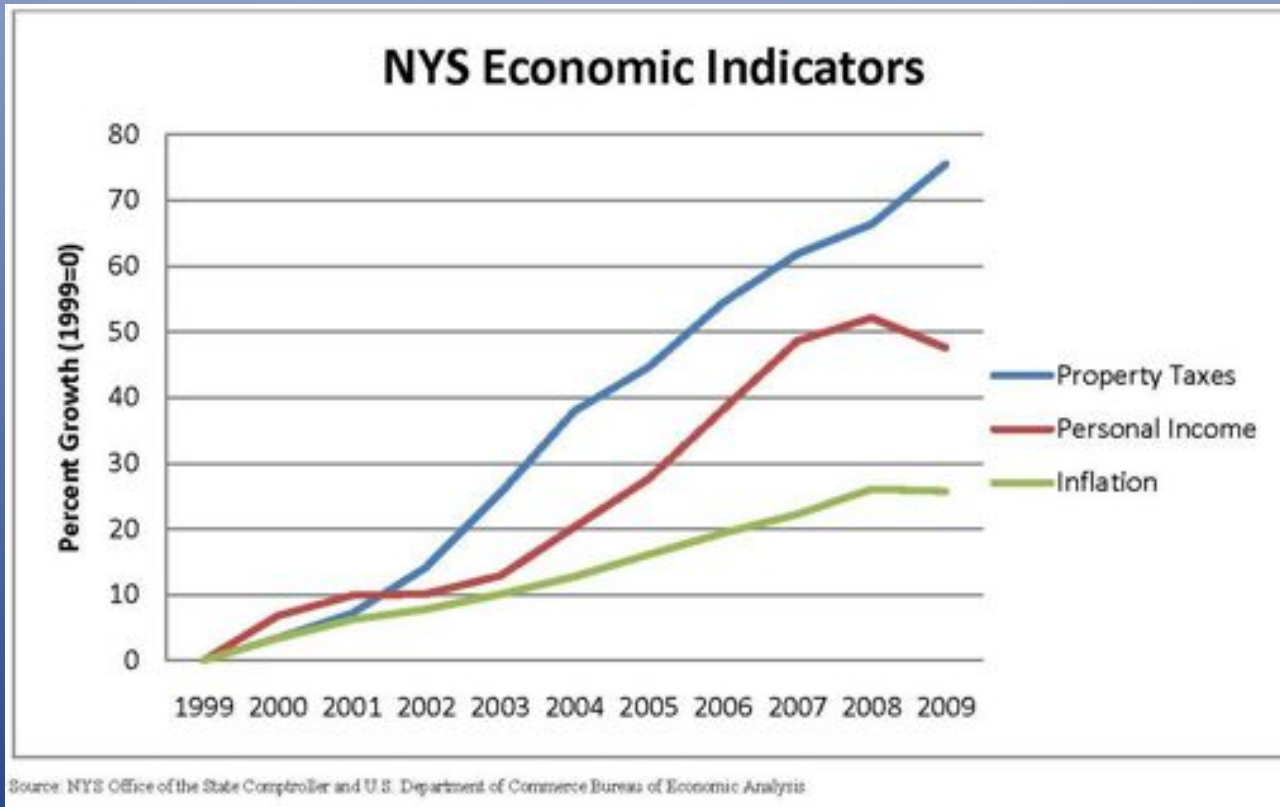


Local Government Efficiency Program (LGE)



- Improve service delivery
- Increase competitiveness
- Reduce local costs
- Coordinate solutions
- Focus resources

Why Are We Here?



2011 – 2012 SFY Programs

- Grant Programs
 - Local Government Citizens Re-Organization Empowerment
 - Local Government Efficiency (deadline 3/21/12)
- Award Program
 - Local Government Performance and Efficiency

2011 – 20112 SFY Funding

Grant Programs	
Local Government Citizens Re-Organization	\$35,000,000
Local Government Efficiency	\$4,000,000

Award Program	
Performance and Efficiency	\$40,000,000

Total \$79,000,000

Local Government Citizens Re-Organization Empowerment Grant

“Local government re-organization” is the consolidation or dissolution of a local government entity in accordance with Article 17-A of the General Municipal Law

- Re-Organization Study/Plan
- Expedited Re-Organization Assistance
- Re-Organization Implementation

Local Government Citizens Re-Organization Empowerment Grant

- Non-Competitive
- General Municipal Law, Article 17-A
- \$100K Maximum
 - \$50,000 maximum for a study and/or plan
 - \$25,000 in expedited funds for petitioned local governments
 - \$50,000 maximum for implementation of a municipal re-organization

Local Government Efficiency Grants

- Competitive
- \$200,000 per municipality, up to \$1 million
 - \$25,000 per municipality for a planning component
- Capital costs integral to consolidated service
- Transitional personnel costs
- Examination of financial savings, return on public investment and management improvements

Local Government Performance and Efficiency Program

Awards based on fully implemented actions:

- the amount of current and future savings,
- the impact of such action upon the municipal property tax levy,
- the size and complexity of the action, and
- the ability for the action to be replicated by other municipalities.

Local Government Performance and Efficiency Program

- Competitive
- Eligibility: individual or jointly
- Projects or actions that commenced on or after January 1, 2010
- Maximum of \$25 per resident, up to \$5 million
- Awards may be used for general municipal purposes

Local Government Citizen Re-Organization Empowerment Grant
Program

STATE SMART GROWTH PUBLIC INFRASTRUCTURE POLICY ACT

- The New York State Public Infrastructure Policy Act
- Effective January 1, 2012
- NYSDOT's Smart Growth Public Infrastructure Policy Act
- Consistency with Smart Growth Act

C. Project Evaluation for Consistency with Smart Growth Act

This project adheres to the following Smart Growth criteria please check all that apply. For each **checked** box, please provide justification for compliance with the Smart Growth Act. For each box **not checked**, please provide justification for non-compliance with the Smart Growth Act (Please provide the narrative answers on additional sheets as necessary):

- ☐ The infrastructure component advances projects for the use, maintenance or improvement of existing infrastructure;
- ☐ The infrastructure component advances projects located in municipal centers;
- ☐ The infrastructure component advances projects in developed areas or areas designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan;
- ☐ The infrastructure component protects, preserves, and enhances New York State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources;
- ☐ The infrastructure component fosters mixed land uses and compact development, downtown revitalization, brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups;
- ☐ The infrastructure component provides mobility through transportation choices including improved public transportation and reduced automobile dependency;
- ☐ The infrastructure component coordinates between state and local government and intermunicipal and regional planning;
- ☐ The infrastructure component participates in community based planning and collaboration;
- ☐ The infrastructure component ensures predictability in building and land use codes; and
- ☐ The infrastructure component promotes sustainability by strengthening existing and creating new communities which reduces greenhouse gas emissions and does not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation.

D. Application Certification

In accordance with the Smart Growth Public Infrastructure Policy Act, Environmental Conservation Law Article 6, the applicant affirm that the Smart Growth Impact for the proposed project application has been assessed:

Name of Authorized Representative (i.e., Executive, Mayor, Supervisor, Manager)

Signature

Date

Public

ables

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PAST EXPERIENCE

Town of Glenville

Joint Sewer Project in Saratoga County



Town of Glenville: Project Summary

- Co-Applicant: Town of Clifton Park and the Niskayuna Central School District
- Awarded \$579,600.00 grant from 2007-2008 Shared Municipal Services Incentive Program
- Rehabilitate, repair and expand the Alplaus Sewer District No. 1 system to eliminate problematic sewer lines and failing septic systems.

Town of Glenville: Challenges

- Reduce debt service for Alplaus Sewer district #1 taxpayers
- Reduce personnel costs by eliminating treatment plants
- Extend new sewer lines
- Reduce operations and maintenance costs from eliminating the Alplaus treatment plant and the Old Knott Farm treatment facility

Town of Glenville: Solution

- The municipalities entered into an intermunicipal agreement where sewer service, treatment, operation, maintenance and repairs are shared.



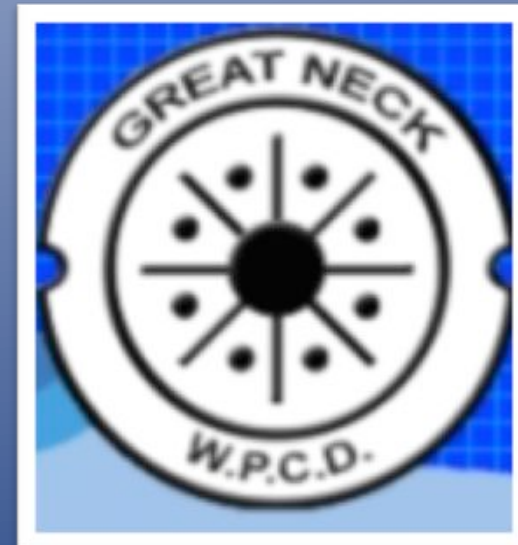
Town of Glenville: Outcome & Savings

- Improved water quality
- Annual operating savings ~ \$255,000
 - Savings in personnel with reduction of 2.5 full time positions
 - Over a five year period the personnel savings alone increases to \$771,275.



Great Neck

Water Pollution Control District

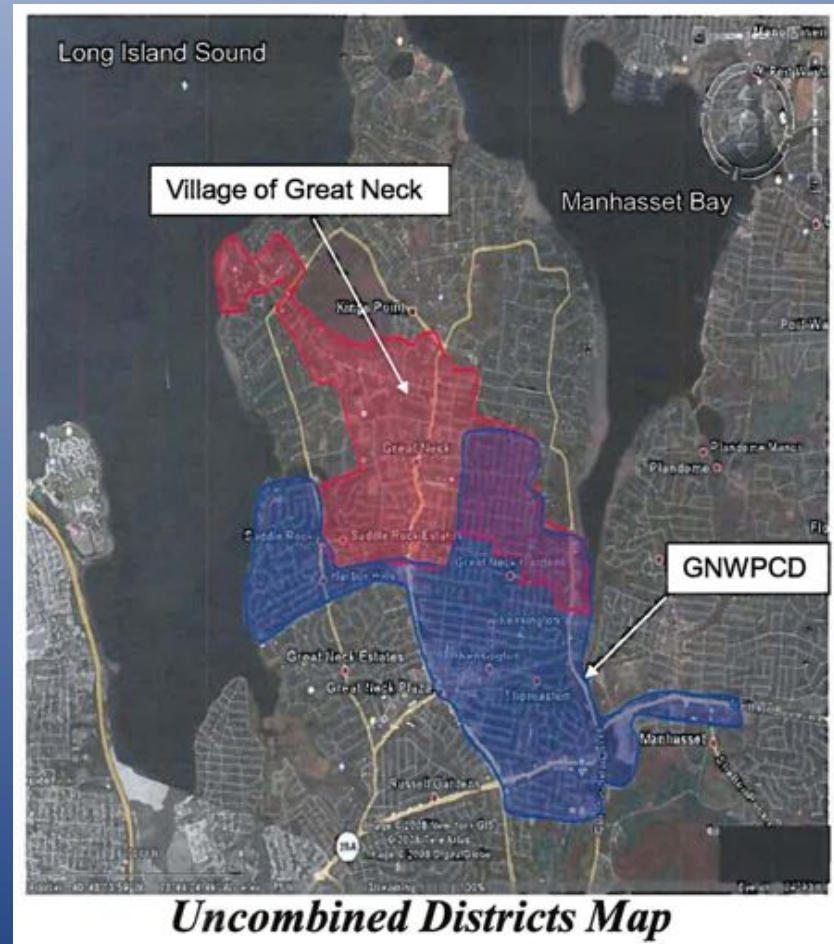


Great Neck W.P.C.D.: Summary

- The Great Neck W.P.C.D. and the Village of Great Neck will functionally consolidate the sewage collection and treatment systems, allowing residents of both the District and Village to realize substantial operating savings due to the economies of scale and reduction of duplicative services.

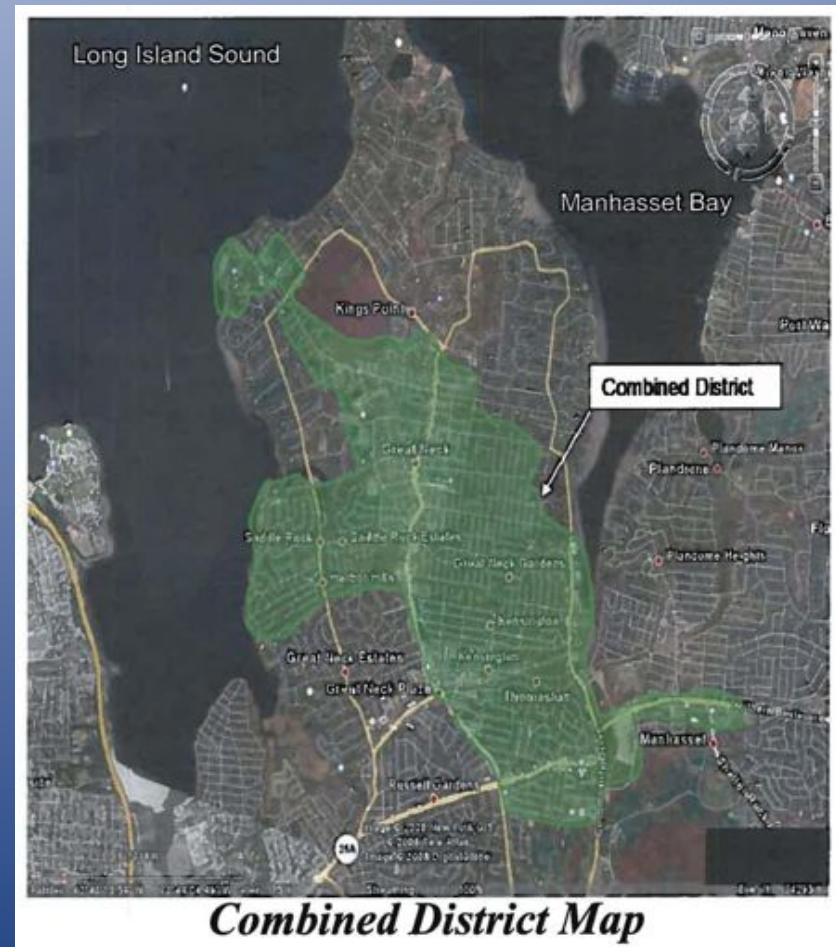
Great Neck W.P.C.D.: Challenges

- Both the District and Village are under consent orders from the NYS DEC reduce the Nitrogen levels in the effluent of the sewage treatment plants.



Great Neck W.P.C.D.: Solution

- The Village will divert their flow to the District's upgraded wastewater treatment facility providing operational savings

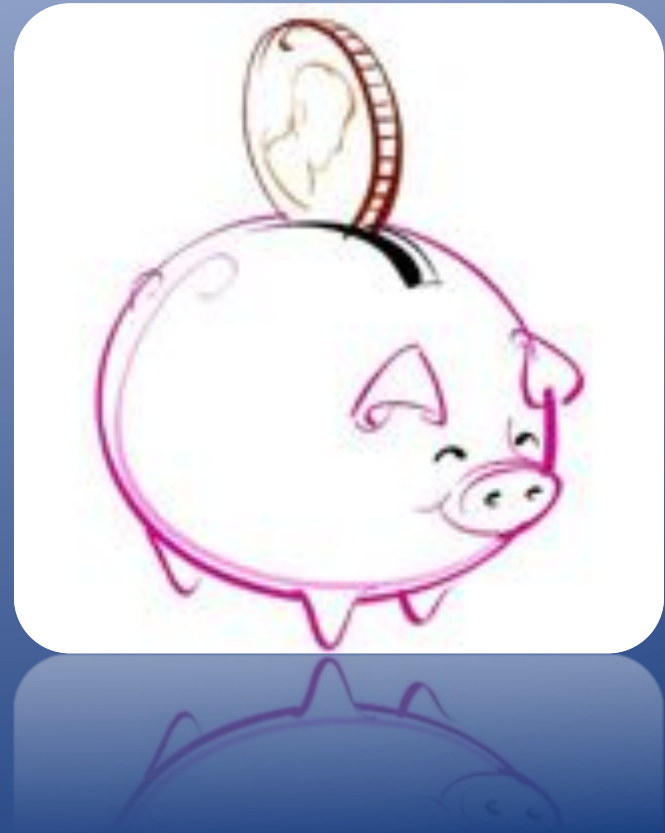


Great Neck W.P.C.D.: Outcome

- The combined district will realize savings in the millions of dollars by constructing a single plant instead of two plants, less than a mile apart from each other, and the operational savings.
- Will protect the water quality of Long Island Sound through stringent nitrogen reductions.

Great Neck W.P.C.D.: Savings

- Projected at \$1.5 million annual savings from consolidation of administration and operating costs



Village of Skaneateles

Joint Water Treatment & Storage



Village of Skaneateles: Summary

- Both the Village and the Town receive their drinking water from Skaneateles Lake, operating separate water systems. In response to the federal treatment mandate, and in an effort to improve efficiency and reduce taxpayer costs, the Village and Town plan to consolidate public drinking water services

Village of Skaneateles: Solution

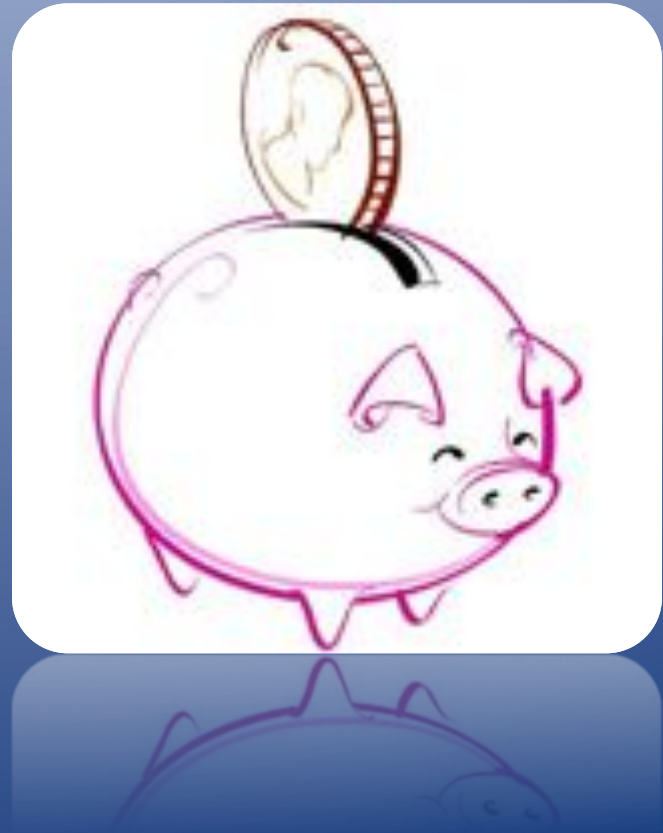
In response to the federal treatment mandate:

- Build a joint UV treatment facility,
- Combine storage facilities into a single rehabilitated elevated water tank,
- Eliminate continuous chlorination.

The completed project will result in the Village operating and maintaining the combined drinking water treatment and storage facilities.

Village of Skaneateles: Savings

- Projected at \$174,000 annual savings
- Projected one time savings of \$1.7 million



Division of Local Government Services

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