



**Homes and
Community Renewal**

Smart Management for Small Communities: Practical Resources for Governance EFC - Minnowbrook Conference Center Blue Mountain Lake, NY

Imminent Threat & More

April 26-27, 2016

NYS CDBG Program

CDBG Program Summary

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CDBG Disaster Prevention Projects

- Public Facilities
- Storm Water
- Water/Sewer

Additional Assistance

- OCR Flood Programs
- Governor's Office for Storm Recovery (GOSR)
- Empire State Development (ESD)



CDBG Program Summary

Overview

- Develop viable communities by providing decent housing and a suitable living environment principally for persons of low- and moderate-income.
- NYS must ensure that at least 70% of its CDBG grant funds are used for activities that benefit low- and moderate-income persons (at or below 80% of median) and meet one of the following National Objectives:
 - Benefit low- and moderate-income persons or families; or
 - Aid in the prevention or elimination of slums or blight; or
 - Meet an urgent community development need

CDBG IT Program Summary

Overview

- Open Round Applications
- User defined area of need
- Two (2) year contract term
- Eligible Applicants: Units of Local Government with populations of less than 50,000 and counties with populations of less than 200,000
 - Refer to the list of eligible communities located at:
 - <http://www.nyshcr.org/Programs/NYS-CDBG/EligibleCommunities.htm>
- 18% maximum of the CDBG requested funds for Public Infrastructure, Facilities and Housing for Administration, Program Delivery, Engineering and Architecture
- For Microenterprise, applicants may request up to 25% of the CDBG award in program delivery, administration, and training combined. Of the 25%, administration must not exceed more than 5% of the total project cost.
- \$16,000 maximum of the CDBG requested funds for all Economic Development activities for Administration and Program Delivery.



Eligible Activities



CDBG Program Summary

Eligible Activities

- Public Infrastructure
 - Public Water
 - Public Sewer
 - Flood drainage improvements
 - Other public improvements
- Public Facilities
 - Fire Stations
 - ADA Compliance (except new construction)
 - Community Centers
 - Healthcare Centers
 - Senior Centers

CDBG Program Summary

Eligible Activities

Microenterprise Assistance

- (5 employees or less, including owner)
- 10% cash equity required

The following is available through an Open Round:

- Traditional Economic Development
- Small Business Assistance (25 or fewer employees)

CDBG Program Summary

Eligible Activities, continued

Housing Activities

- Single Unit Housing Rehabilitation
- Multi-Unit (2-3 unit) Housing Rehabilitation
- Multi-Unit (4 or more units) Housing Rehabilitation
- Mobile Home Replacement
- Well and Septic Replacement
- Lateral Connection Assistance
- Homeownership

CDBG Program Summary

Eligible Activities, continued

Community Planning

- Community Needs Assessment
 - Public Infrastructure
 - Public Facilities
 - Economic Development
 - SWOT or Strengths, Weaknesses, Opportunities and Threats
 - Energy Conservation Plans
 - Housing Conditions Survey

- Preliminary Engineering for Water or Sewer

CDBG Imminent Threat



CDBG IT - Program Outline

- Flexible grants for creation of decent housing, suitable living environments, and economic opportunities primarily for persons with low and moderate incomes.
- Meet urgent needs which pose a serious immediate threat to public health and safety
- Provide interim solutions to problems of an urgent nature until funding for a permanent solution can be secured.



CDBG IT – Types of Applications

- IT projects must meet three criteria:
 1. The existing conditions must pose a serious and immediate threat to the health or welfare of the community;
 2. The existing conditions are of recent origin or recently became urgent. A condition will generally be considered to be of recent origin if it developed or became critical within 18 months preceding the state grant recipient's certification – 24 CFR 570.483(d).
 3. The UGLG certifies and the state determines that:
 - The municipality is unable to finance the activity on its own; and
 - Other sources of funding are not available.

CDBG IT – Applicant Eligibility

- Eligible applicants are non-entitlement units of general local government (UGLG)
- IT projects must:
 - Be an eligible activity under the overall CDBG program
 - Meet one of the three national objectives
 - Be ready to proceed and be completed in a timely manner;



CDBG IT – National Objective

- All CDBG funded activities must meet one National Objective
 - URG: Urgent Need
 - LMA: Low/Mod area benefit
 - LMC: Low/Mod Limited Clientele benefit
 - SBS/SBA: Slums and Blight Spot or Area Basis
 - LMJ: Low/Mod Jobs benefit
 - LMCMC: Used in the microenterprise program when the owner of the business is determined to LMI
 - LMH: Low/Mod Housing Benefit

CDBG IT – Funding Categories

- Available CDBG funding categories for IT projects include:
 - Public Infrastructure
 - Public Facilities
 - Housing
 - Economic Development

- IT applications from each funding category may consist of more than one activity if one of the activities is incidental to or in support of the primary activity. Each CDBG funded activity must
 - Meet one of the three national objectives
 - Be ready to proceed and be completed in a timely manner;

CDBG IT – Application Evaluation Criteria

- IT projects must:
 - Meet all of the Federal CDBG requirements
 - Demonstrate a significant need for the proposed activity
 - Show a significant positive impact to the community with a direct correlation to the imminent threat event.



CDBG IT – Past Projects

- Town of Prattsville – Used \$1,000,000 in CDBG IT funds for imminent threat assistance with repair costs that were in excess of funds provided by FEMA, NFIP, private insurance and other sources of State and Federal assistance.
- The Town identified property owners with unmet needs as a result of Hurricane Irene and Lee. The Town also identified businesses with unmet economic development needs.
- The final activity involved the installation of two flood sirens.









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CDBG IT – Past Projects

- City of Rensselaer – Used \$1,000,000 in CDBG IT funds to correct deficiencies related to drainage culverts that were in danger of failure after suffering substantial damage from flooding.



CDBG IT – Past Projects

- Assistance to Farmers – Ag Recovery Fund
- Utilized \$2.4 Million in CDBG IT funds to reimburse farmers across 19 counties suffering crop loss due to Hurricanes Irene and Tropical Storm Lee.
- Joint effort between OCR and NYS Ag and Markets
- Included three separate components covering initial storm response, feed replacement, and capital/equipment purchases

CDBG IT – Past Projects

- Essex County – Utilized \$840,200 to completely replace a fire house in the Town of Keene that was washed away due to Hurricane Irene and Tropical Storm Lee. The total project cost was \$2,385,000 with the rest of the funds provided by FEMA and local bonding.



CDBG IT – Past Projects

- Essex County – Utilized \$1.5 Million to completely reconstruct a fire house in the Upper Jay that was washed away due to Hurricane Irene and Tropical Storm Lee. The total project cost was \$2,700,000 with the rest of the funds provided by FEMA and local bonding.



CDBG Disaster Prevention Projects



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CDBG Disaster Prevention Projects

Public Facilities

- Town of Jay - OCR awarded the Town \$400,000 in 2014 to make upgrades to the Town's firehouse at Ausable Forks to better serve the community in emergencies.
- Upgrades will include mechanical, heating, electrical, ADA compliant access, a gear and laundry room, new truck bays, community storage, and dispatch.
- Total costs are estimated at \$745,000

CDBG Disaster Prevention Projects

Public Facilities cont.

- City of Watervliet - Under a Consent Order from NYS DEC to make improvements to a dam of the Dry River Flood Control System, the City used \$310,000 in CDBG to comply with the order.
- Work included replacement and reinforcement of the top 6.5 feet of the dam and outlet reconstruction
- Benefitted 10,207 City residents



CDBG Disaster Prevention Projects

Public Infrastructure – Water/Sewer/Stormwater

- Village of Perry - The village struggled with storm sewers and catch basins that were deteriorated and inadequate to handle storm runoff. The inadequate drainage results in flooding of streets and basements. The village used \$600,000 CDBG funds to replace aging, deteriorated storm sewer mains and included the installation of new storm water catch basins.



CDBG Disaster Prevention Projects

Public Infrastructure – Water/Sewer/Stormwater

- Village of Perry Continued – Deteriorate storm sewers and catch basins lack sufficient capacity, resulting in flooding of streets and basements, damaging streets and sidewalks, often resulting in potholes and ice hazards. OCR has committed \$600,000 to replace 3,760 linear feet of storm sewer.



CDBG Disaster Prevention Projects

Public Infrastructure – Water/Sewer/Stormwater

- Village of Fultonville – Current storm drainage is inadequate. Flooding of Dry Dock Creek results in flooding of adjacent Main Streets.
- CDBG awarded \$400,000 to help with the cost of installing flood gates and drainage culverts



CDBG Disaster Prevention Projects

Public Infrastructure – Water/Sewer/Stormwater cont.

- The Village of Deposit (pop. 1699) on the Delaware River had an outdated and dysfunctional wastewater treatment facility (WWTP) that was cited by the NYSDEC for several violations of its SPDES permit limits and is under a consent order. The effluent discharged directly to the West Branch of the Delaware River and Oquaga Creek.



Another view of the Waste Water Management Facility.

Photo by Sarah Evans



Flood waters nearly submerge the Deposit Waste Water Management (sewer treatment) Facility.

Photo by Sarah Evans

CDBG Disaster Prevention Projects

Community Planning

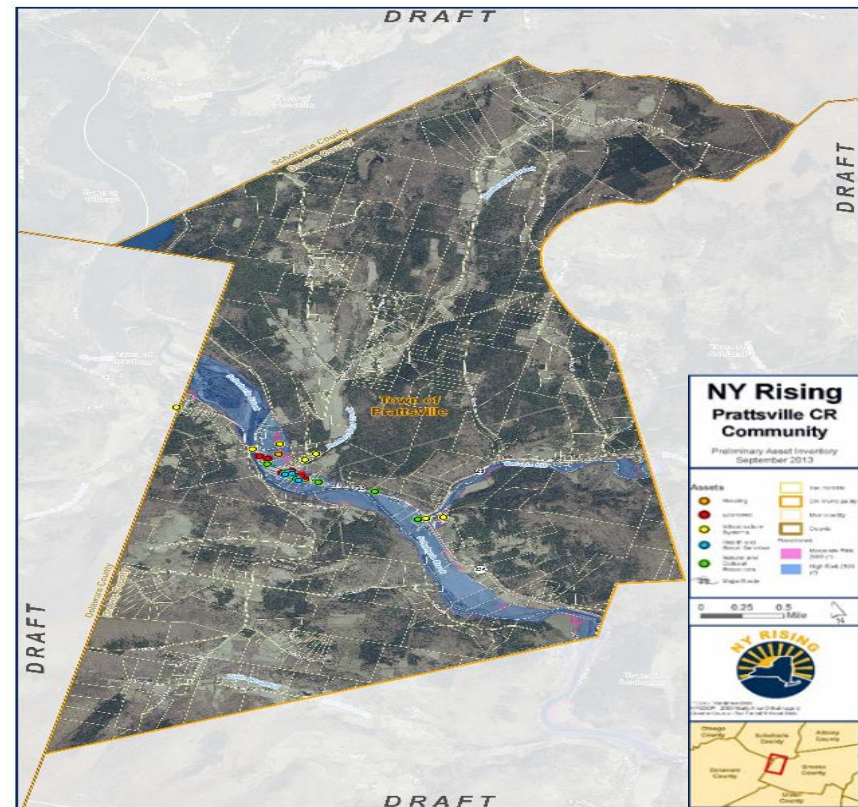
- Communities need the tools, support and capacity to help mitigate current and potential disaster impacts. CDBG Community Planning funds can support planning efforts and capacity building through planning and detailed studies, and long-range planning for community redevelopment in the municipalities.



CDBG Disaster Prevention Projects

Identifying Critical Assets

Town Hall
Fire Station/EMS
Sherriff Station
Highway Garage
Treatment Plant
Water Supplies
Bridges
Assets and Risks



Additional Assistance



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Additional Assistance

OCR Disaster Assistance Programs

- 2013 Upstate Flood Recovery Program
- 2014 Yates County Disaster Recovery Program



Additional Assistance

Governor's Office of Storm Recovery

- NY Rising
- CDBG-DR



www.stormrecovery.ny.gov

Additional Assistance

NYS Empire State Development

- Hurricane Irene-Tropical Storm Lee Business Flood Recovery Grant Program



Questions?

If you have any questions, please contact
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The OCR Grant Administration Manual is available at
<http://www.nyshcr.org/Programs/NYS-CDBG/GrantAdministration.htm>